

Solid State Ionics 2024 Tutorial

Fundamentals: Part 1

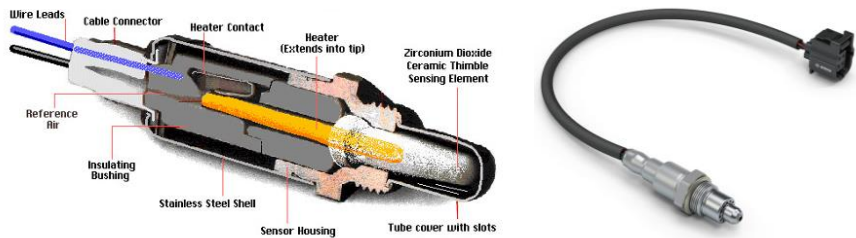
Defect notation to Brouwer diagrams

George F. Harrington

Department of Chemistry
University of Bath, UK

Why study defects?

Potentiometric O-sensors

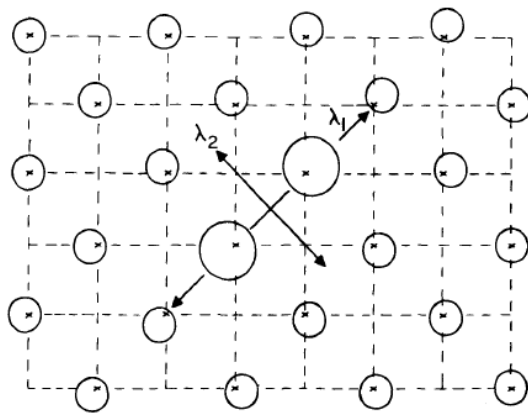


1949 - 1959

THE GOLDEN AGE OF CRYSTAL DEFECTS

(CONCEPTUALISATION)

Arthur S. Nowick



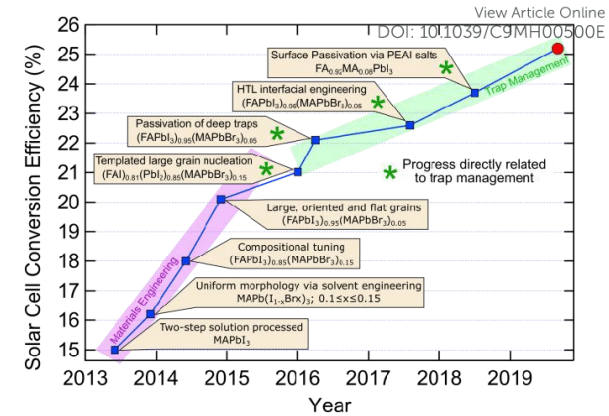
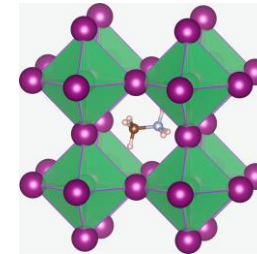
$$n + [V_{M'}] = p + [M_i'] + [F_{M'}']$$

The Golden Age of Crystal Defect Applications

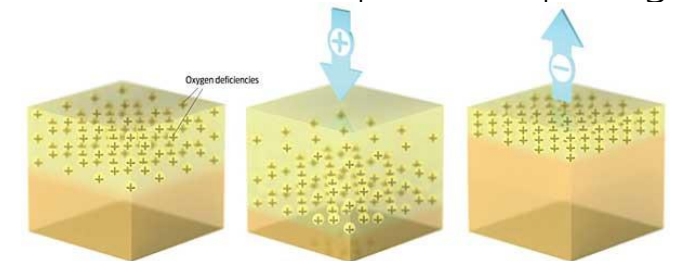
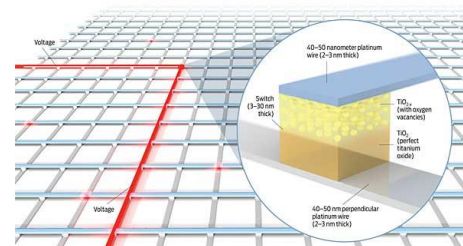
Proliferation of Li-ion batteries



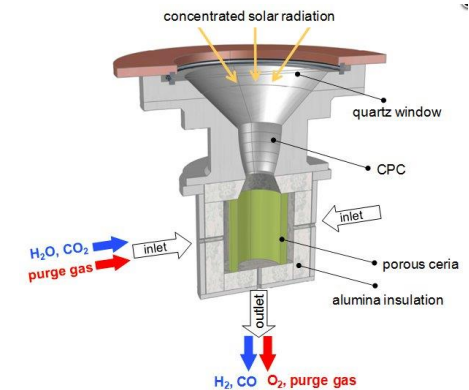
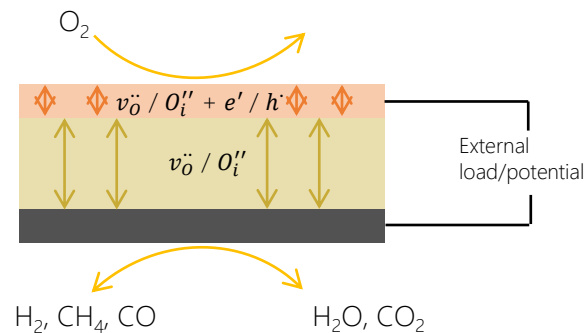
Hybrid-perovskite photovoltaic cells



Valence-change memristor devices for neuromorphic computing



Production of hydrogen at scale – Solid oxide electrolyser cells, solar reactors



Contents

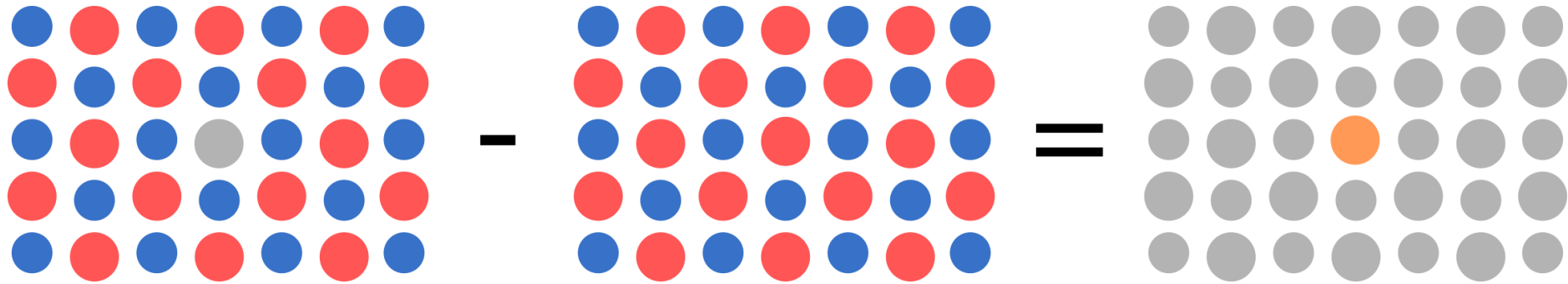
- Point defects: Definition, terminology and rationale
- Defect Chemistry: Reactions and equilibria
- Combining defect reactions: Brouwer diagrams

Contents

- Point defects: Definition, terminology and rationale
- Defect Chemistry: Reactions and equilibria
- Combining defect reactions: Brouwer diagrams

Definition

real – ideal = defect



Second definition: Atomic entity that adds to the configurational entropy of the ideal crystal

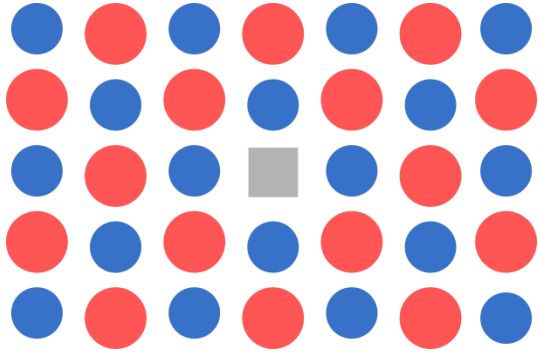
Why so important in ceramics/ionic solids?

- Charged
- Can be in high concentration

Types of point defect

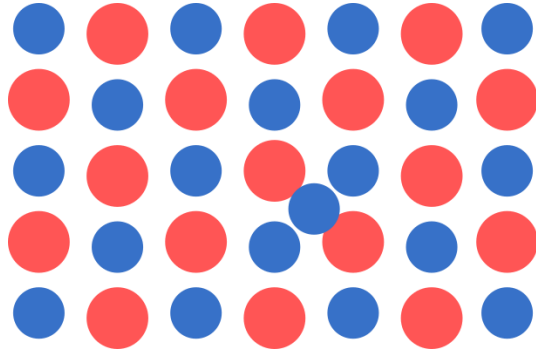
Ionic point defects

vacancy



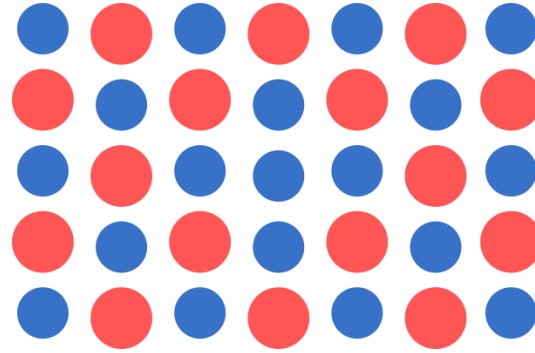
Missing ions

interstitial



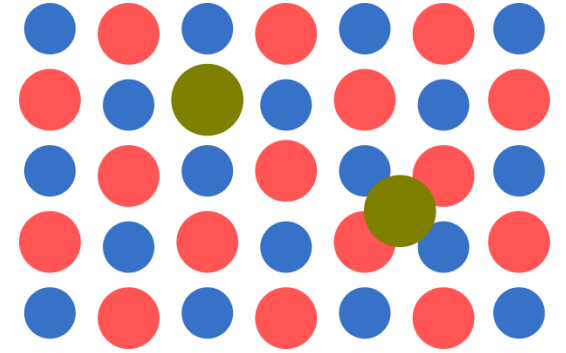
Additional ions

anti-site



Native ions sitting on the wrong site

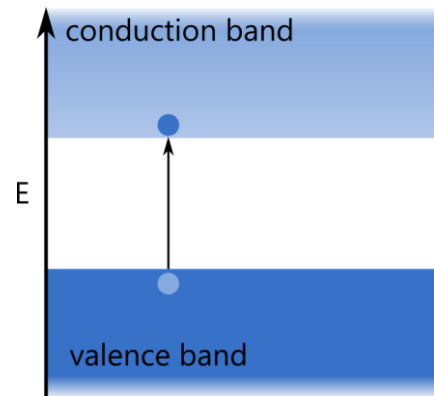
impurity/dopant



Foreign ions

Substitutionally or interstitially

Electronic point defects



Free electrons and holes

Extended defects

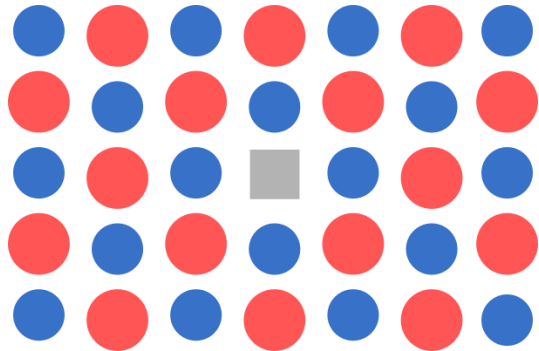
- 0D: Point defects
- 1D: Dislocations
- 2D: Surfaces, grain boundaries
- 3D: Secondary phases, pores

Termed:

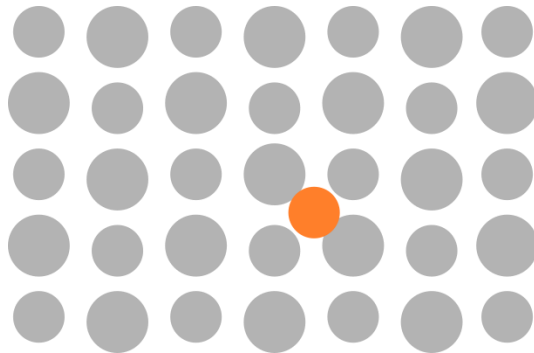
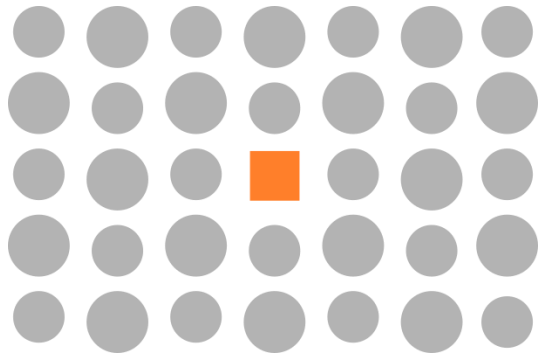
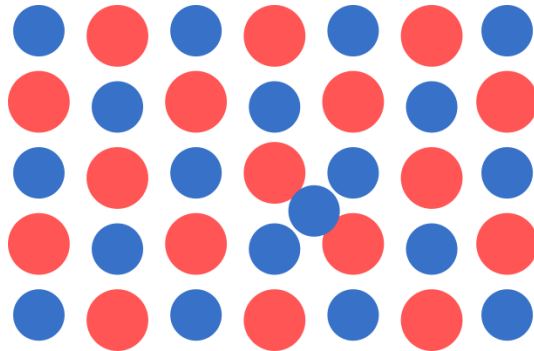
- Impurity – unintentional
- Dopant – intentional/low in concentration

Why define defects? – Much simpler!

vacancy



interstitial



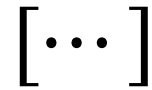
Extremely useful!

Don't need to track a huge number of strongly interacting ions

Can only track a much smaller number of (sometimes) non-interacting defects.

If defects do interact, can treat through pairwise interactions

Describing defects – Kröger-Vink notation



M (species):

atoms – e.g., Zr, Ce, O, Sr

vacancies – v

electrons – e

electron holes – h

S (site):

atoms – e.g., Zr, Ce, O, Sr

interstitial – i

C (relative charge):

positive – •

negative – '

neutral – ×

Square brackets:

Concentration of defect

For electronic defects,

$[e'] = n$ and $[h\cdot] = p$ is

sometimes used



Round brackets:

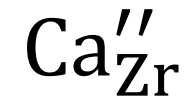
Defect-associate

Examples

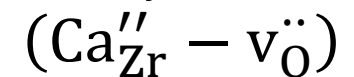
- Oxygen vacancy



- Ca^{2+} on Zr^{4+} site



- Ca^{2+} and O-vacancy associate



- Free electron



Defect notation – “bad” practice

Changing sites with species

- Oxygen vacancy



- Oxygen interstitial



- Ca on Zr site



Using **V** and **I** instead of **v** and **i**

- **V** and **I** are standard KV notation, but **v** and **i** is better

What is **V_I**?

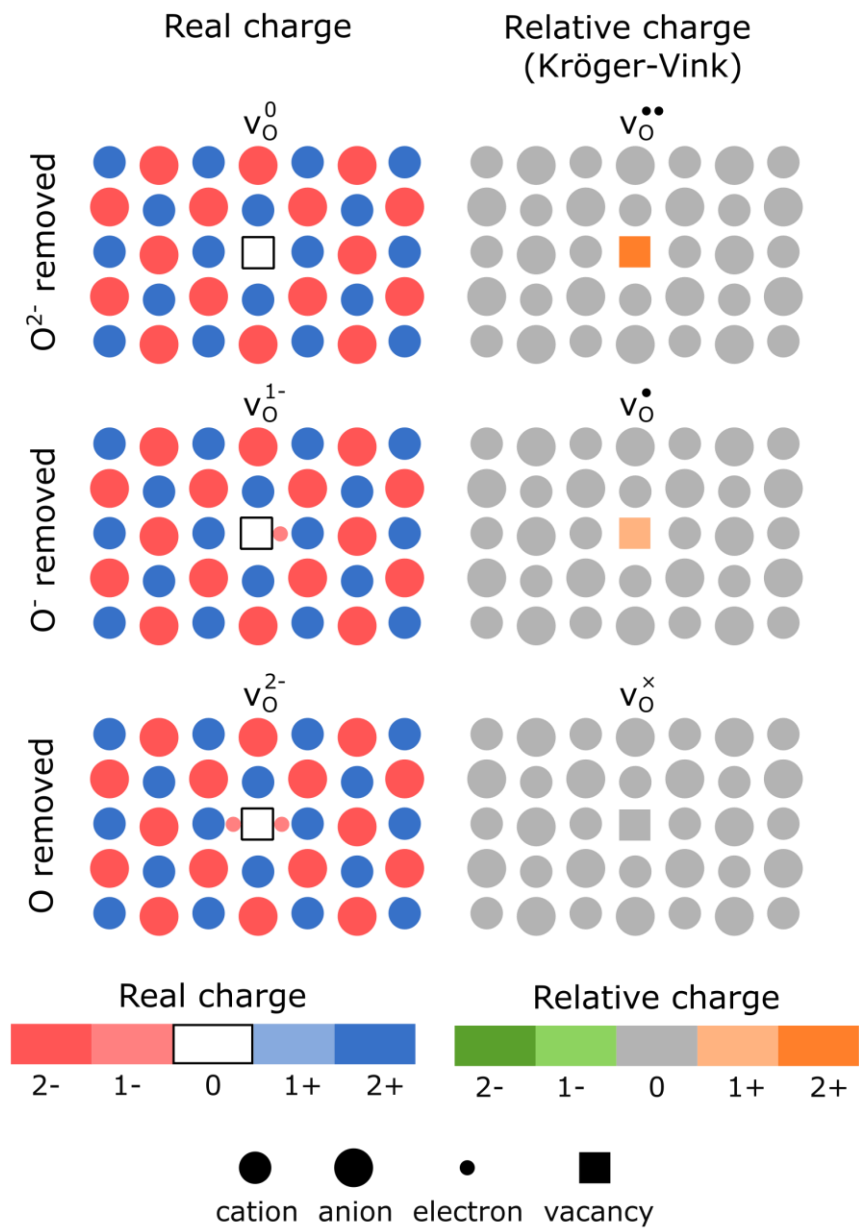
- Iodine vacancy: **v_I**
- Vanadium interstitial: **V_i**
- Vacant interstitial site: **v_i**
- Vanadium anti-site defect on iodine-ion site: **V_I**

Using real instead of relative charge

- Real and relative charge are different quantities
 - Real: relative to free space
 - Relative: relative to crystal lattice

Defect notation – “bad” practice

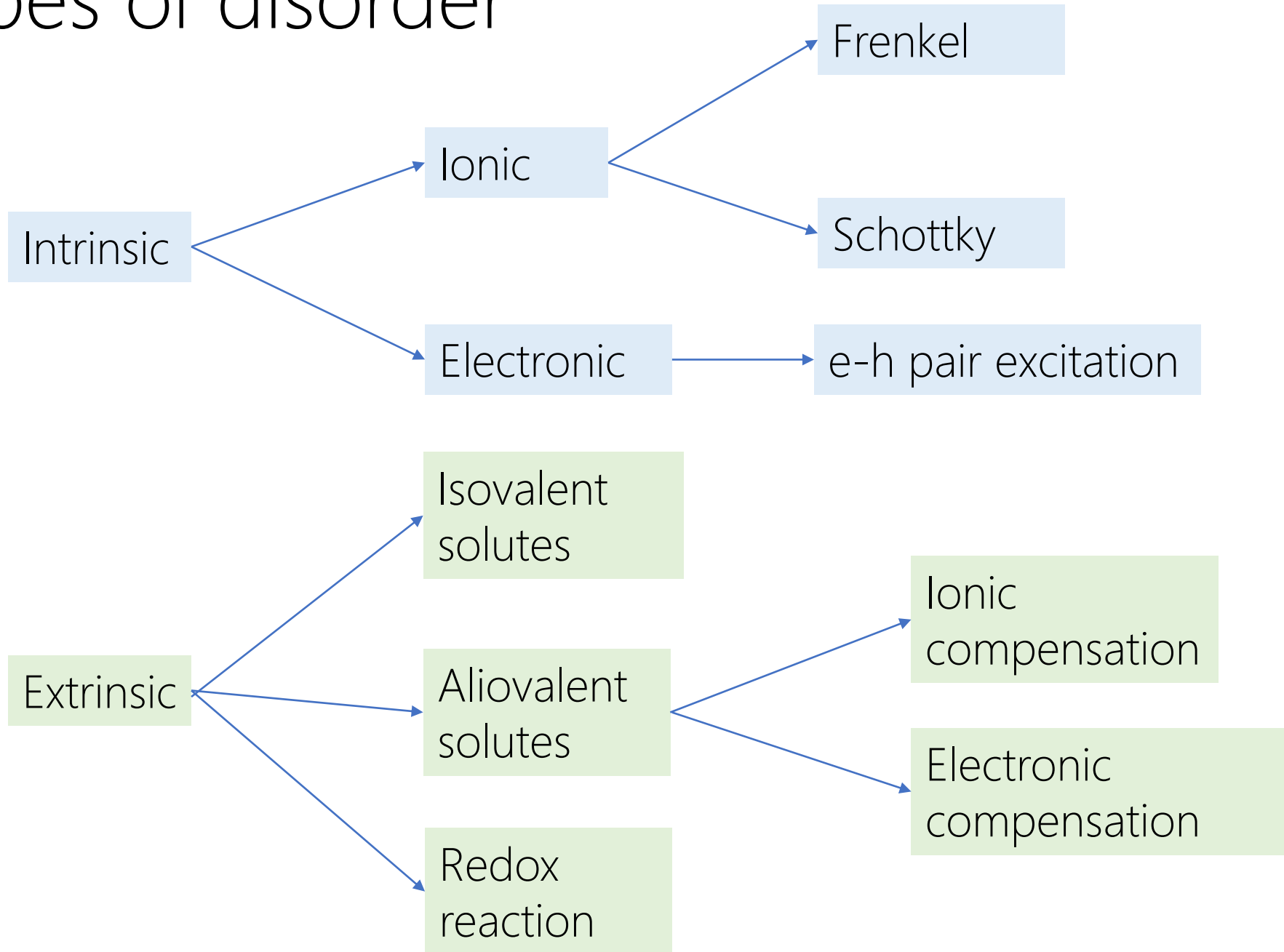
Species removed	Relative charge	Real charge	Wrong!
O^{2-}	$v_O^{\bullet\bullet}$	v_O^0	v_O^{2+}
O^-	v_O^\bullet	v_O^-	v_O^+
O	v_O^{\times}	v_O^{2-}	v_O^0



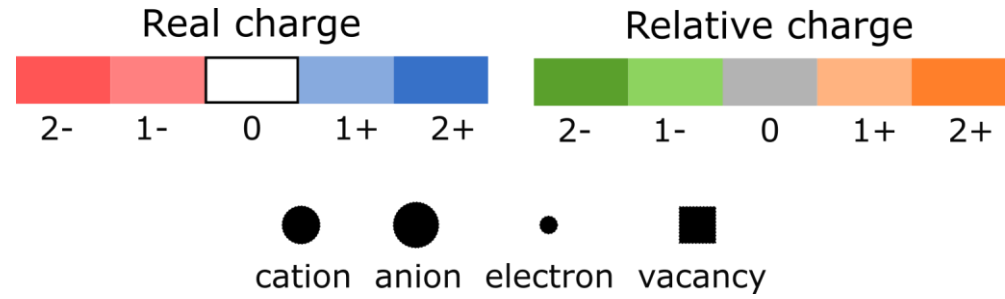
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- Point defects: Definition, terminology and rationale
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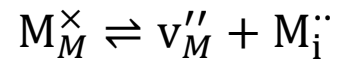
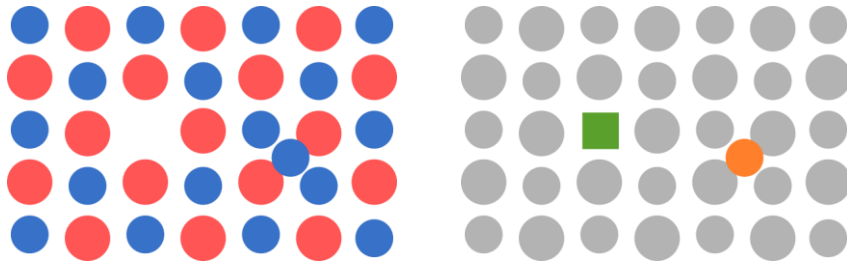
Types of disorder



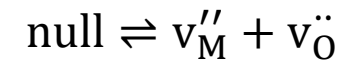
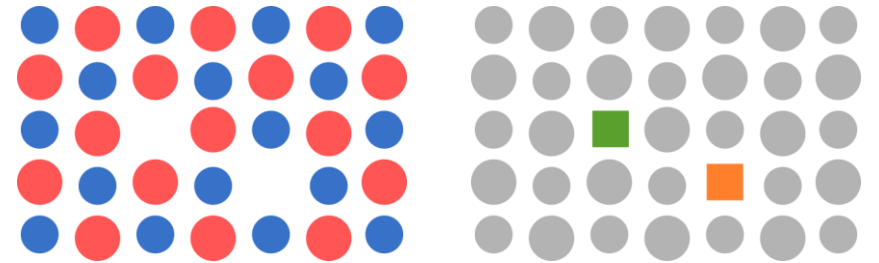
Intrinsic disorder



Frenkel disorder



Schottky disorder



Intrinsic disorder: concentration of defects

$$G = G_0 + n\Delta g_f - T\Delta S_c$$

$$\Delta G = (G - G_0) = n\Delta g_f - T\Delta S_c$$

$$\Delta S_c = k \ln \Omega$$

$$\Delta S_c = k \ln \left(\frac{N!}{(N - n_v)! n_v!} \right) \left(\frac{N!}{(N - n_i)! n_i!} \right)$$

Take, $\left(\frac{\partial \Delta G}{\partial n} \right)_{T,P} = 0$ (and some maths relations/assumptions)

$$\frac{n}{N} = \exp\left(-\frac{\Delta g}{2kT}\right)$$

G : free energy

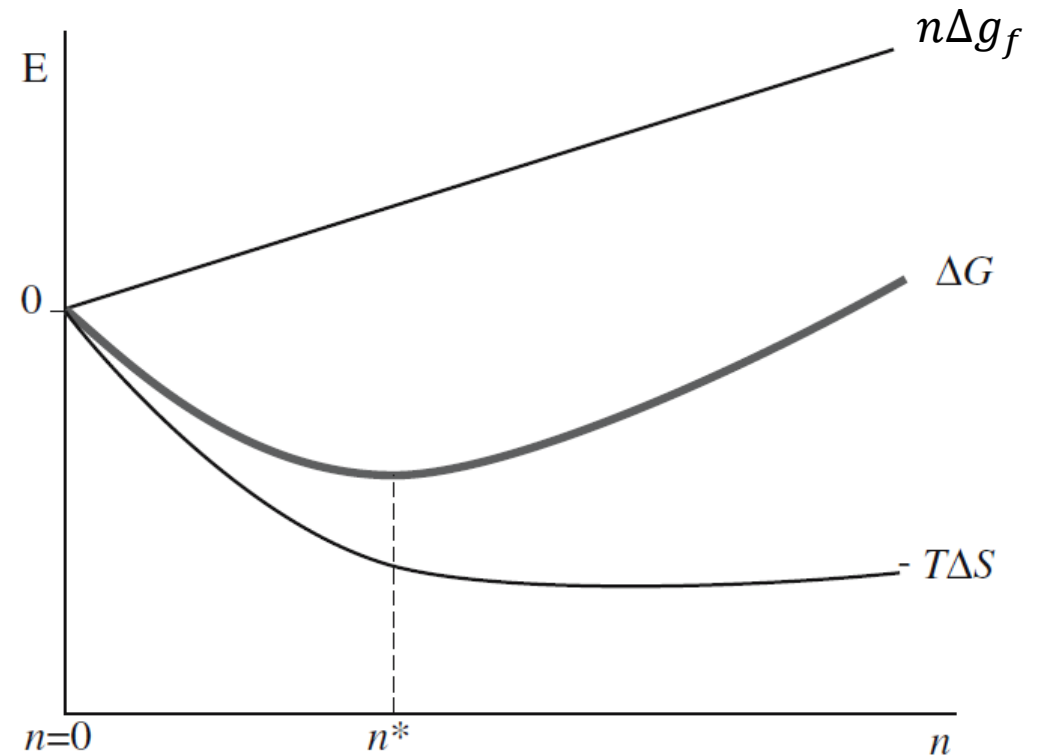
G_0 : free energy of a perfect crystal

n : number of defects

N : number of sites

Δg_f : free energy change per defect pair

ΔS_c : change in configurational entropy



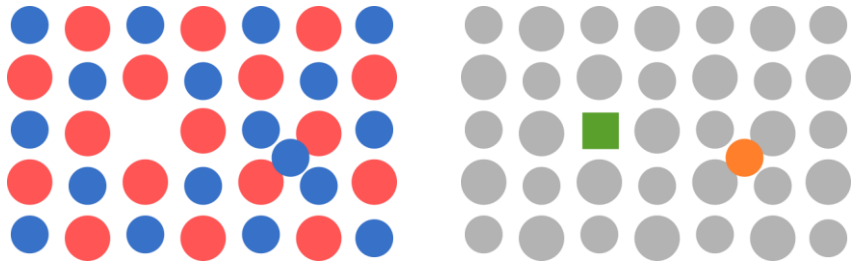
Expect to find point defects in pure crystals at all temperatures above 0K

Intrinsic disorder: concentration of defects

Frenkel disorder

TABLE 11.6 Formation Enthalpy of Frenkel Defects In Some Compounds of Formula MX and MX₂

Material	$\Delta E_f (10^{-19} \text{ J})$	$\Delta E_f (\text{eV})$
UO ₂	5.448	3.40
ZrO ₂	6.569	4.10
CaF ₂	4.486	2.80
SrF ₂	1.122	0.70
AgCl	2.564	1.60
AgBr	1.923	1.20
β -AgI	1.122	0.70

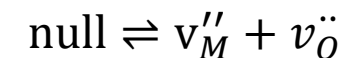
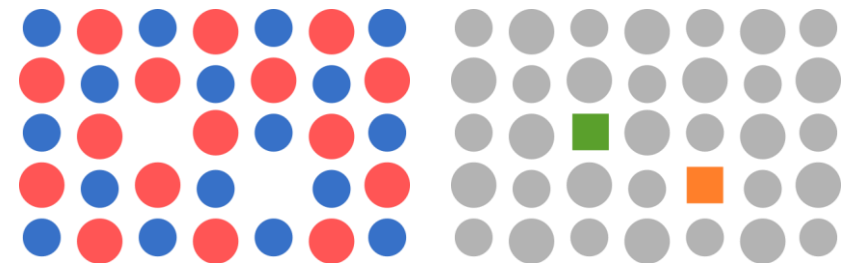


$$\frac{n}{N} = \exp\left(-\frac{\Delta g}{2kT}\right)$$

Schottky disorder

TABLE 11.4 The Formation Enthalpy of Schottky Defects In Some Compounds of Formula MX

Compound	$\Delta E_s (10^{-19} \text{ J})$	$\Delta E_s (\text{eV})$
MgO	10.574	6.60
CaO	9.773	6.10
SrO	11.346	7.08
BaO	9.613	6.00
LiF	3.749	2.34
LiCl	3.397	2.12
LiBr	2.884	1.80
LiI	2.083	1.30
NaCl	3.685	2.30
NaBr	2.692	1.68
KCl	3.621	2.26
KBr	3.797	2.37
KI	2.563	1.60
CsBr	3.204	2.00
CsI	3.044	1.90



Intrinsic disorder: concentration of defects

Electronic disorder

$$n = N \exp\left(-\frac{\Delta g}{2kT}\right)$$

$$\text{null} \rightleftharpoons e' + h'$$

Concentration of electrons/holes

$$n(E) = N(E) \cdot F(E)$$

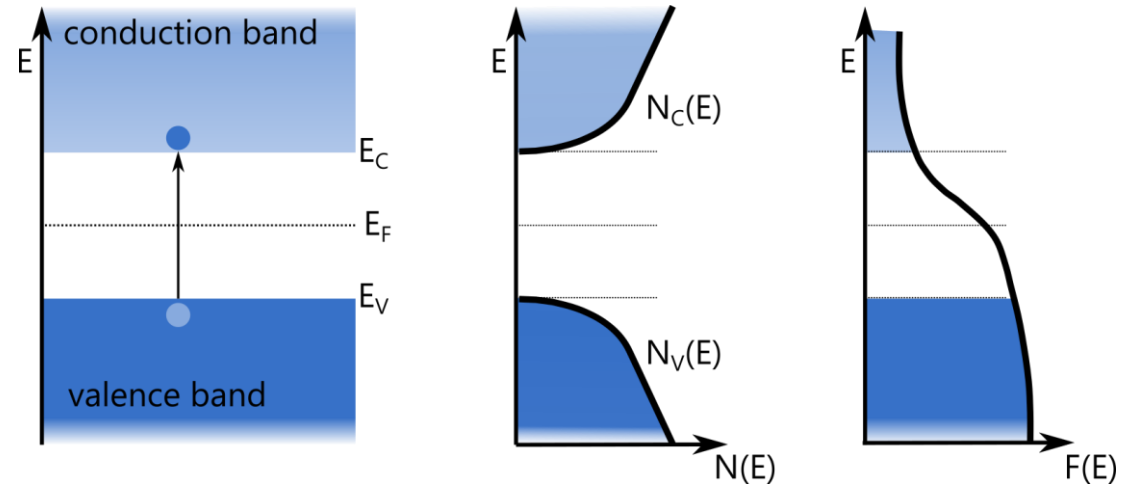
Density of states

$$N_{c,v} = 2 \left(\frac{2\pi m_{e,h}^* kT}{h^2} \right)^{3/2} \approx 10^{-19} \text{ cm}^{-3} \text{ (at 300K)}$$

$m_{e,h}^*$: effective mass of electrons in CB or holes in VB

Fermi-Dirac function

$$F(E) \approx \exp[-(E - E_f)/kT]$$



$$\frac{n_e}{N_c} = \exp\left(-\frac{(E_c - E_f)}{kT}\right)$$

$$\frac{n_h}{N_v} = \exp\left(-\frac{(E_f - E_v)}{kT}\right)$$

For intrinsic electronic disorder:

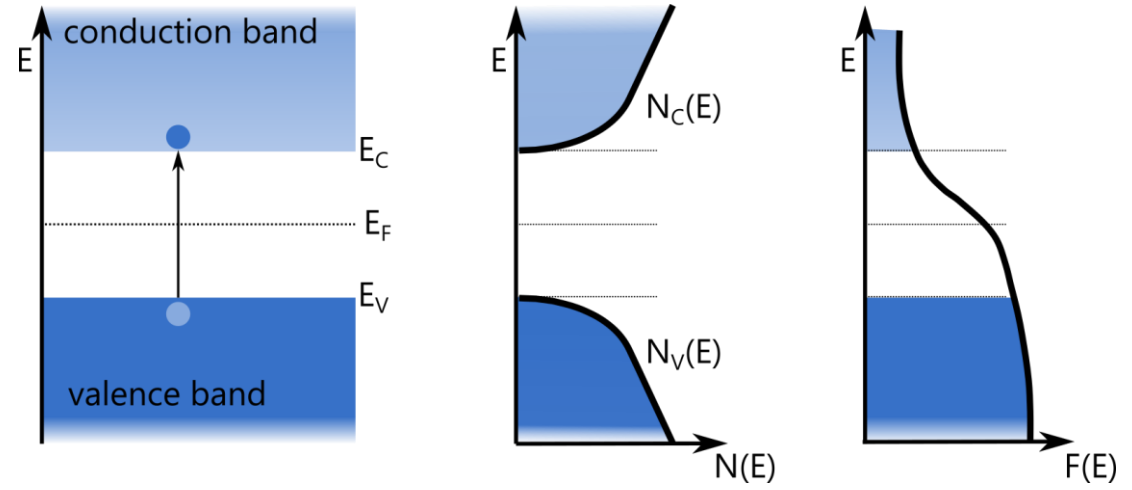
$$(E_f - E_v) = (E_c - E_f) = E_{bg}/2$$

Intrinsic disorder: concentration of defects

Electronic disorder

Table 2.3 Bandgap Values for Some Semiconductors and Insulators

Compound	Band Gap (eV)	Compound	Band Gap (eV)
Si	1.11	NaF	6.7
Ge	0.66	KCl	7
Diamond	5.4	NaCl	7.3
InSb	0.17	LiF	12.0
InAs	0.36	BaF ₂	8.9
InP	1.27	SrF ₂	9.5
GaSb	0.68	CaF ₂	10.0
GaAs	1.43	MgF ₂	11.8
GaP	2.25	SrO	5.7
CdTe	1.44	MgO	7.8
CdSe	1.74	NiO	4.2
CdS	2.42	CoO	4.0
ZnSe	2.6	MnO	3.7
ZnO	3.2	FeO	2
ZnS	3.6	VO	0.3
PbSe	0.27	Fe ₂ O ₃	3.1
PbTe	0.29	Ga ₂ O ₃	4.6
PbS	0.34-0.37	Al ₂ O ₃	8.8
AgI	2.8	BaTiO ₃	2.8
AgCl	3.2	TiO ₂	3.0
SiC (α)	2.9	UO ₂	5.2
BN	4.8	SiO ₂	8.5
		MgAl ₂ O ₄	7.8



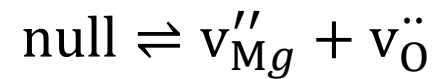
$$\frac{n_e}{N_c} = \exp\left(-\frac{(E_c - E_f)}{kT}\right)$$

$$\frac{n_h}{N_v} = \exp\left(-\frac{(E_f - E_v)}{kT}\right)$$

For intrinsic electronic disorder:
 $(E_f - E_v) = (E_c - E_f) = E_{bg}/2$

Defect chemical reactions

- Sometimes called *quasi-chemical* reactions
- Must balance:
 - Mass
 - Charge
 - Site
- For equilibrium reactions → law of mass action

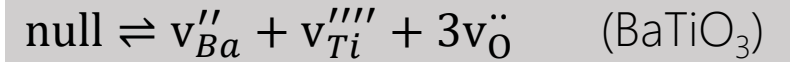
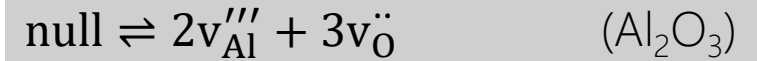


$$K_s = [v''_{\text{Mg}}][v\ddot{\text{O}}]$$

$$K_s = \exp\left(-\frac{\Delta g_s}{kT}\right)$$

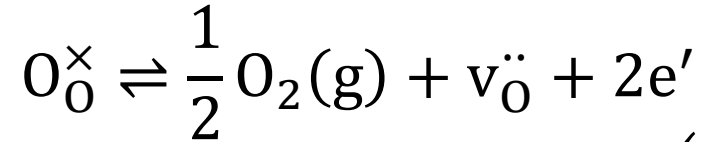
$$[v''_{\text{Mg}}] = [v\ddot{\text{O}}] = \exp\left(-\frac{\Delta g_s}{2kT}\right)$$

Examples: Schottky disorder



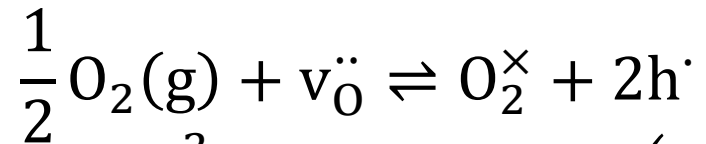
Defect chemical reactions: oxidation and reduction

Reduction of an oxide:

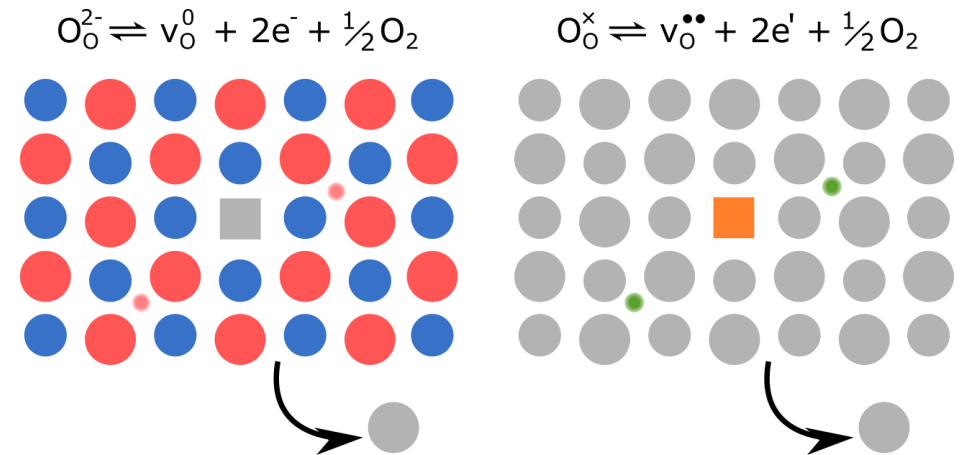


$$K_R = n^2 [v_O^{\bullet}] (P_{O_2})^{1/2} = K_R^0 \exp\left(-\frac{\Delta g_R}{kT}\right)$$

Oxidation of an oxide:

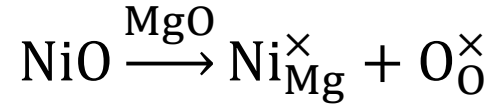


$$K_O = \frac{p^2}{[v_O^{\bullet}] (P_{O_2})^{1/2}} = K_O^0 \exp\left(-\frac{\Delta g_O}{kT}\right)$$

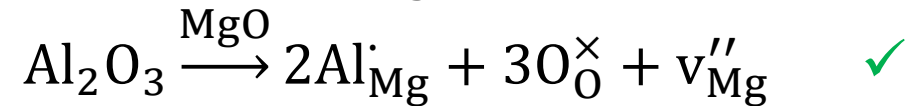


Defect chemical reactions: solute incorporation

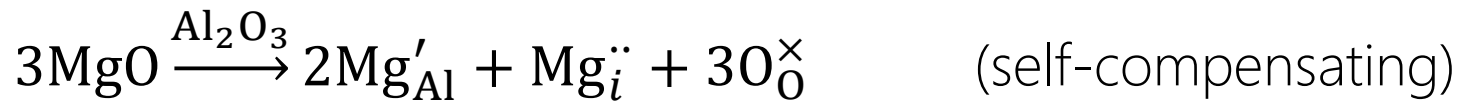
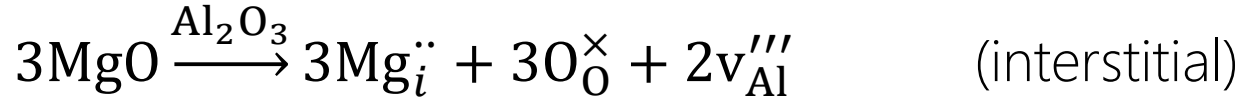
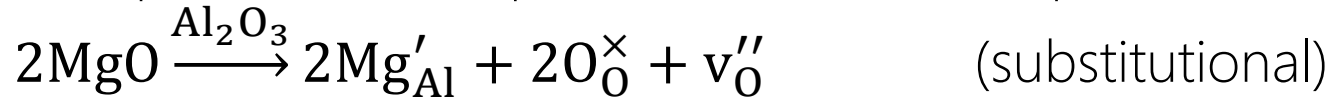
Ensure site balance (ratio)



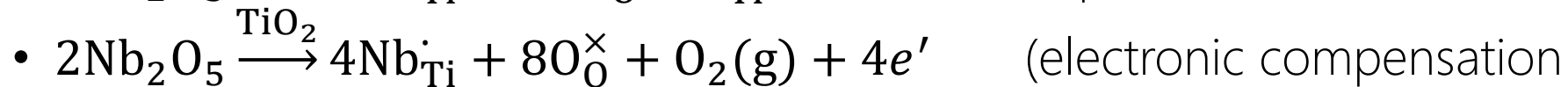
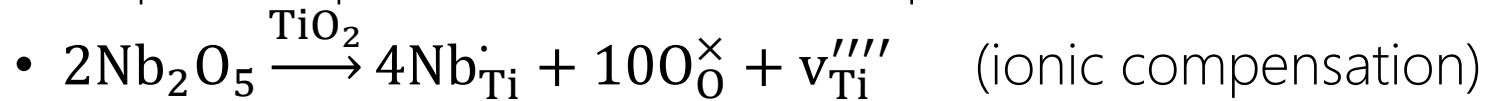
Ensure charge balance (relative)



Multiple solute incorporation mechanisms possible

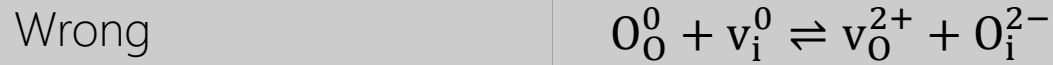
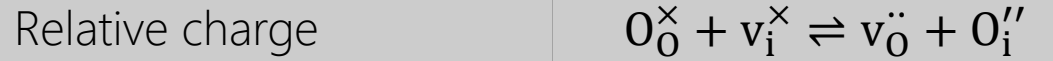


Multiple compensation mechanisms possible

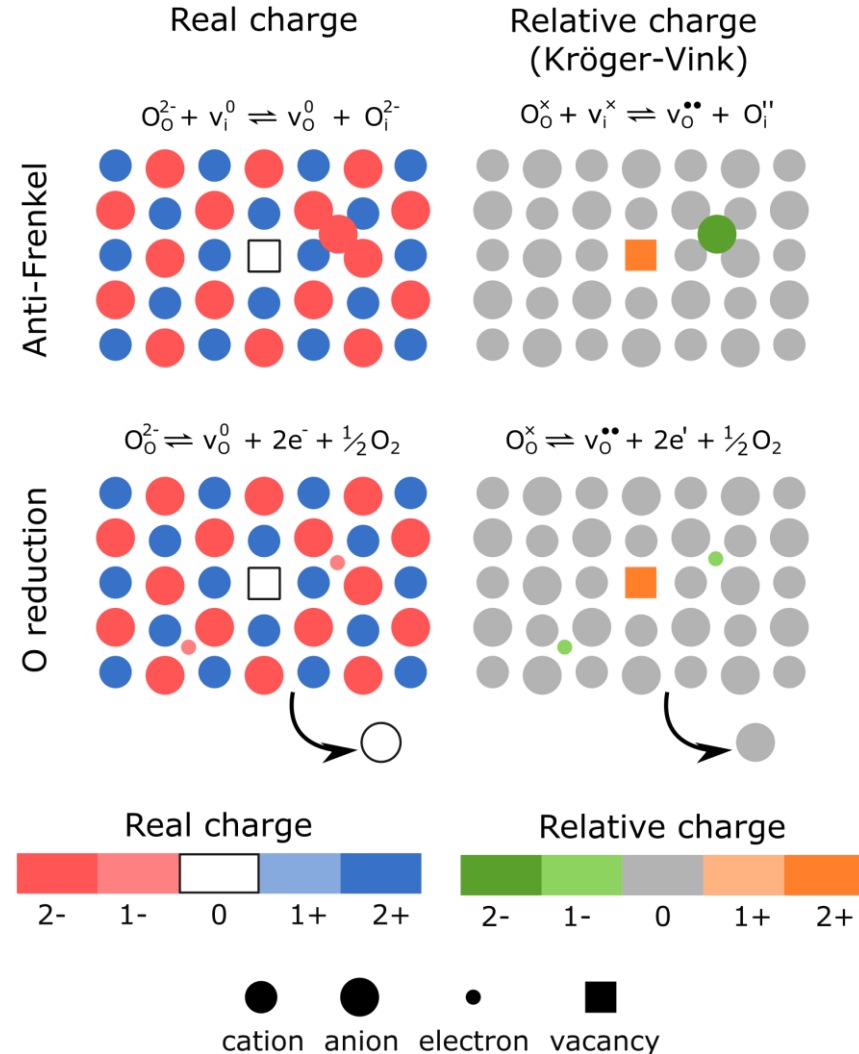


Defect notation – “bad” practice

Anti-Frenkel disorder



Oxygen reduction reaction



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Simultaneous defect equilibria

- In most solids, multiple defect reactions occur simultaneously
- A defect can participate in multiple defect equilibria
- How do we understand what is important?

Must consider:

1. Intrinsic ionic defects
2. Intrinsic electronic defects
3. Oxidation and reduction
4. Incorporation of solutes/impurities

Example: Intrinsic MgO

- Have a total of 4 defect species v''_{Mg} , $v_{\ddot{\text{O}}}$, e' , $h\cdot$
- Only 3 independent equilibrium equations
- Need one more:

$$2[v''_{\text{Mg}}] + n = 2[v_{\ddot{\text{O}}}] + p$$

(electroneutrality condition)

Example: Intrinsic MgO

Defect equilibria	Equilibrium constants
$\text{null} \rightleftharpoons v''_{\text{Mg}} + v_{\ddot{\text{O}}}$	$K_S = [v''_{\text{Mg}}][v_{\ddot{\text{O}}}]$
$\text{null} \rightleftharpoons e' + h\cdot$	$K_i = np$
$\text{O}_2^x \rightleftharpoons \frac{1}{2}\text{O}_2(\text{g}) + v_{\ddot{\text{O}}} + 2e'$	$K_R = n^2[v_{\ddot{\text{O}}}] (P_{\text{O}_2})^{1/2}$

At this point, we are technically done....

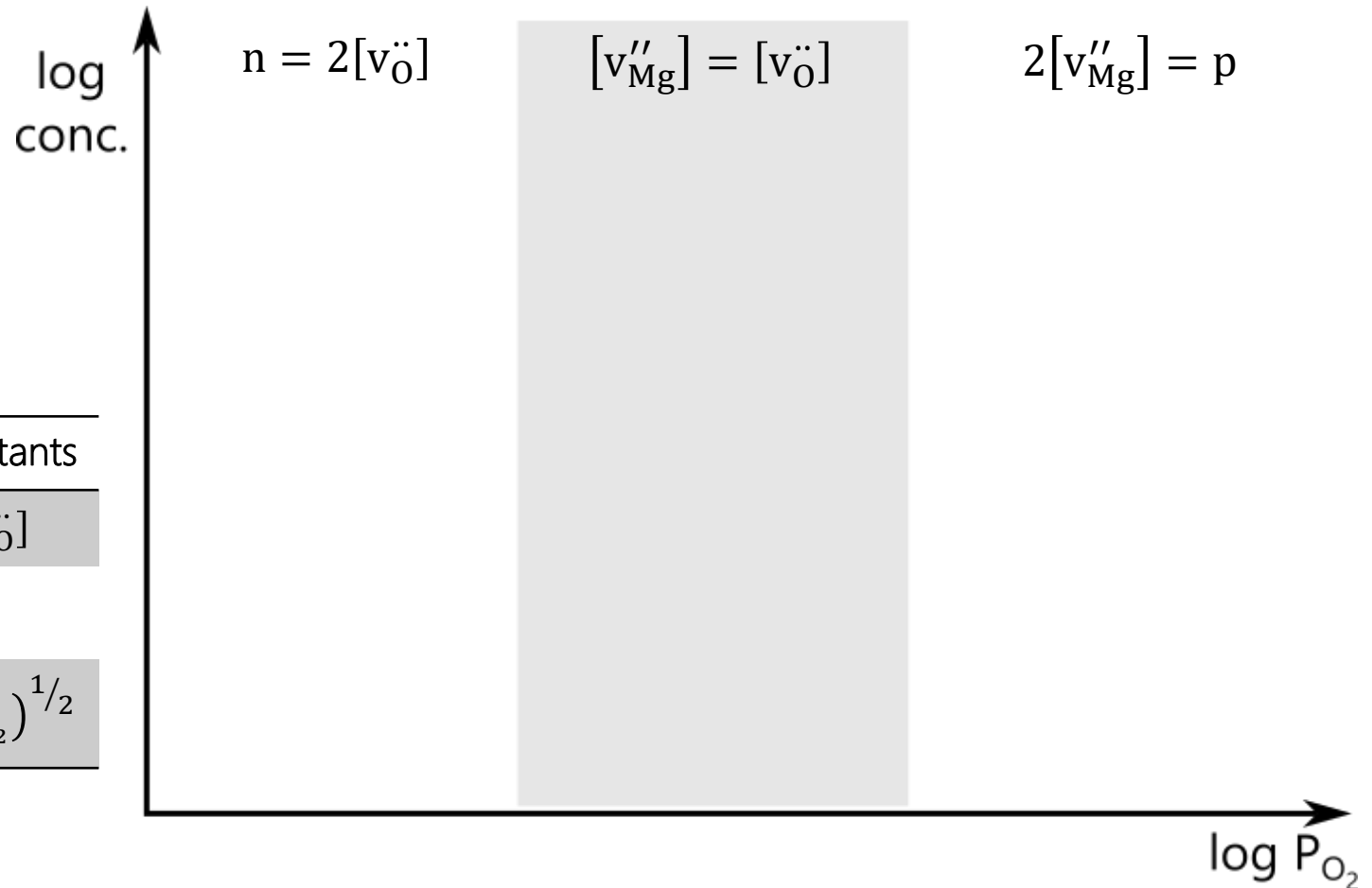
Brouwer approximations

- Assume one positive defect and one negative defect dominate.

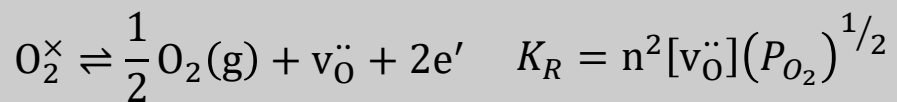
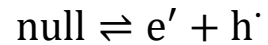
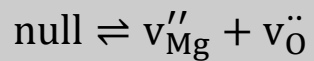
$$2[v_{\text{Mg}}''] + n = 2[v_{\text{O}}] + p$$

- $n = 2[v_{\text{O}}]$
- $2[v_{\text{Mg}}''] = p$
- $[v_{\text{Mg}}''] = [v_{\text{O}}]$
- $n = p$

Brouwer diagram



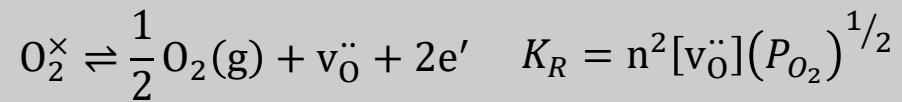
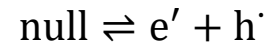
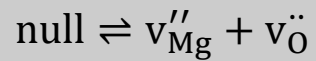
Defect equilibria	Equilibrium constants
$\text{null} \rightleftharpoons v_{\text{Mg}}'' + v_{\text{O}}$	$K_s = [v_{\text{Mg}}''] [v_{\text{O}}]$
$\text{null} \rightleftharpoons e' + h'$	$K_i = np$
$\text{O}_2^x \rightleftharpoons \frac{1}{2} \text{O}_2(\text{g}) + v_{\text{O}} + 2e'$	$K_R = n^2 [v_{\text{O}}] (P_{\text{O}_2})^{1/2}$



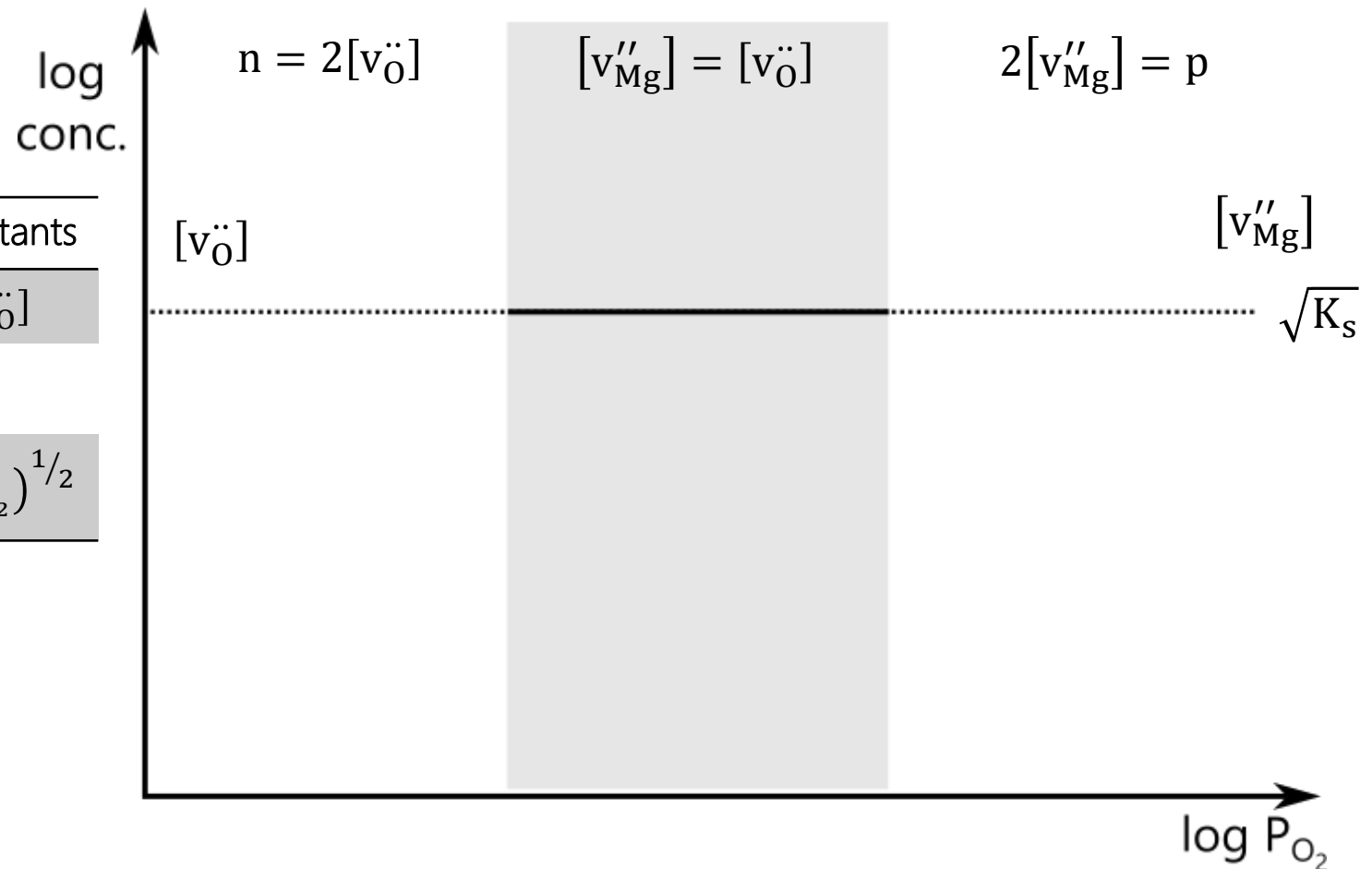
Brouwer approximations

1. $n = 2[v_{\ddot{O}}]$
2. $2[v''_{Mg}] = p$
3. $[v''_{Mg}] = [v_{\ddot{O}}]$
4. $n = p$

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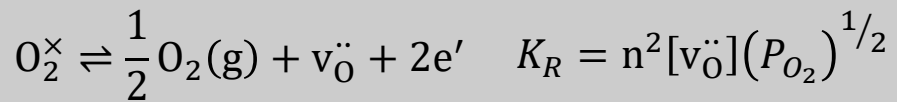
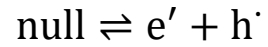
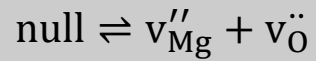
Brouwer diagram



Brouwer approximations

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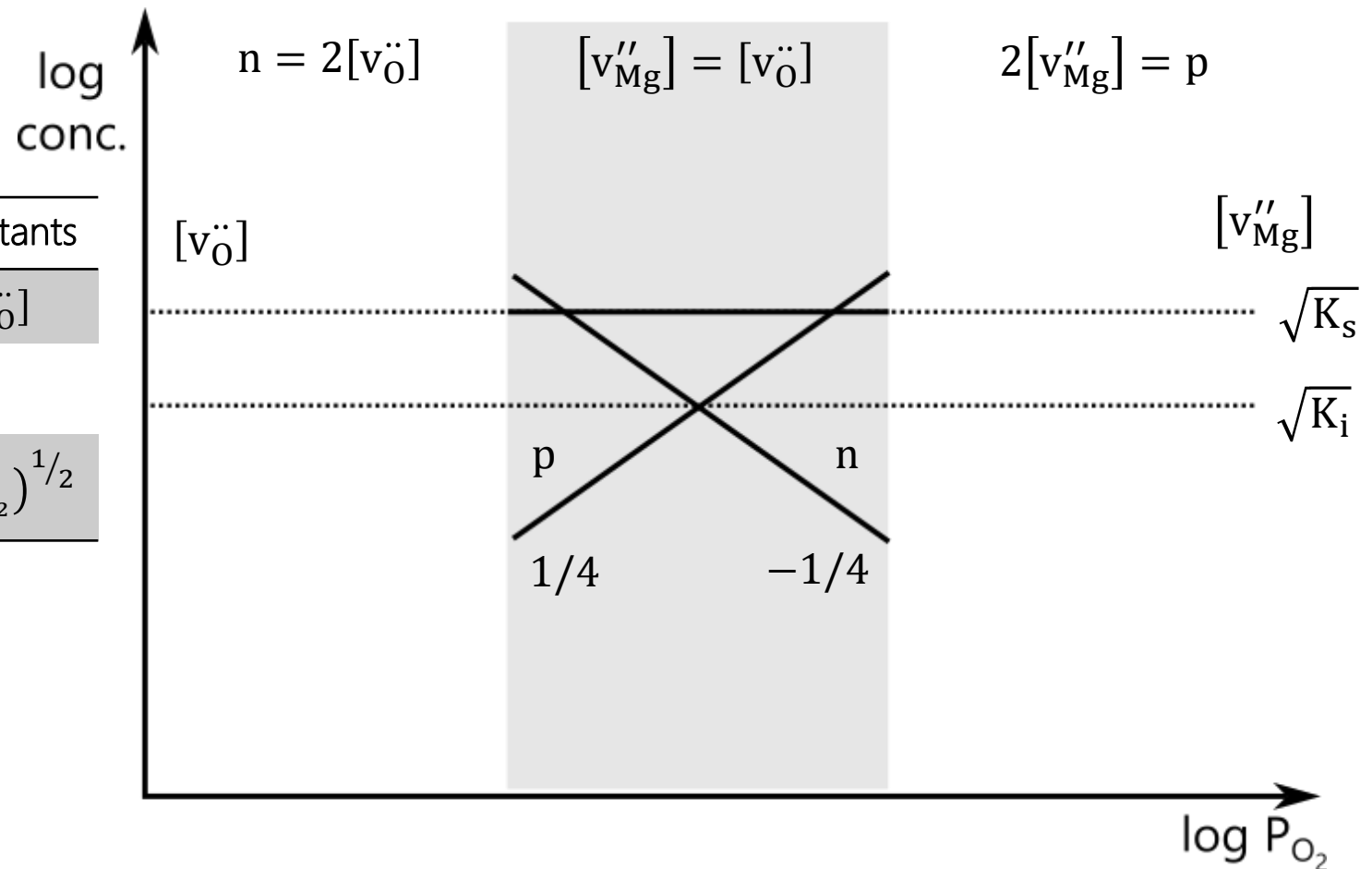
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$$n = K_R^{1/2} K_s^{-1/4} P_{O_2}^{-1/4}$$

$$\log n \propto -\frac{1}{4} \log P_{O_2}$$

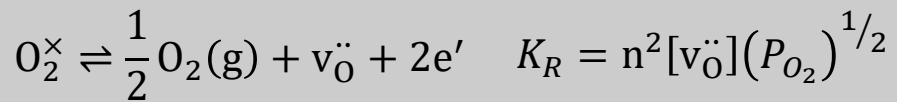
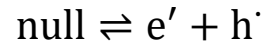
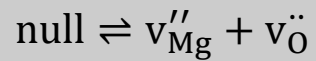
Brouwer diagram



Brouwer approximations

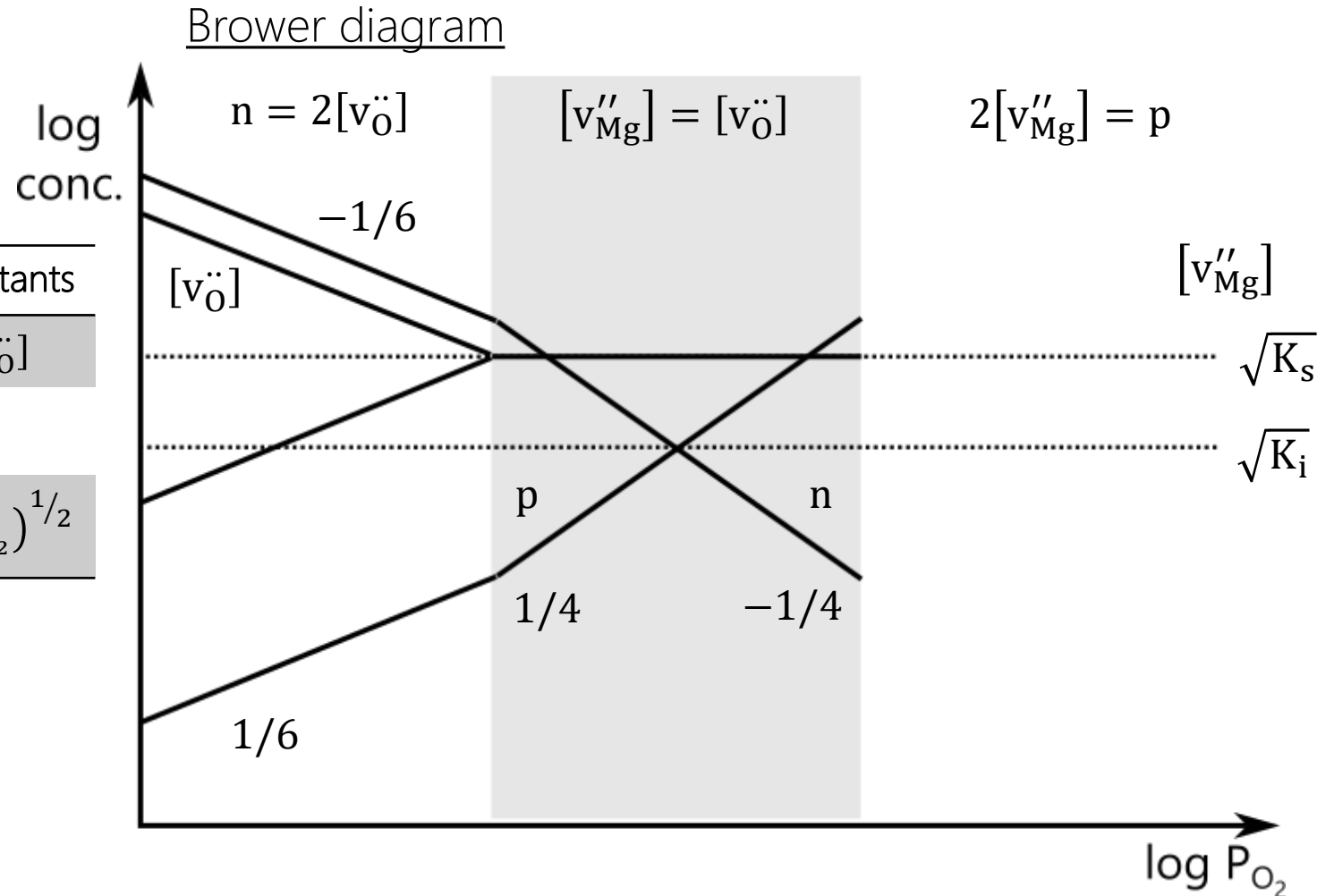
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$$n = 2[v_{\ddot{O}}] = (2K_R)^{1/3} P_{O_2}^{-1/6}$$

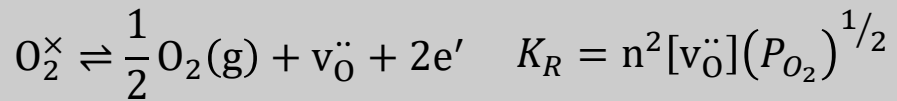
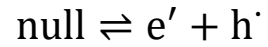
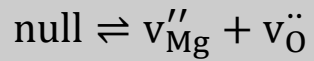
$$\log n \propto -\frac{1}{6} \log P_{O_2}$$



Brouwer approximations

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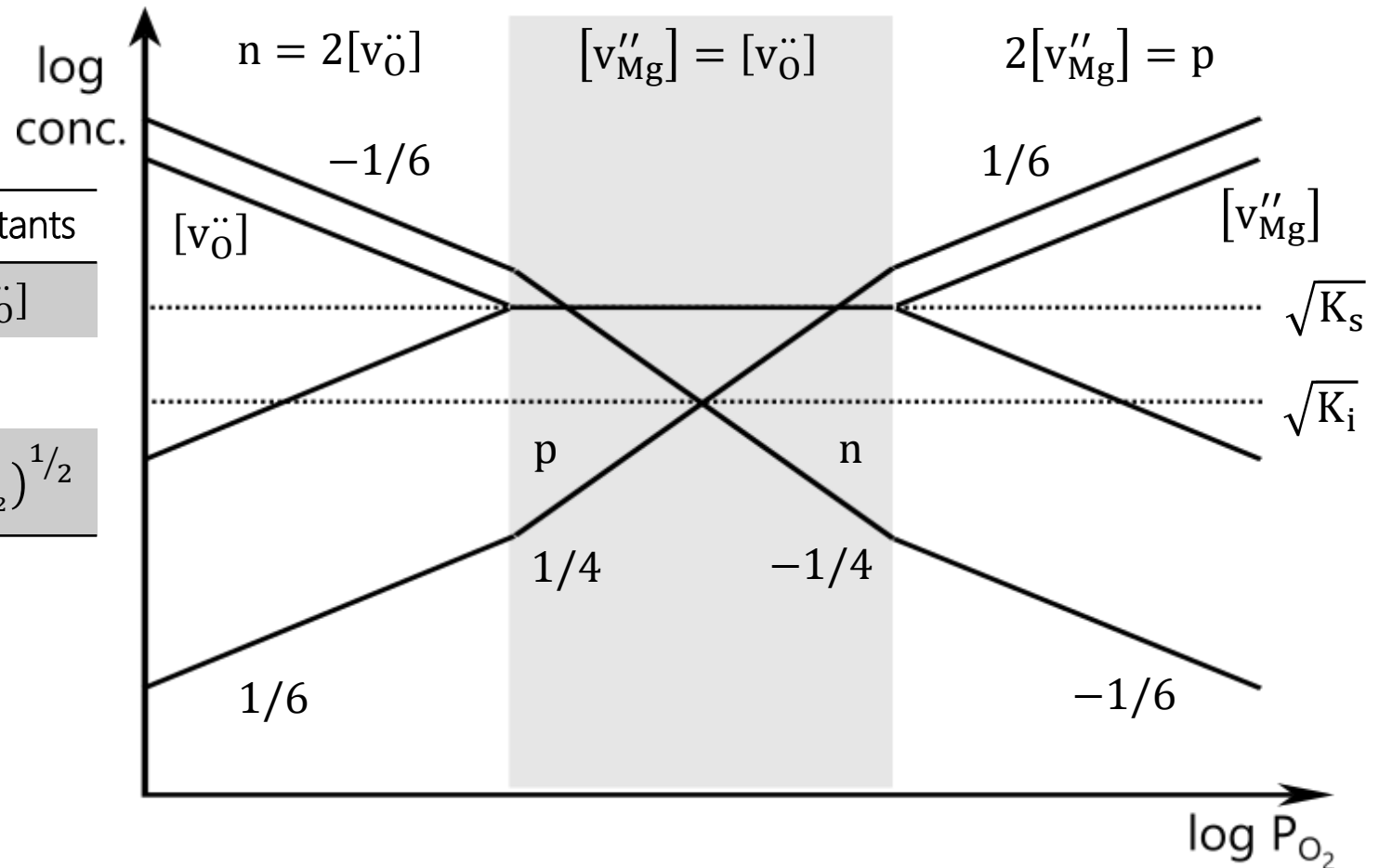
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$$p = 2[v''_{Mg}]$$

$$\log p \propto \frac{1}{6} \log P_{O_2}$$

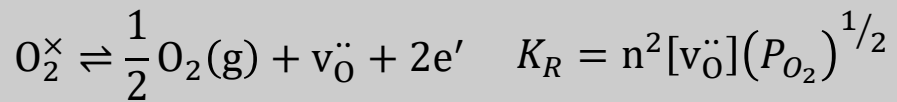
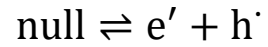
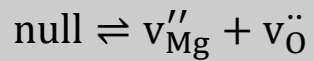
Brouwer diagram



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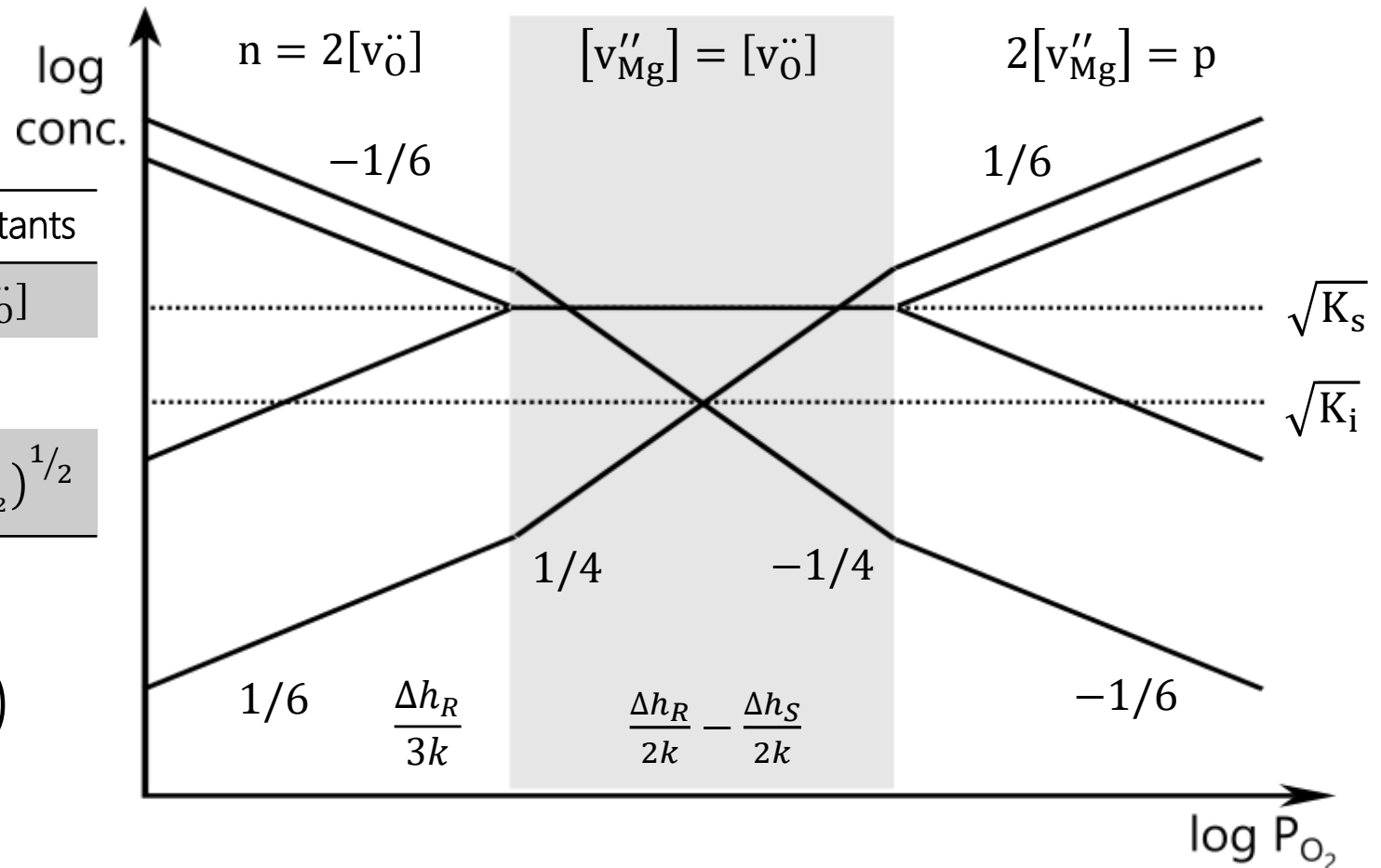
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Temperature dependence:

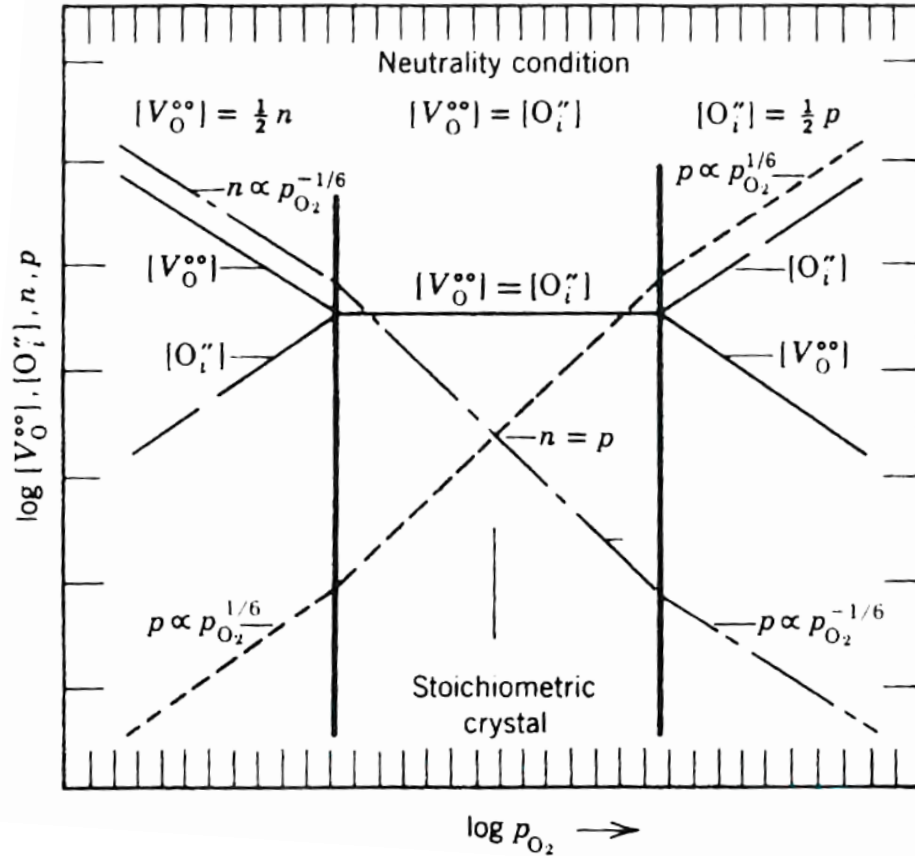
$$K = \exp\left(-\frac{\Delta g}{kT}\right) = \exp\left(\frac{\Delta s}{T}\right) \exp\left(-\frac{\Delta h}{kT}\right)$$

Brouwer diagram

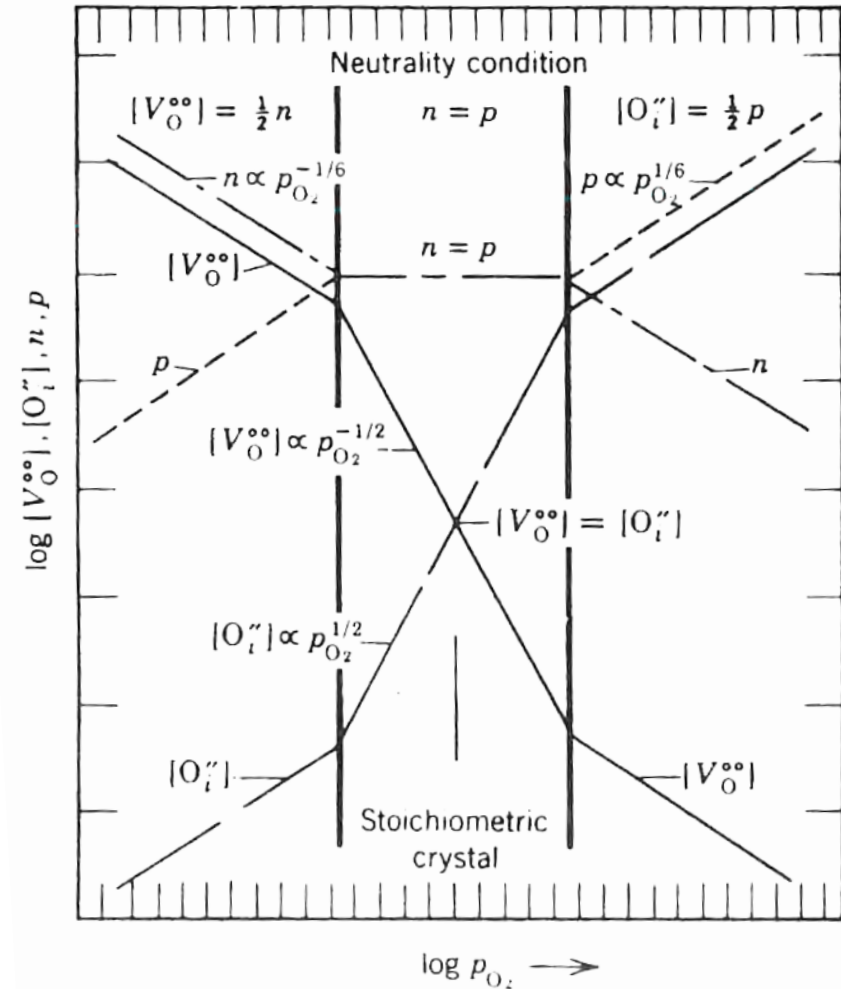


Brouwer diagrams for other systems

MO oxide
Anti-Frenkel disorder
 $K_F > K_i$



MO oxide
Anti-Frenkel disorder
 $K_i > K_F$



Brouwer diagrams: General procedure

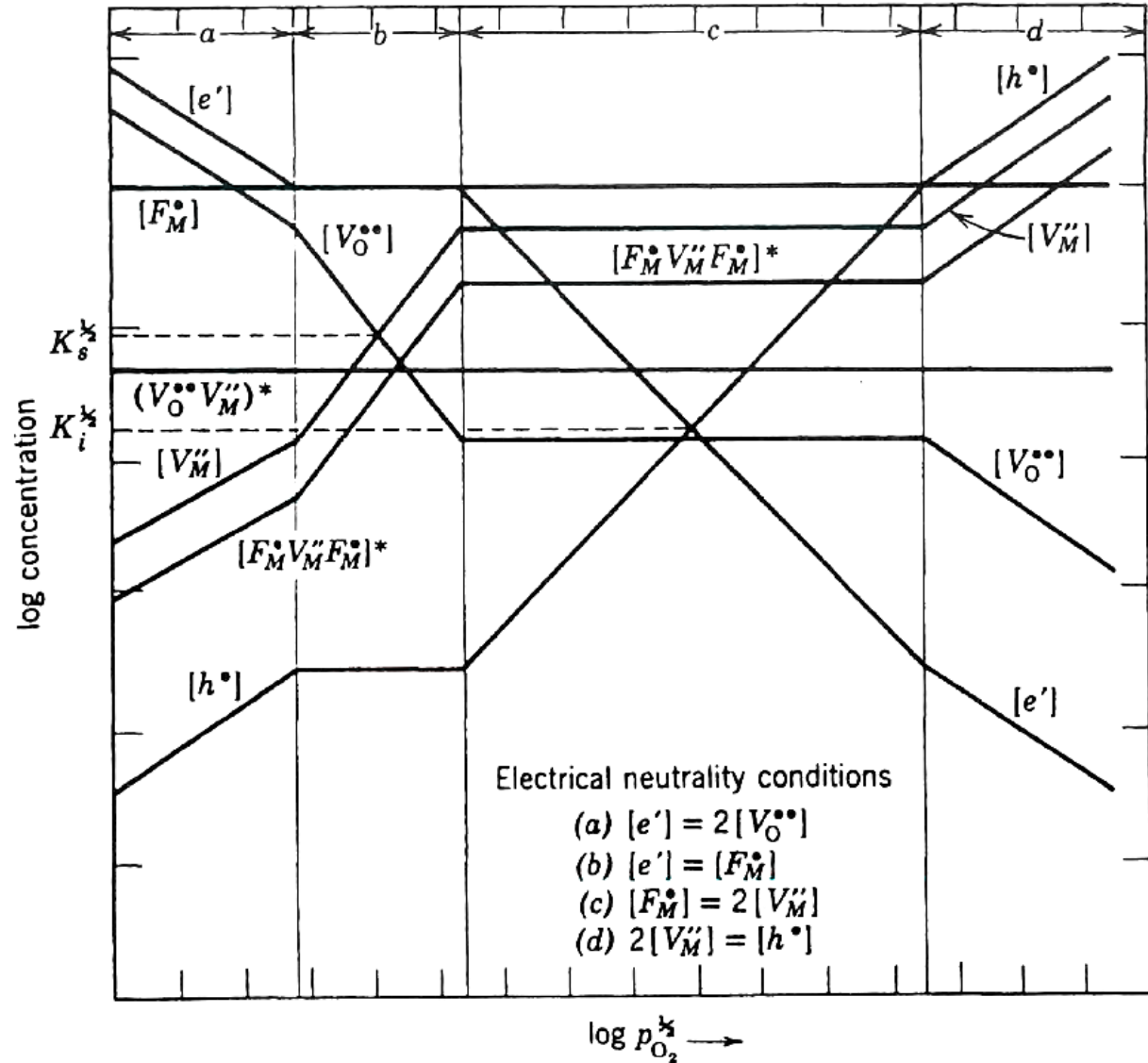
1. Decide how many defects are relevant
 1. Consider the crystal structure, bandgap, impurity concentration
2. Equilibrium constant for each independent defect reaction according to mass action
 1. Should have (N-1) equations for N defect species
3. From the overall electroneutrality: Brouwer approximations of one positive and one negative defect species.
4. Each Brouwer approximation defines a region of P_{O_2} . The Brouwer diagram will usually have 3 or 4 regions
5. Insert the Brouwer approximations into equilibrium constants to calculate the defect concentrations for a region of P_{O_2} . Often start at stoichiometric point.
6. By extrapolating the defect concentrations along P_{O_2} to see which minority defect will take over. Repeat in both directions.

Brouwer diagrams: with solutes

Donor doped MO oxide

$$2[v_{\text{O}}^{\bullet\bullet}] + [D_{\text{M}}^{\bullet}] + p = [v_{\text{M}}^{\prime\prime}] + n$$

1. $n = 2[v_{\text{O}}^{\bullet\bullet}]$
2. $n = [D_{\text{M}}^{\bullet}]$
3. $[D_{\text{M}}^{\bullet}] = 2[v_{\text{M}}^{\prime\prime}]$
4. $2[v_{\text{M}}^{\prime\prime}] = p$
5. $[v_{\text{O}}^{\bullet\bullet}] = [v_{\text{M}}^{\prime\prime}]$
6. $n = p$



Summary

- Defect = real – ideal
- Defining defects is extremely useful
- Kröger-Vink notation
 - Do not mix up species and site
 - Use small v's and i's
 - Always use relative charge and never ever mix up real and relative charge
- Brouwer diagrams can be readily constructed and are instrumental in understanding defect concentrations in non-stoichiometric systems.