

# Tutorial: Batteries (incl. K-Ion Batteries)

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✉ reckless\_ions

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*24<sup>th</sup> International Conference on Solid State Ionics, London*


*14 July 2024*

# Outline

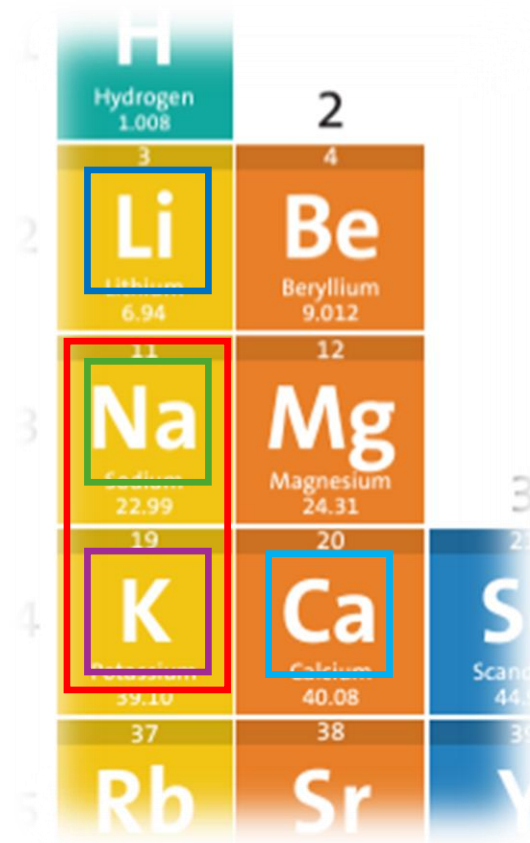
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- A bit about what I research
- A quick recap of battery development
- The holy grail Li-ion battery
- Next generation Li-ion battery
- Beyond Li batteries – K-ion (vs. Na-ion) battery


# A bit about what I research



**THE FARADAY  
INSTITUTION**  
*Saleable and green synthesis  
of LIB cathodes  
(w/ Prof. Darr, UCL Chem)*




|   |                         |    |                          |                         |
|---|-------------------------|----|--------------------------|-------------------------|
| 1 | H<br>Hydrogen<br>1.008  | 2  |                          |                         |
| 2 | Li<br>Lithium<br>6.94   | 4  | Be<br>Beryllium<br>9.012 |                         |
| 3 | Na<br>Sodium<br>22.99   | 12 | Mg<br>Magnesium<br>24.31 |                         |
| 4 | K<br>Potassium<br>39.10 | 20 | Ca<br>Calcium<br>40.08   | Sc<br>Scandium<br>44.96 |
| 5 | Rb<br>Rubidium<br>85.47 | 38 | Sr<br>Strontium<br>87.62 | Y<br>Yttrium<br>88.91   |




**The Leverhulme Trust**  
*Ca-ion intercalation  
chemistry & materials*



Xu group



**UCL**  
*Na solid-state  
electrolytes  
& interfaces  
(w/ Dr Rettie,  
UCL Chem Eng)*



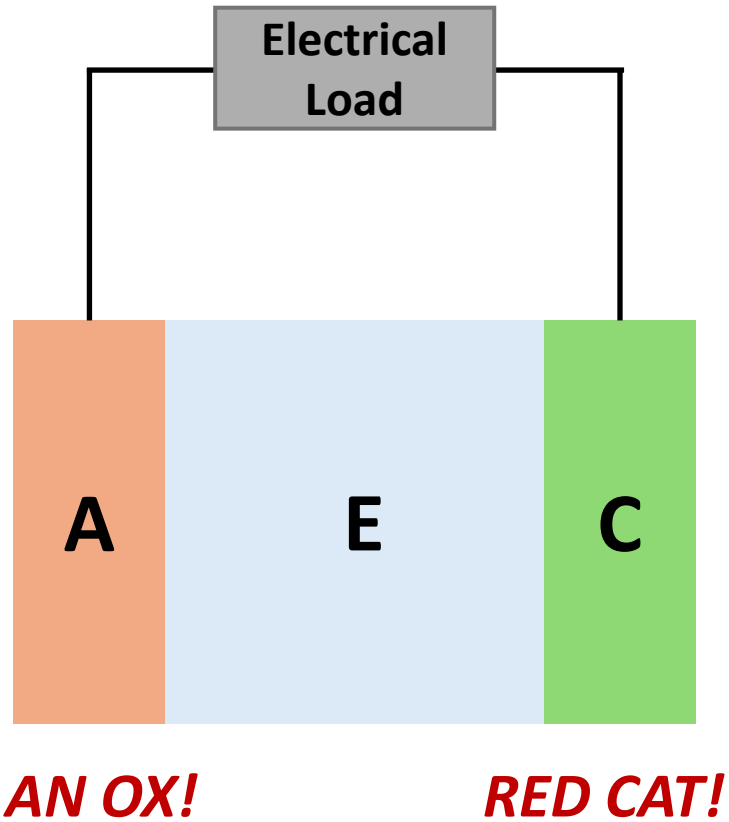
**UKRI** Engineering and  
Physical Sciences  
Research Council  
*K-ion battery electrode  
materials with defects*



**THE ROYAL  
SOCIETY**  
 Engineering and  
Physical Sciences  
Research Council  
*Na/K metal anode  
manufacture &  
S cathode chemistry*

# A battery is an electrochemical cell

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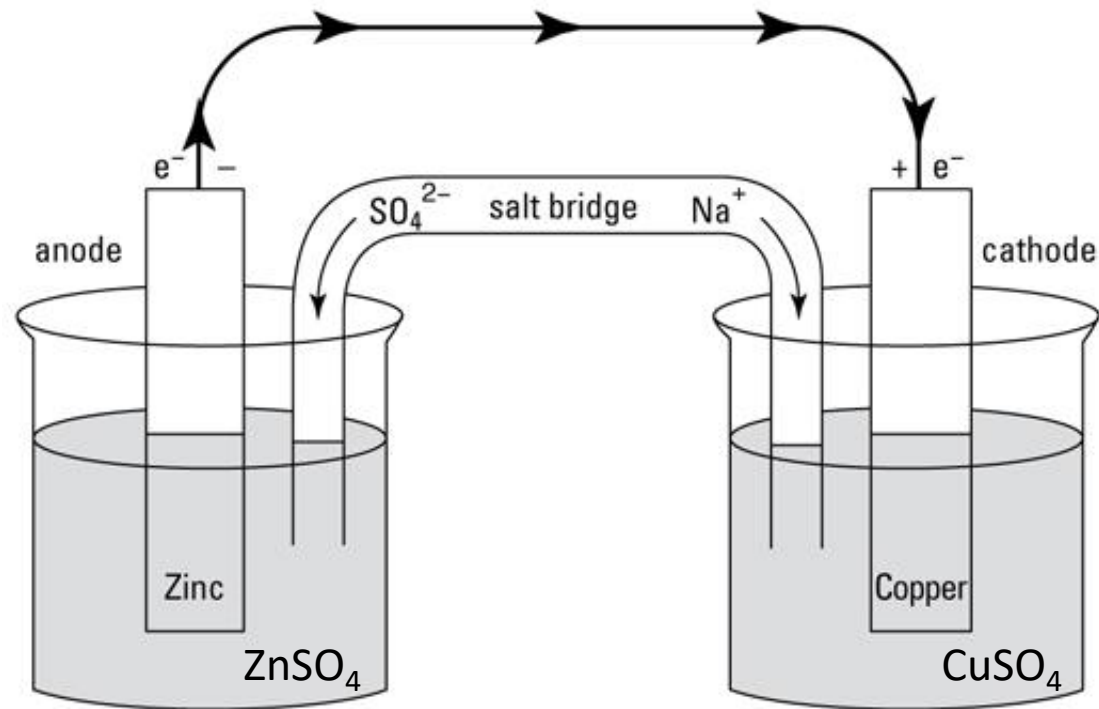


An electrochemical cell includes 3 components:

- Anode (A): oxidation reaction, releasing electrons
- Cathode (C): reduction reaction, accepting electrons
- Electrolyte (E): conducting ion flow, electronically insulating, electrochemically inactive

An electrochemical cell converts chemical energy to electricity through a discharge process.

# Look back history: Daniell cell

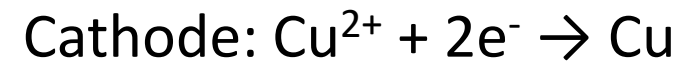
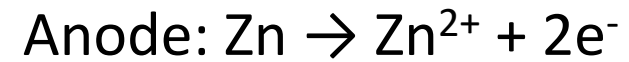


*John Frederic Daniell, FRS*

[https://en.wikipedia.org/wiki/John\\_Frederic\\_Daniell](https://en.wikipedia.org/wiki/John_Frederic_Daniell)

*Daniell cell*

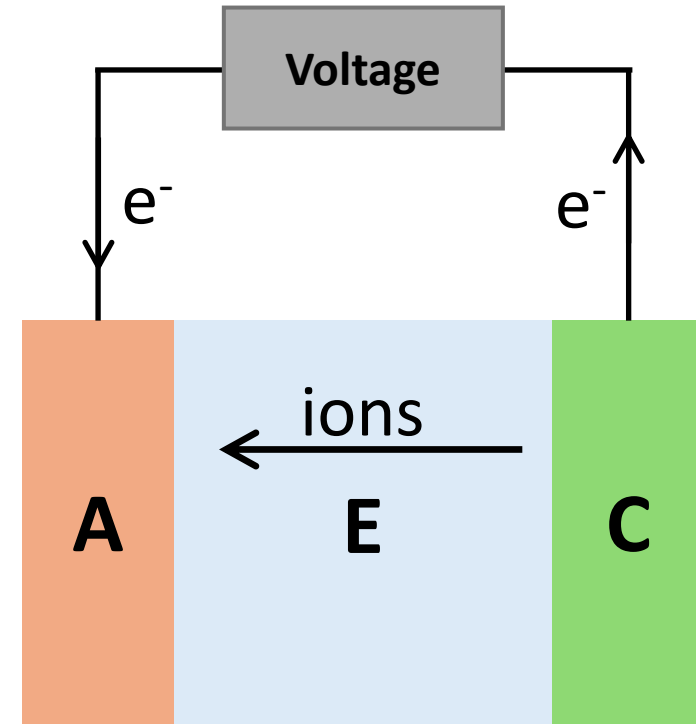
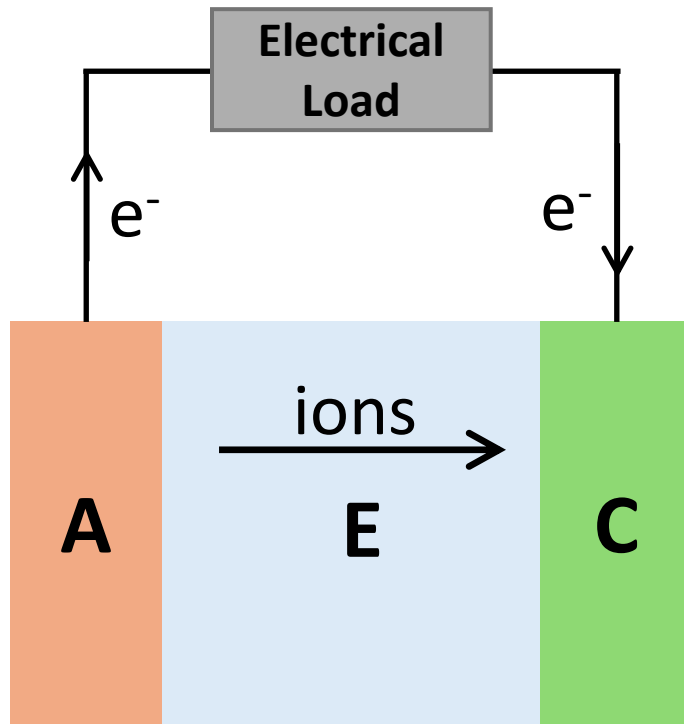
[https://en.wikipedia.org/wiki/Daniell\\_cell](https://en.wikipedia.org/wiki/Daniell_cell)



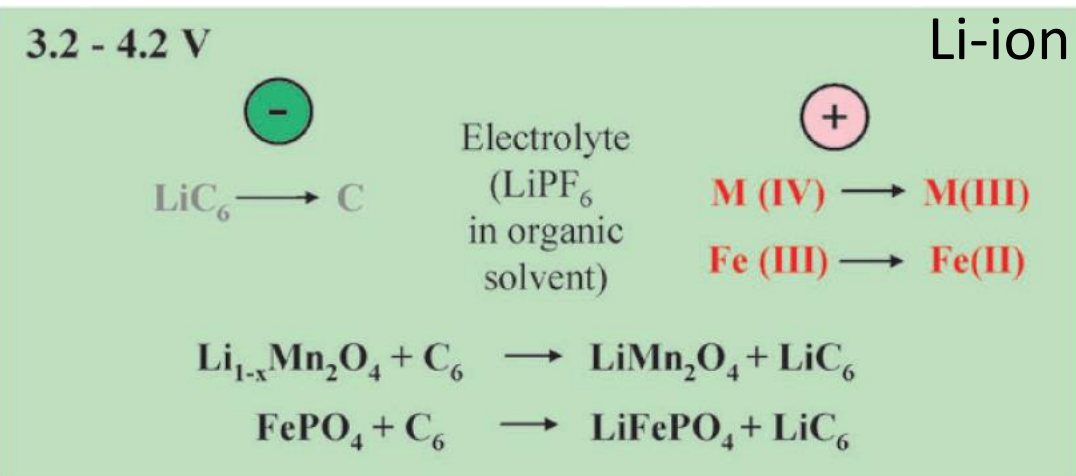
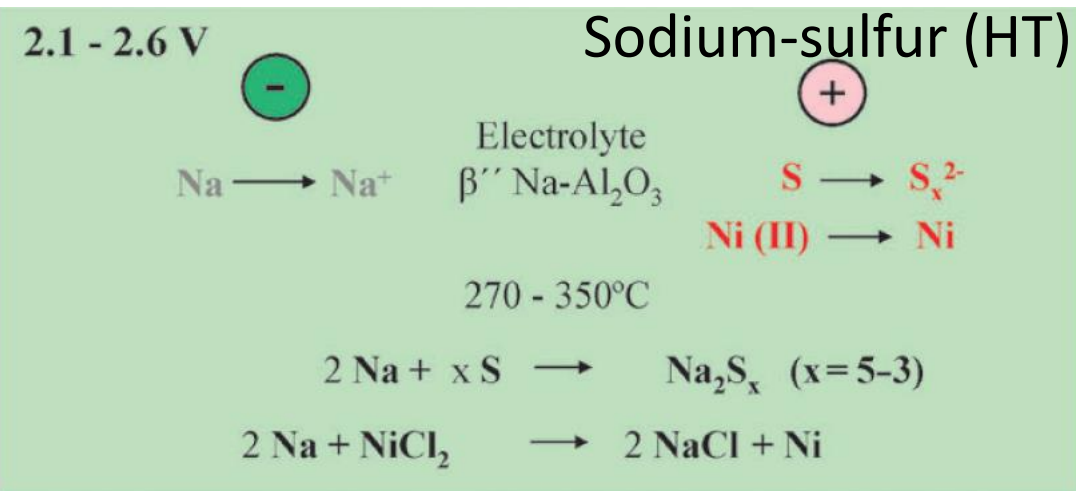
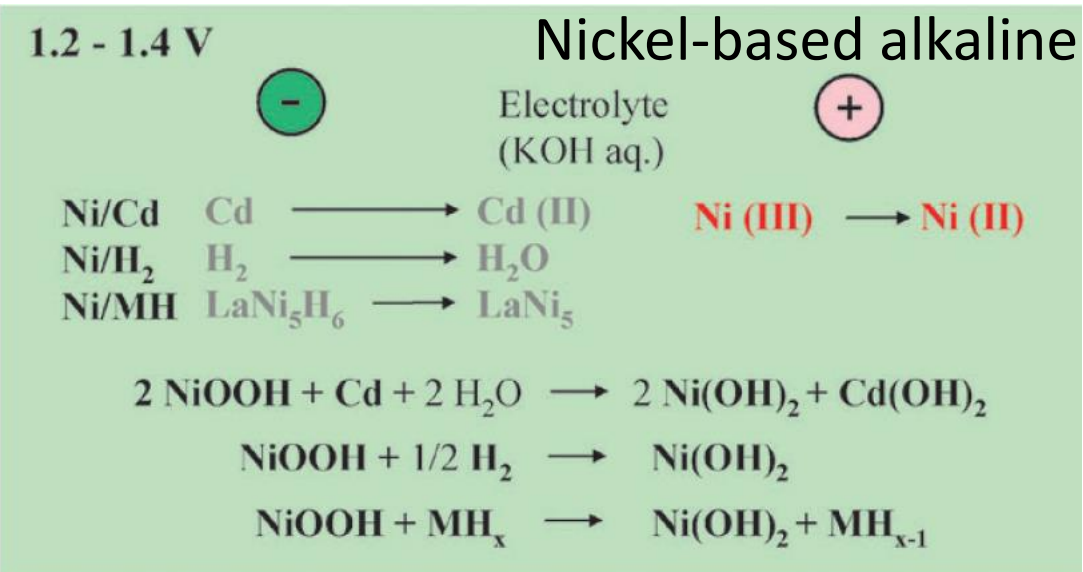
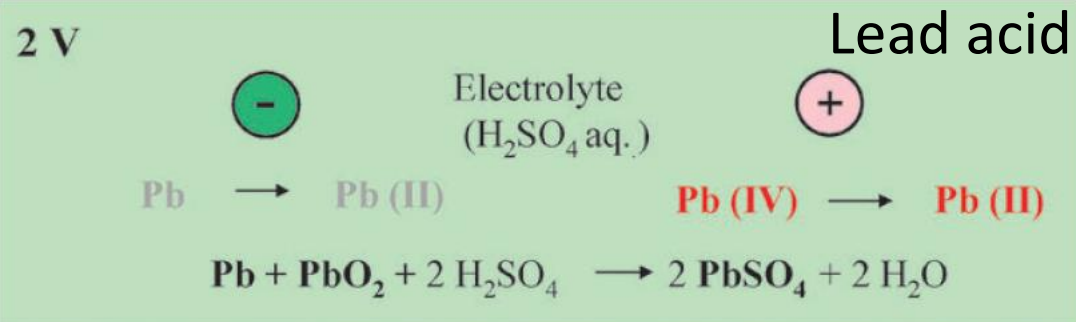
# Primary battery vs. secondary battery

Primary battery: low-cost general commodity applications or niche market

Secondary battery: diverse applications



# Main rechargeable battery chemistries

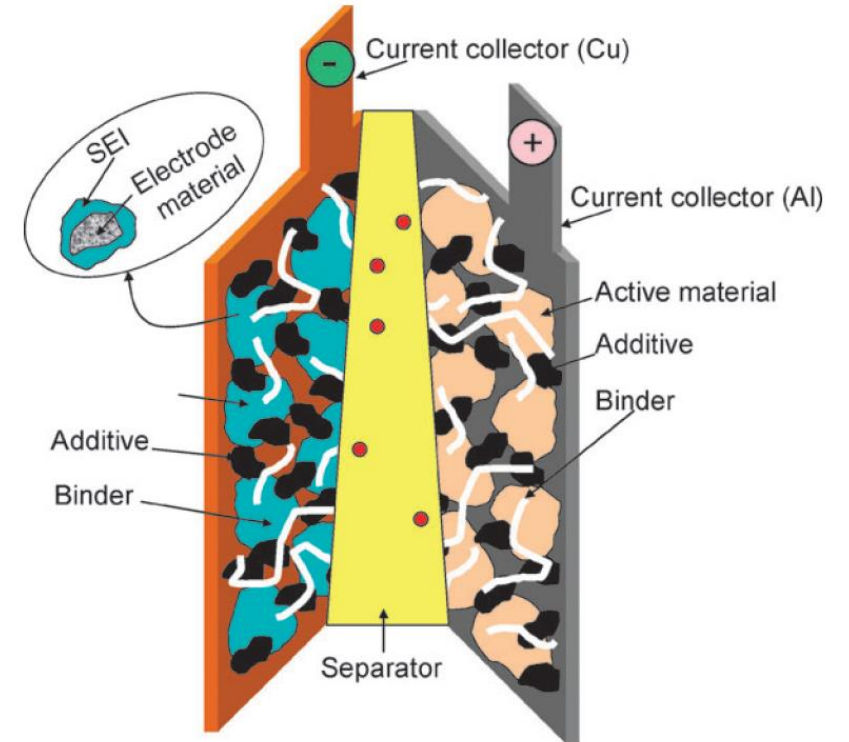
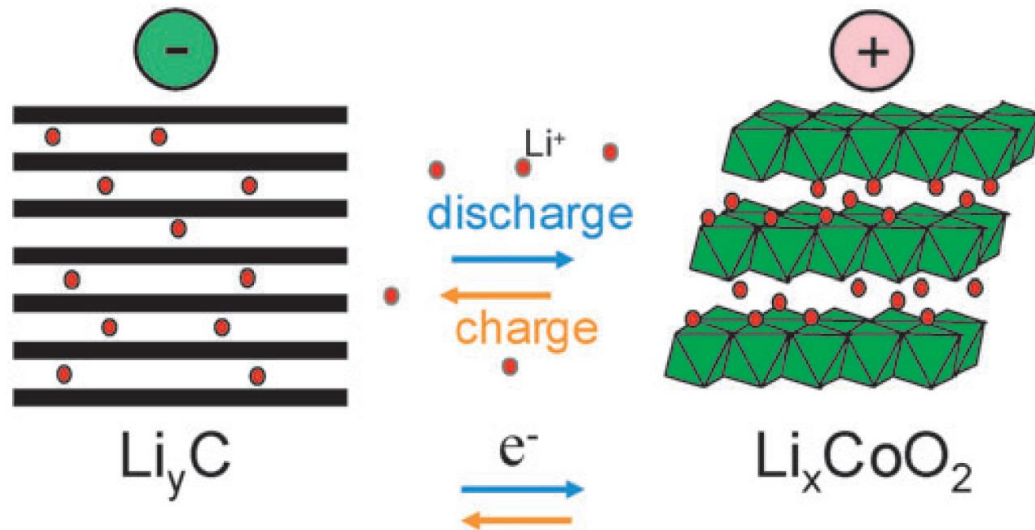


# Li-ion battery (LIB)

## Mechanism: lithium intercalation chemistry (solid state chemistry)

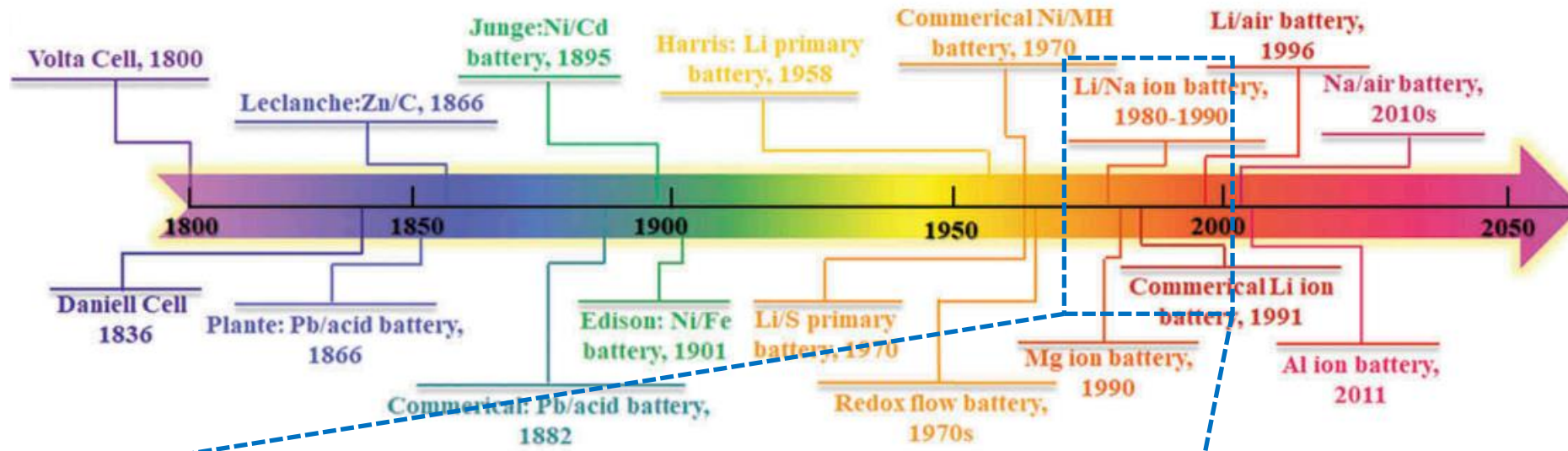
### Why Li-ion?

- Smallest and lightest cation as charge carrier
- Occupy empty interstices in host materials
- Move fastest in host materials
- Provide high energy stored in host materials





# Battery development



DOI: 10.1080/10667857.2018.1474005

## Intercalation cathodes

- Li intercalation in metal disulfides (70's)
- Li-TiS<sub>2</sub> battery (Whittingham, 70's and 80's)
- LiCoO<sub>2</sub> cathode (Goodenough, 1979)
- LiMn<sub>2</sub>O<sub>4</sub> cathode (Thackeray, 1984)
- LiFePO<sub>4</sub> cathode (Goodenough, 1997)

## Anode materials

- Reversible Li intercalation in graphite (1976)
- Rocking-chair battery demonstrated with LiCoO<sub>2</sub> (Goodenough, 1980)
- LiCoO<sub>2</sub>-hard carbon battery commercialized (Sony, 1991)

## Noble Prize in Chemistry 2019



Ill. Niklas Elmehed. © Nobel Media.  
John B. Goodenough  
Prize share: 1/3



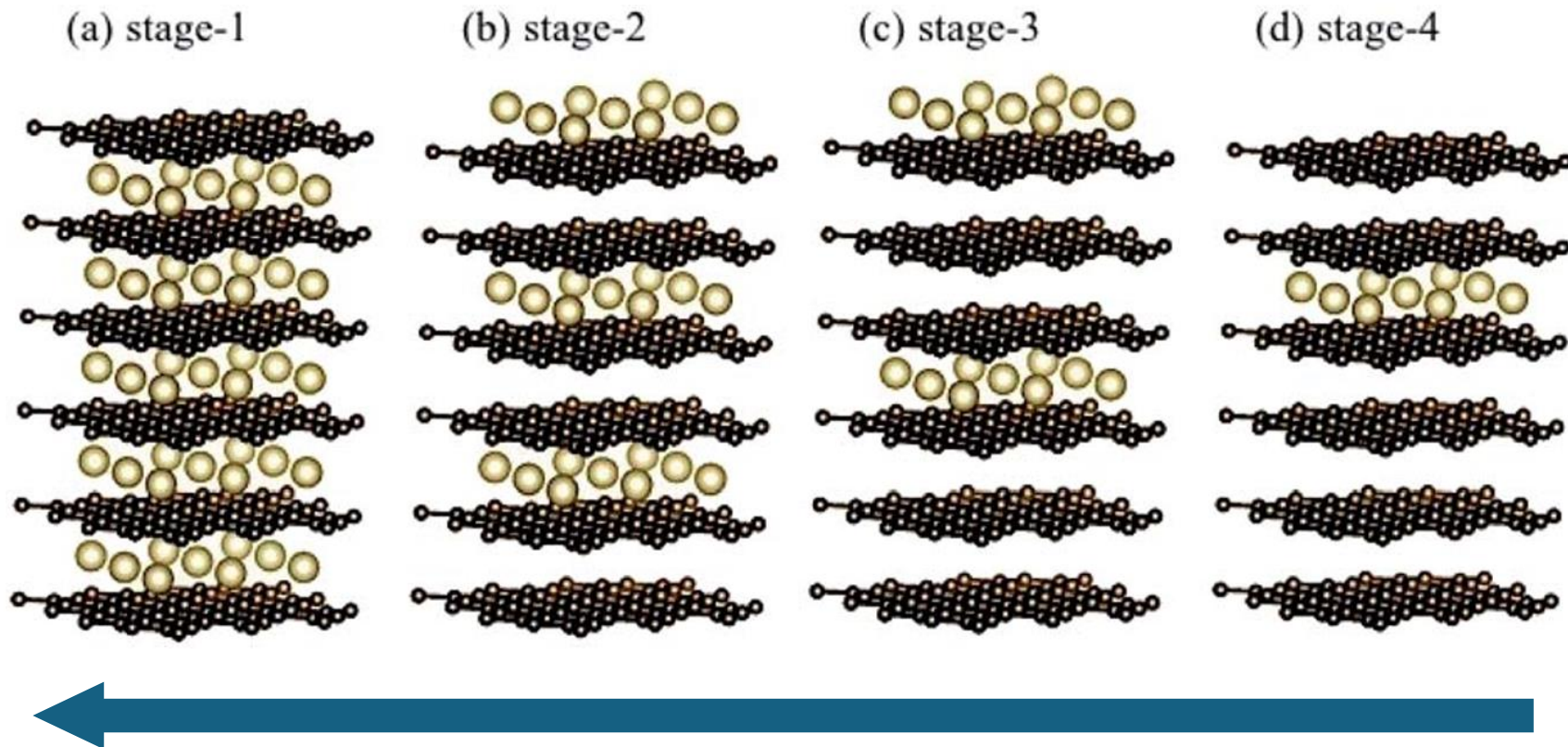
Ill. Niklas Elmehed. © Nobel Media.  
M. Stanley Whittingham  
Prize share: 1/3



Ill. Niklas Elmehed. © Nobel Media.  
Akira Yoshino  
Prize share: 1/3

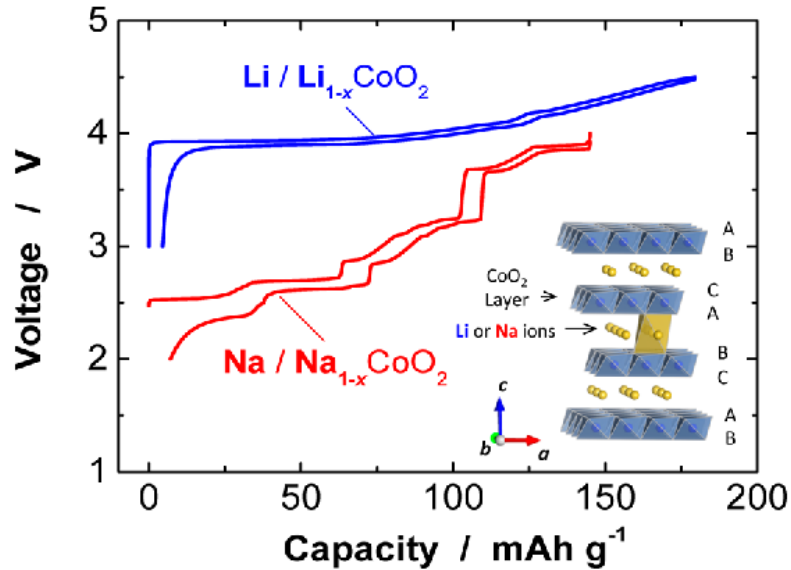
# LIB anode: intercalation in graphite

Graphite can accommodate ions in its interlayer space. Stoichiometry depends on the size of the ions, e.g.,  $\text{LiC}_6$  ( $372 \text{ mAh g}^{-1}$ ) vs.  $\text{KC}_8$  ( $279 \text{ mAh g}^{-1}$ )

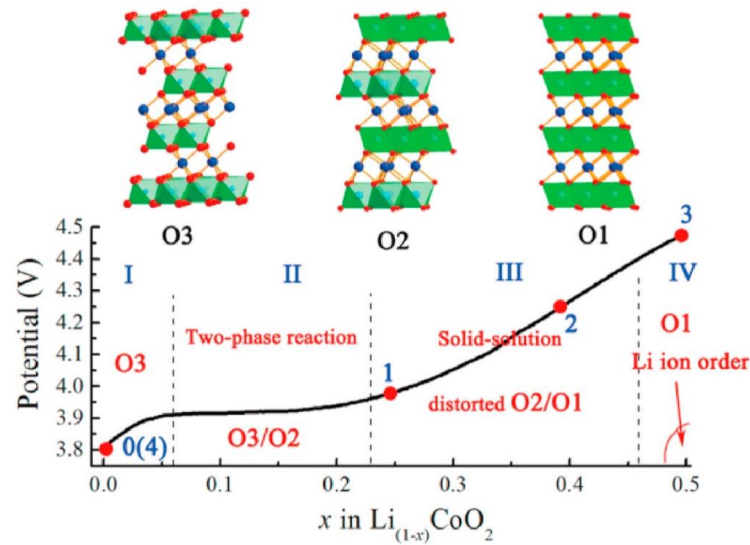


Staging: one interlayer space is completely filled before intercalation starts in another layer due to interlayer expansion upon intercalation.

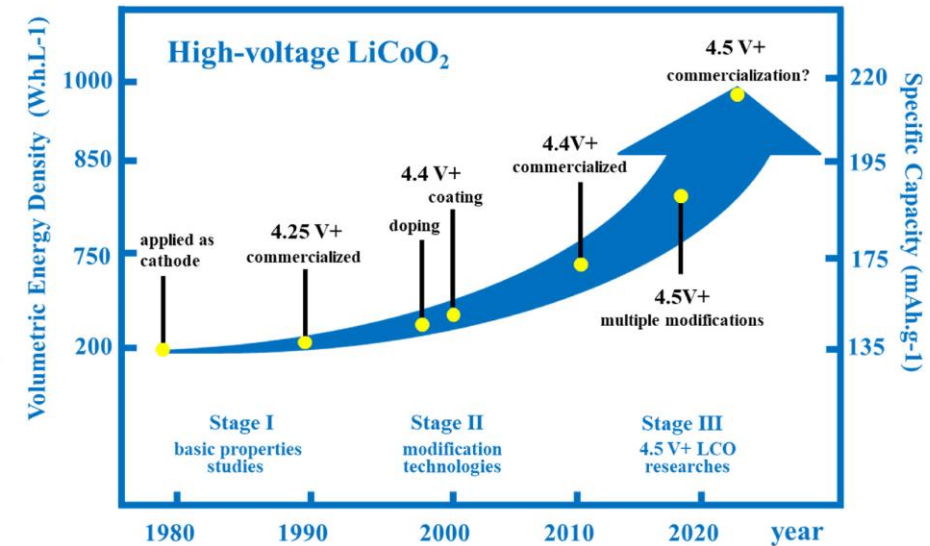
# LIB cathode Gen1: layered $\text{LiCoO}_2$ ( $\text{Co}^{3+/4+}$ )



$\text{Li}_{1-x}\text{CoO}_2$  discharge-charge profiles  
*Chem. Rev.*, 2014, 114, 11636



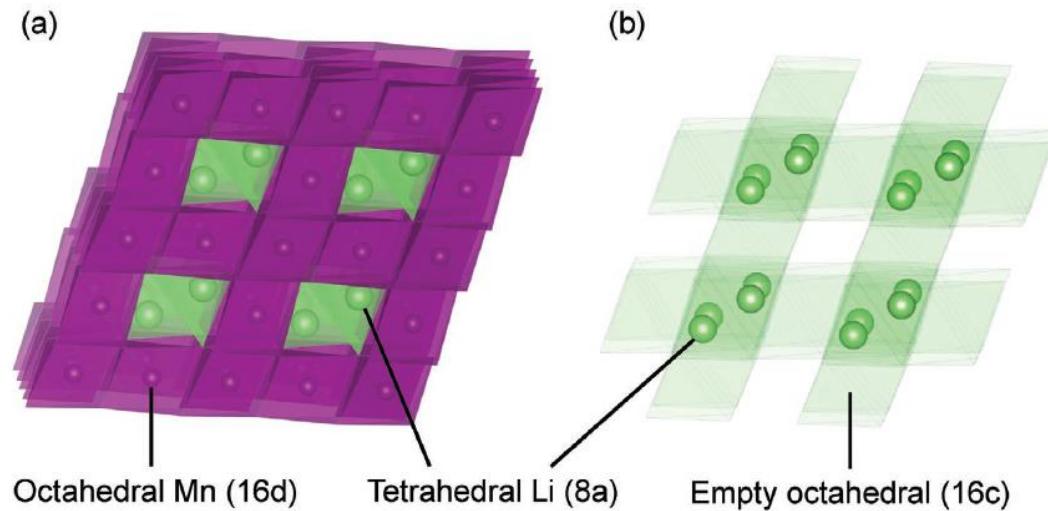
Phase change of  $\text{Li}_{1-x}\text{CoO}_2$  during charge  
 ( $0.5 \leq x \leq 1$ )  
*J. Power Sources*, 2020, 460, 228062



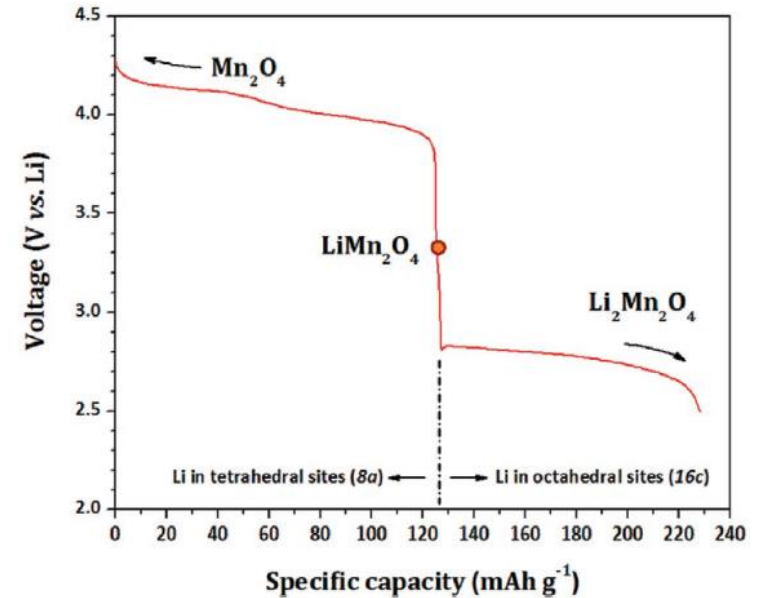
Development roadmap of  $\text{LiCoO}_2$   
*J. Power Sources*, 2020, 460, 228062

- $\text{Li}^+$  deintercalation at  $0.5 \leq x \leq 1$  causes irreversible structural change
- Co is expensive (relative to Ti, Fe, and Mn) and toxic

# LIB cathode Gen2: spinel $\text{Li}_{1-x}\text{Mn}_2\text{O}_4$ ( $\text{Mn}^{3+/4+}$ )



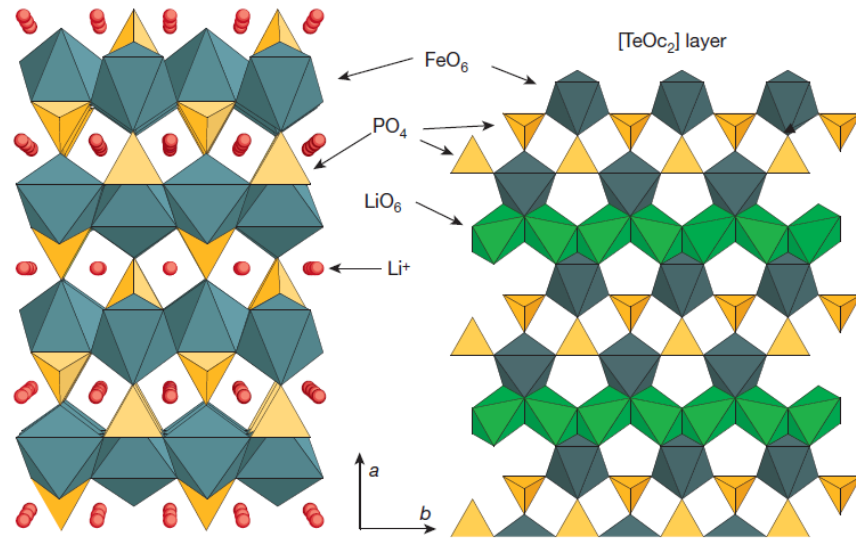
(a) Crystal structure of and (b)  $\text{Li}^+$  diffusion tunnel in  $\text{LiMn}_2\text{O}_4$   
*Adv. Energy Mater.*, 2020, 2000997



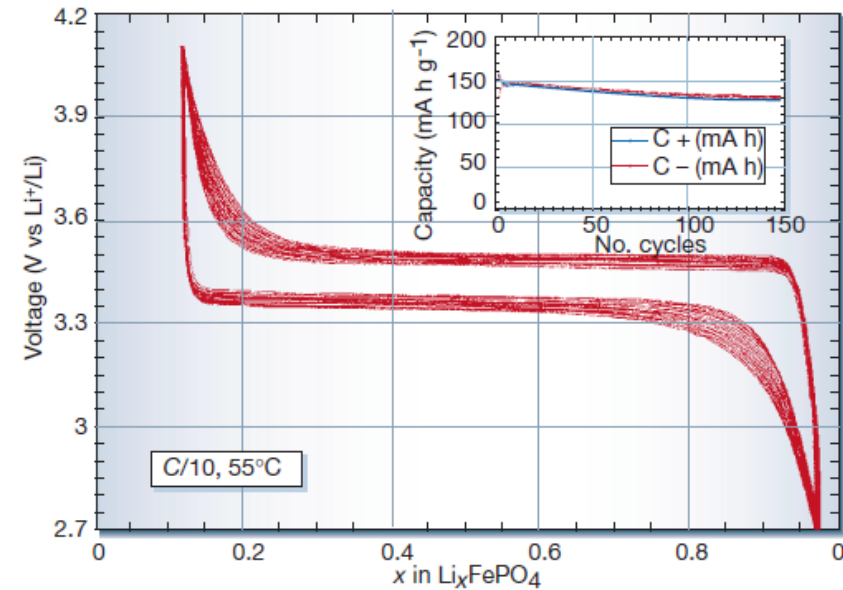
$\text{Mn}_2\text{O}_4$  discharge profiles  
*J. Am. Chem. Soc.*, 2013, 135, 1167

- High voltage as a cathode (4.0 V)
- $\text{Li}^+$  deintercalation varies at  $0 \leq x \leq 1$  (vs.  $0.5 \leq x \leq 1$  in  $\text{Li}_{1-x}\text{CoO}_2$ )
- Presence of  $\text{Mn}^{3+}$  gives a Jahn-Teller distortion that limits cycling
- Rather slow  $\text{Li}^+$  movement and poor  $e^-$  conductivity

# LIB cathode Gen3: olivine $\text{LiFePO}_4$ ( $\text{Fe}^{2+/3+}$ )



Crystal structure of olivine  $\text{LiFePO}_4$   
*Nature, 2001, 414, 359*

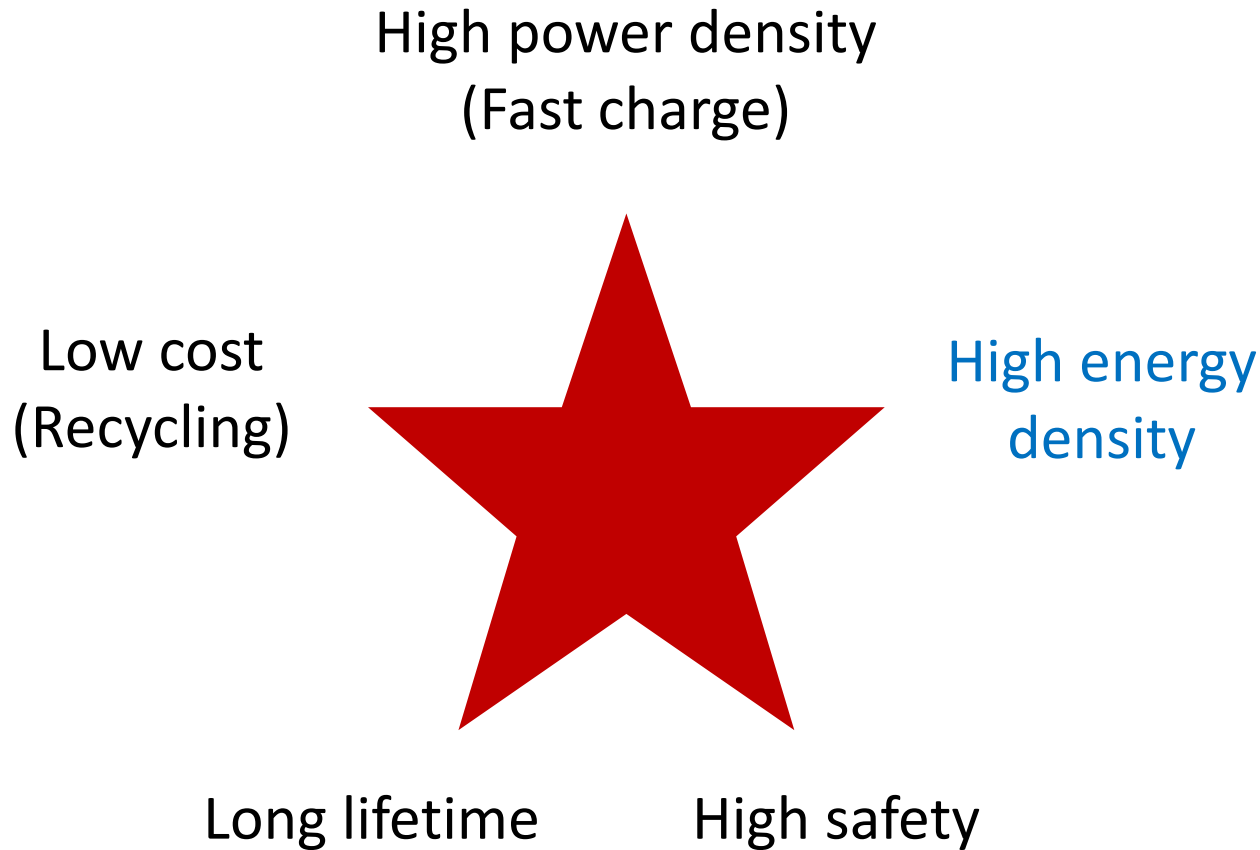


Discharge-charge curves of olivine  $\text{LiFePO}_4$ .  
*Nature, 2001, 414, 359*

- $\text{Li}^+$  deintercalation varies at  $0 \leq x \leq 1$  (vs.  $0.5 \leq x \leq 1$  in  $\text{Li}_{1-x}\text{CoO}_2$ )
- Relatively low voltage as a cathode ( $\sim 3.5$  V) – Mn-doped  $\text{LiFePO}_4$  (LMFP)
- Rather slow  $\text{Li}^+$  movement and poor  $e^-$  conductivity

# Most important performance parameter

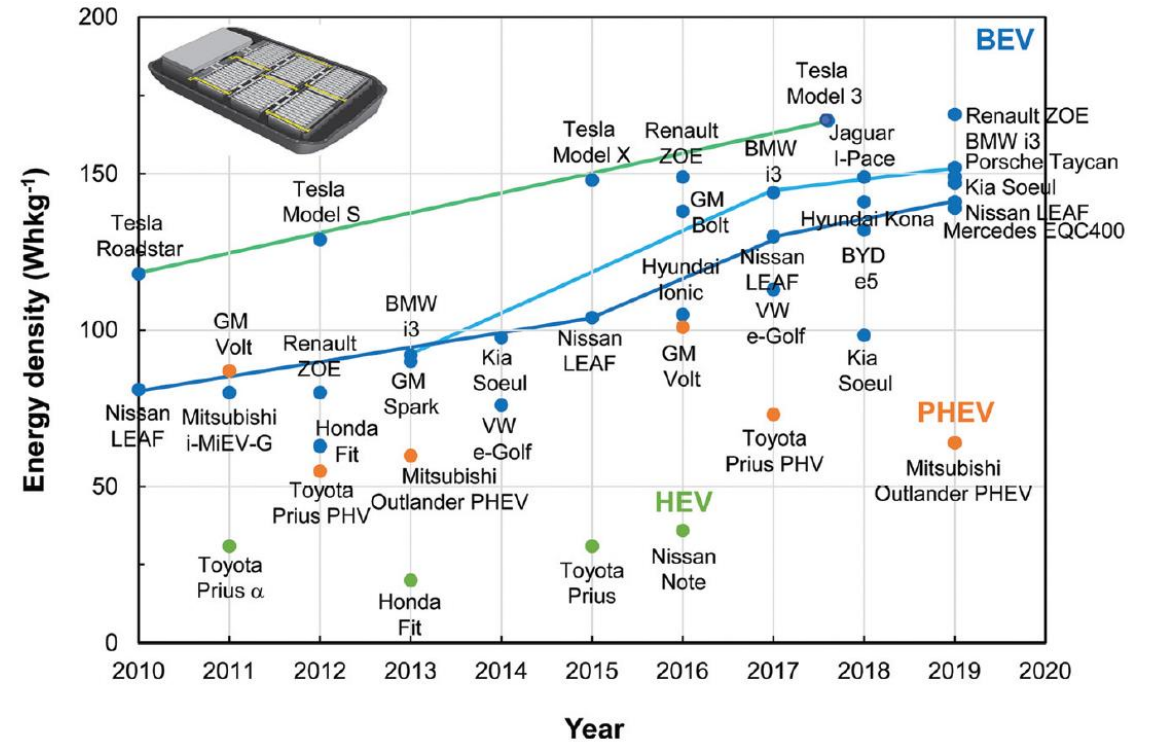
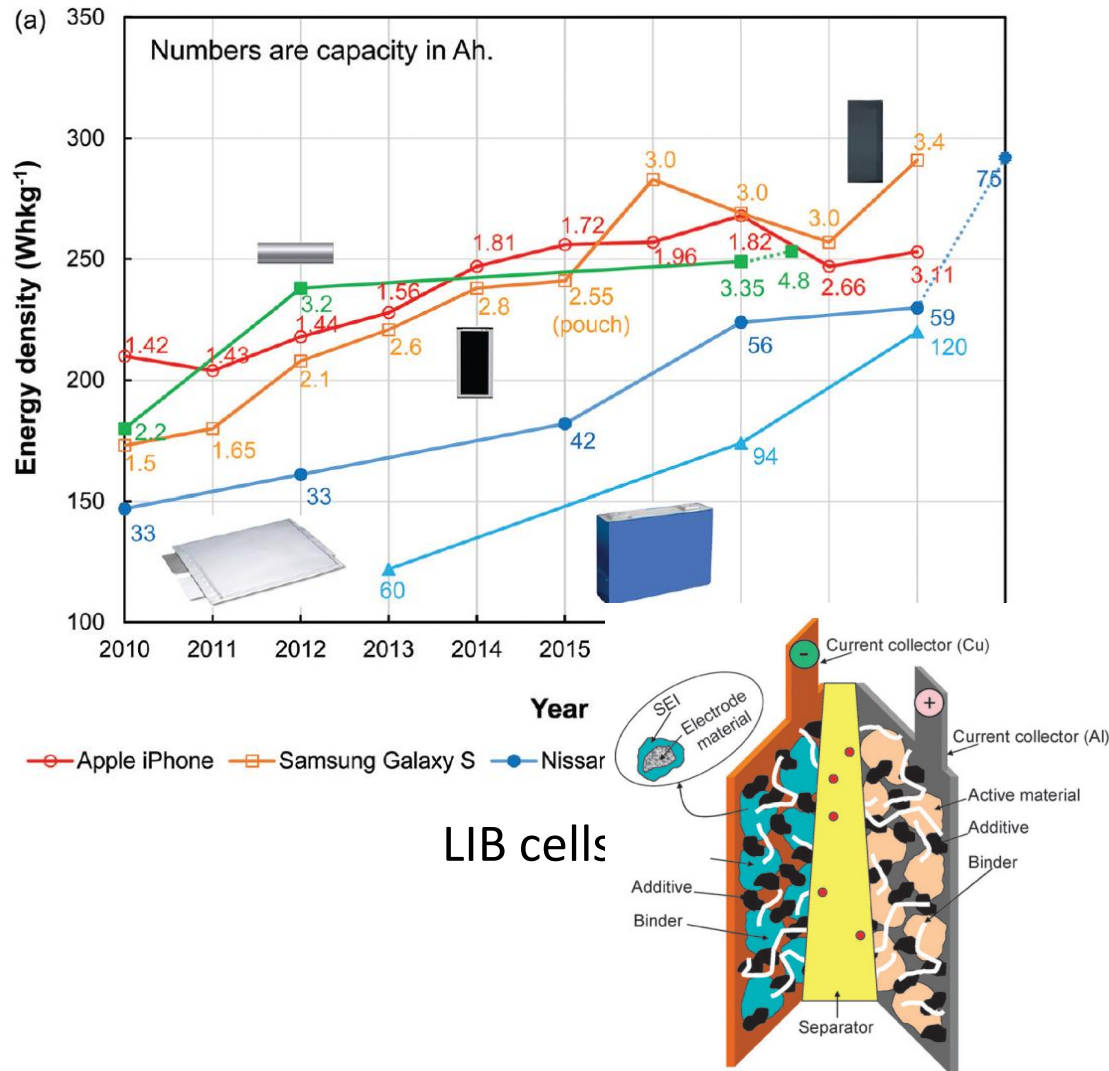
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Energy density determines the maximum potential of a battery.

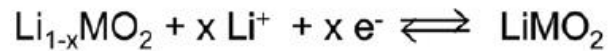
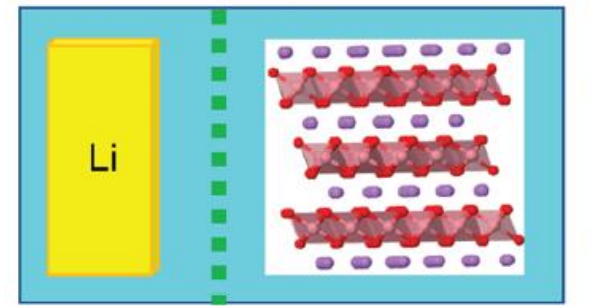
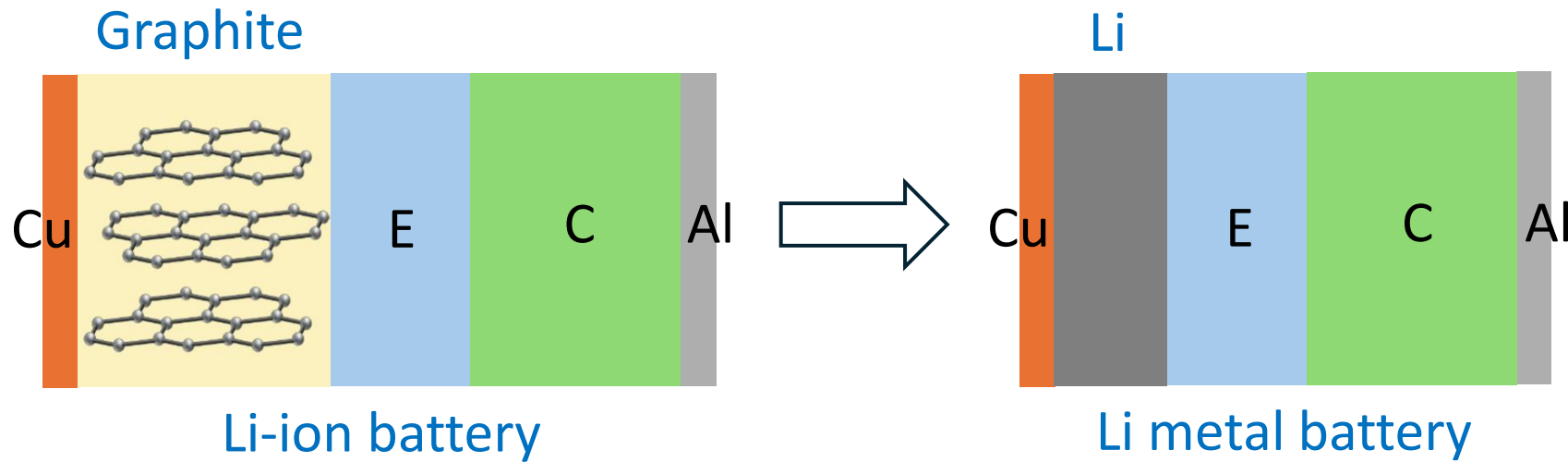
- The value of a battery is evaluated by the total usable energy (W h), and the price of a battery is often represented by the price of energy ( $\$ \text{kW}^{-1} \text{h}^{-1}$ ), because this measure can compare any kind of battery regardless of battery size or weight.
- If the energy density ( $\text{W h kg}^{-1}$ ) of a battery is improved, it contributes to a decrease in the cost of energy in the battery.

# Trends in energy densities of LIB cells

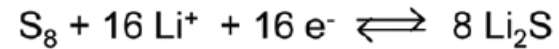
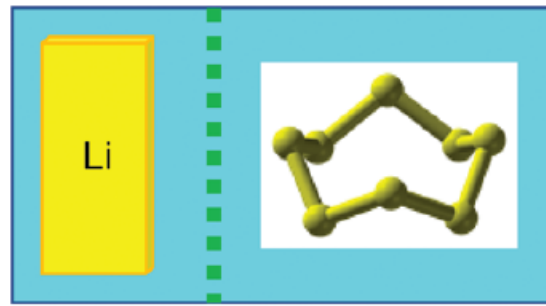


LIB Packs including battery management system (BMS) and battery thermal managing system (BTMS)

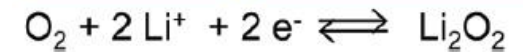
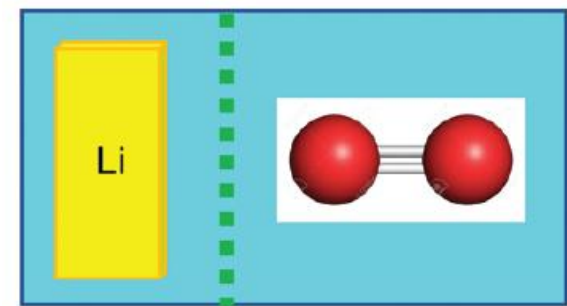
# Next generation LIBs: higher energy density – Li metal anode



Li metal battery  
(intercalation cathode)



Li-S battery  
(conversion cathode)

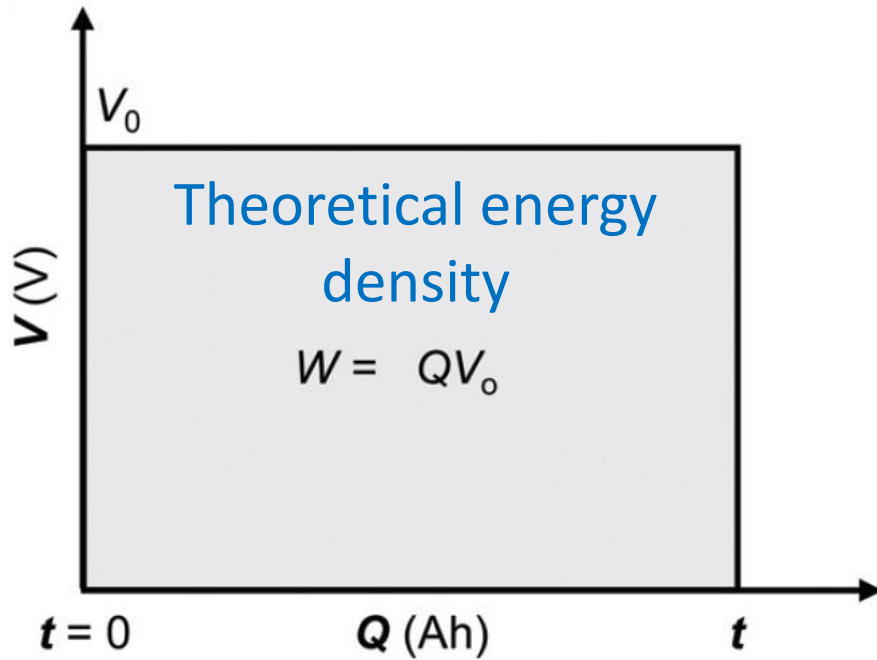


Li-O<sub>2</sub> battery  
(conversion cathode)



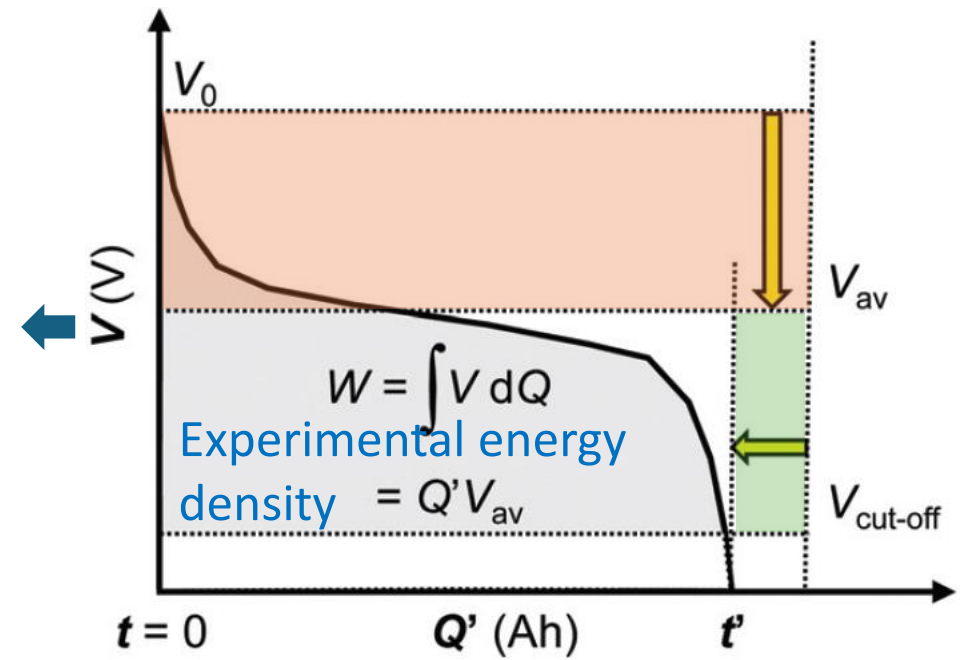
# The gap between theoretical and practical energy density

Theoretical voltage determined by redox pair(s)



Theoretical capacity  $Q = nF$

Experimental voltage affected by the internal resistance  $R$

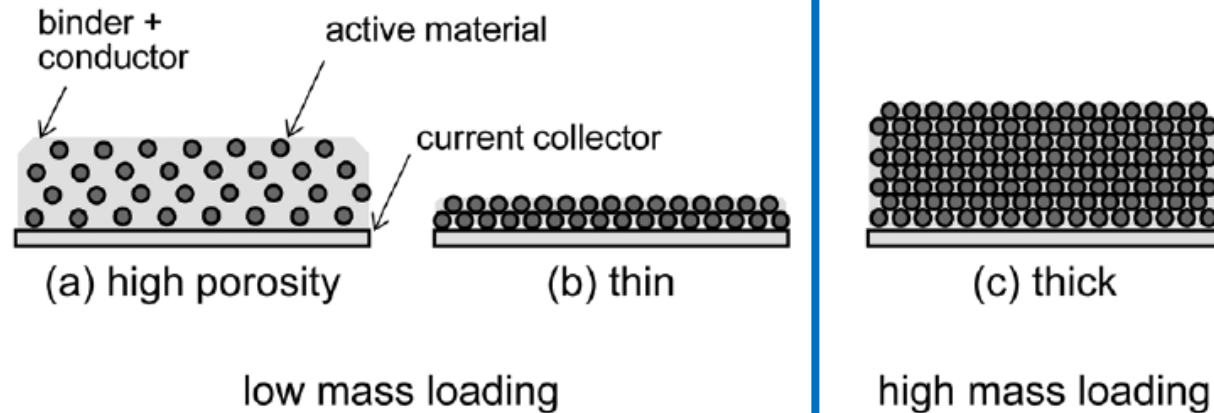


Experimental capacity  $Q'$  affected by discharge current  $i$

# Key technological parameters in improving energy density – cathode coating

- Mass loading of active materials
  - Increasing the loading of active material (>90%)
  - Optimizing ink formulation (conductive carbon, binder, solvent, etc.)
- Thickness and porosity of electrodes

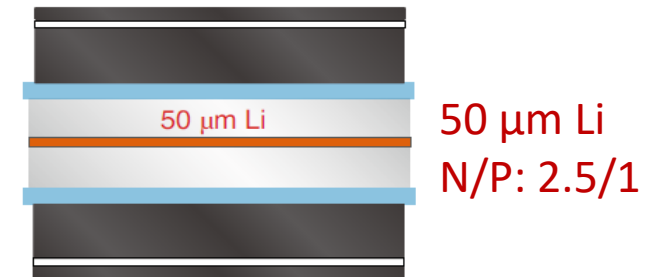
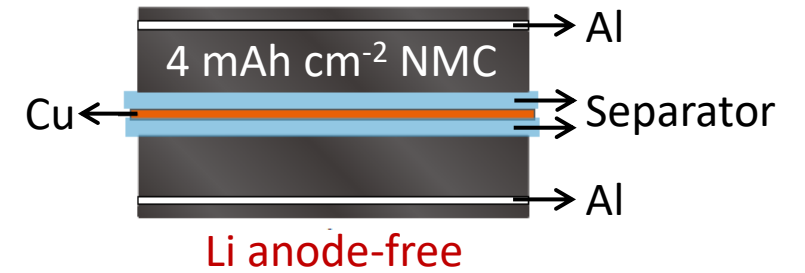
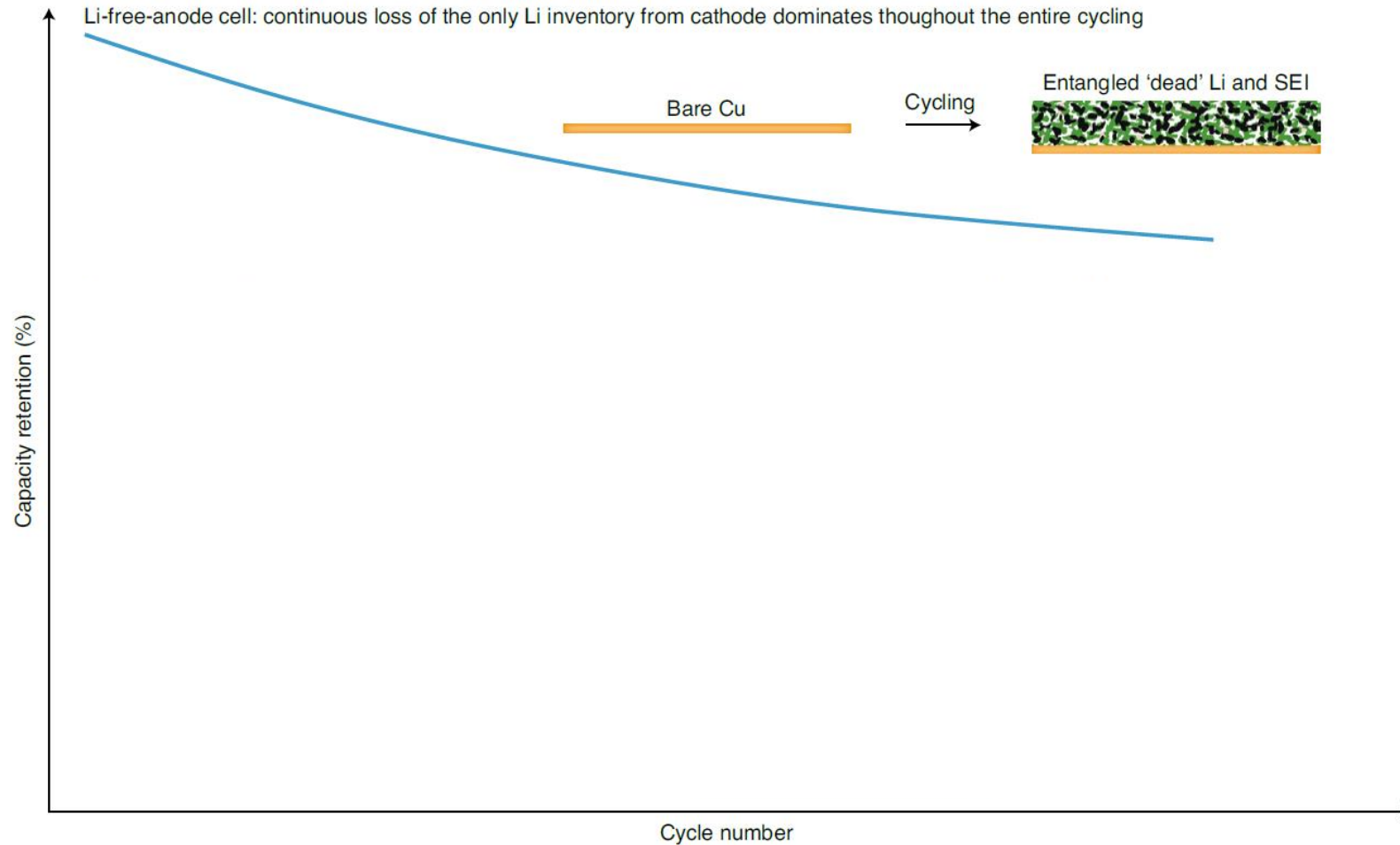
↗ Higher reversible capacity  
↘ Lower ratio of active material



↗ Higher ratio of active material  
↘ potential polarization

# Improving energy density – anode/cathode pairing (N/P ratio)

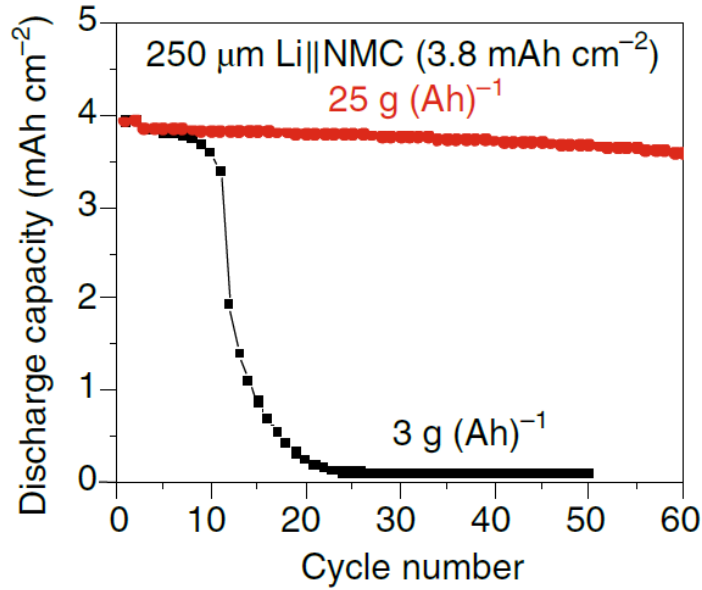
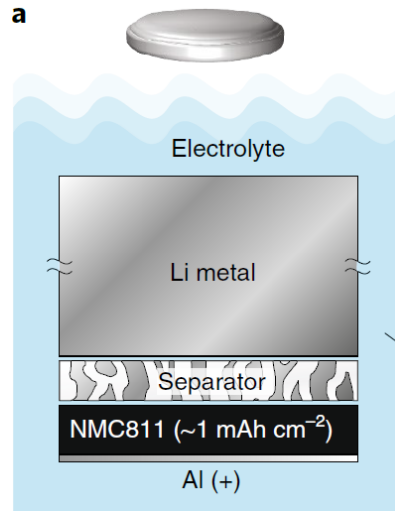
One repeating layer of double-sided pouch cell



# Improving energy density – electrolyte amount (E/C ratio)

## Coin cell

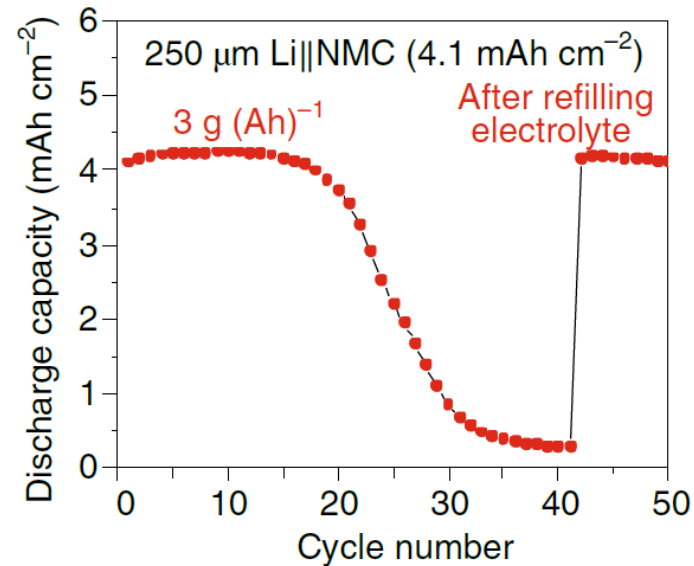
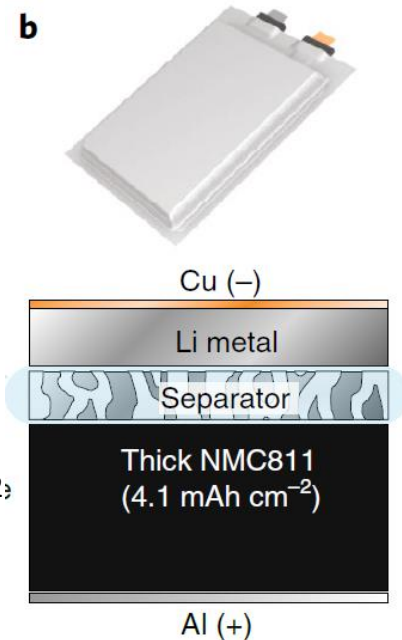
Flooded electrolyte:  $\geq 75 \mu\text{L}$   
 Cathode loading:  $1 \text{ mAh cm}^{-2}$   
 E/C ratio:  $\geq 70 \text{ g (Ah)}^{-1}$



Capacity dropping significantly when reducing E/C ratio

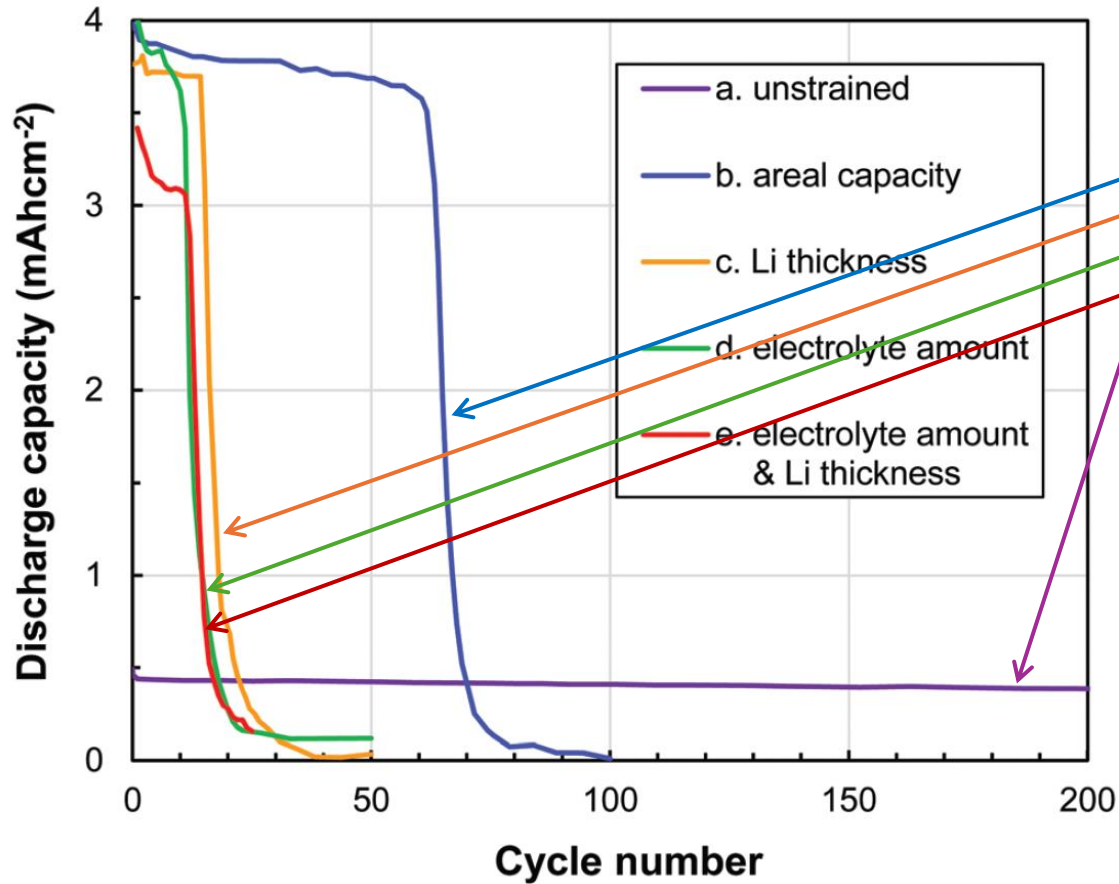
## Pouch cell

Lean electrolyte  
 Cathode loading:  $\sim 4 \text{ mAh cm}^{-2}$   
 E/C ratio:  $\sim 3 \text{ g (Ah)}^{-1}$



Capacity recovered when replenishing electrolyte – electrolyte consumption by thick Li anode

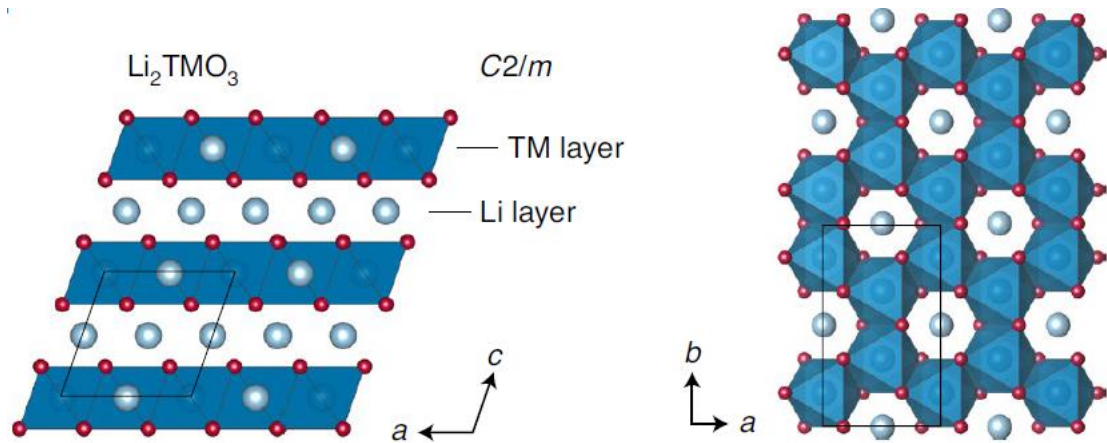
# For example (Li/NCM622)



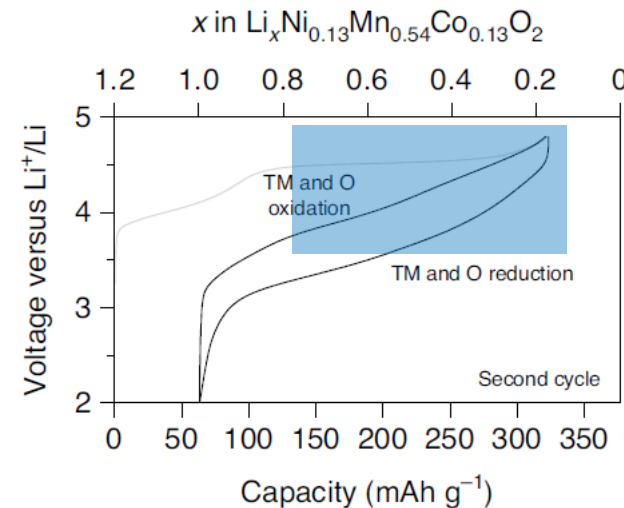
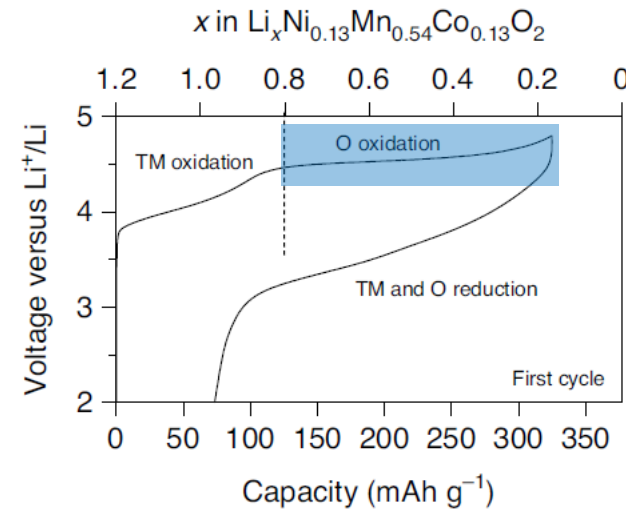
|   | Areal capacity<br>Expt. ( $\text{mA h cm}^{-2}$ ) | Li thickness<br>( $\mu\text{m}$ , N/P) | Electrolyte amount<br>( $\mu\text{l}$ , $\text{g A h}^{-1}$ ) | Charge/discharge rate<br>( $\text{mA cm}^{-2}$ , C-rate) | Cycle life<br>(number) |
|---|---|--|---|--|------------------------|
| a | 0.45  | 250, 173                               | 100, 210  | 0.90, 2  | > 300                  |
| b | 3.8   | 250, 20                                | 100, 25   | 0.76, 0.2  | 63                     |
| c | 3.7   | 50, 4                                  | 100, 25   | 0.74, 0.2  | 16                     |
| d | 3.8   | 250, 20                                | 11, 3   | 0.76, 0.2  | 12                     |
| e | 3.5   | 50, 4                                  | 11, 3   | 0.70, 0.2  | 12                     |

- Unstrained: flooded electrolyte, high N/P ratio, large electrode area
- Small electrode area and large areal capacity
- Low N/P ratio, large areal capacity
- Lean electrolyte, large areal capacity
- Low N/P ratio, lean electrolyte, and large areal capacity

# Next generation LIBs: higher energy density – Li-rich & O-redox cathodes



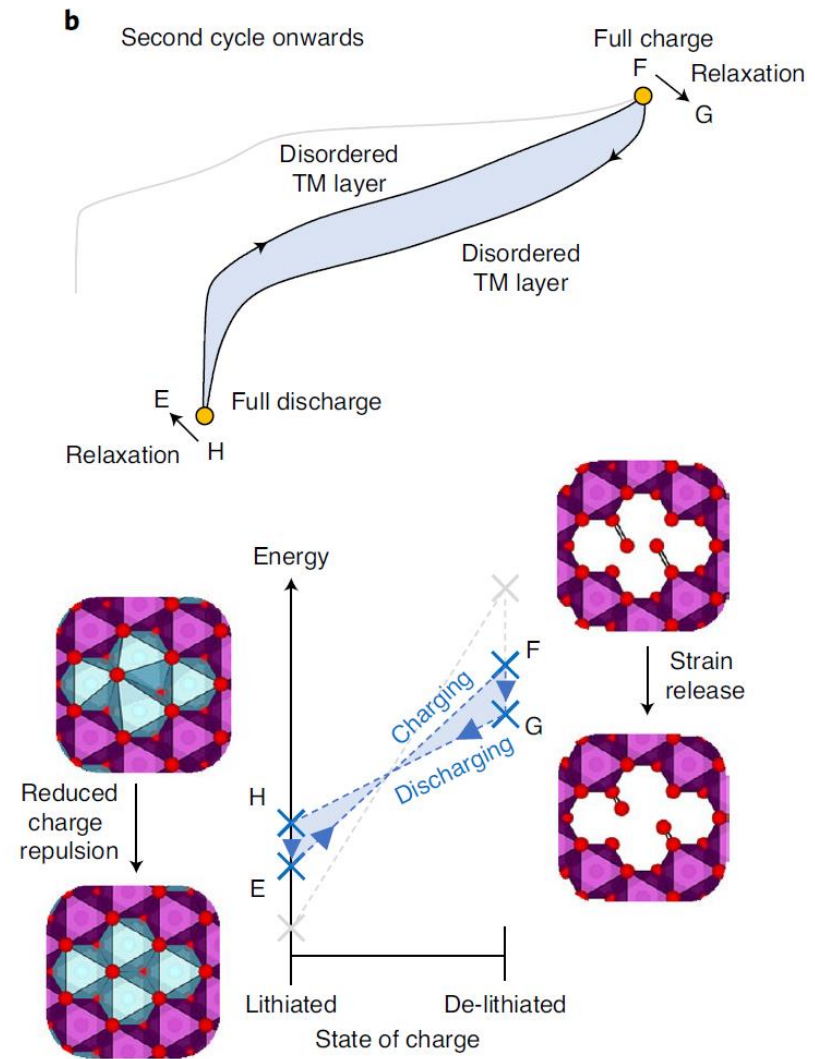
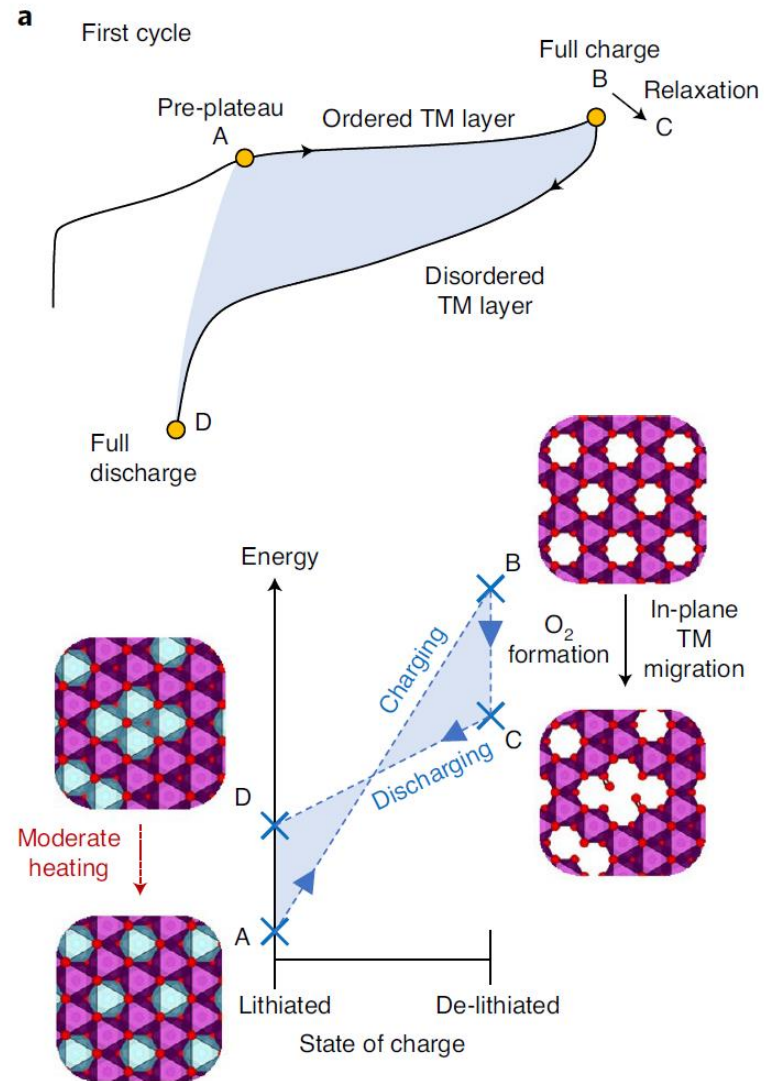
Parent crystal structure of layered Li-rich O-redox cathodes, LiTMO<sub>3</sub> (Li[Li<sub>1/3</sub>TM<sub>2/3</sub>]O<sub>2</sub>), e.g.,  
 Li<sub>1.2</sub>Mn<sub>0.54</sub>Ni<sub>0.13</sub>Co<sub>0.13</sub>O<sub>2</sub> (Li[Li<sub>0.2</sub>Mn<sub>0.54</sub>Ni<sub>0.13</sub>Co<sub>0.13</sub>]O<sub>2</sub>)



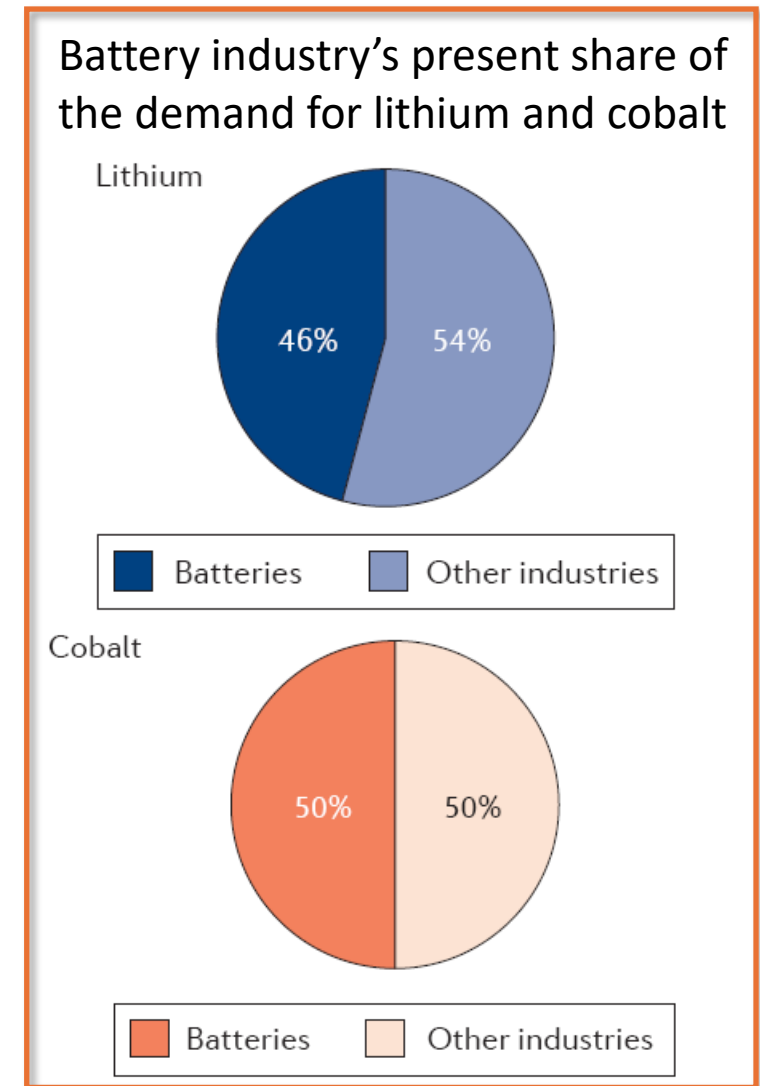
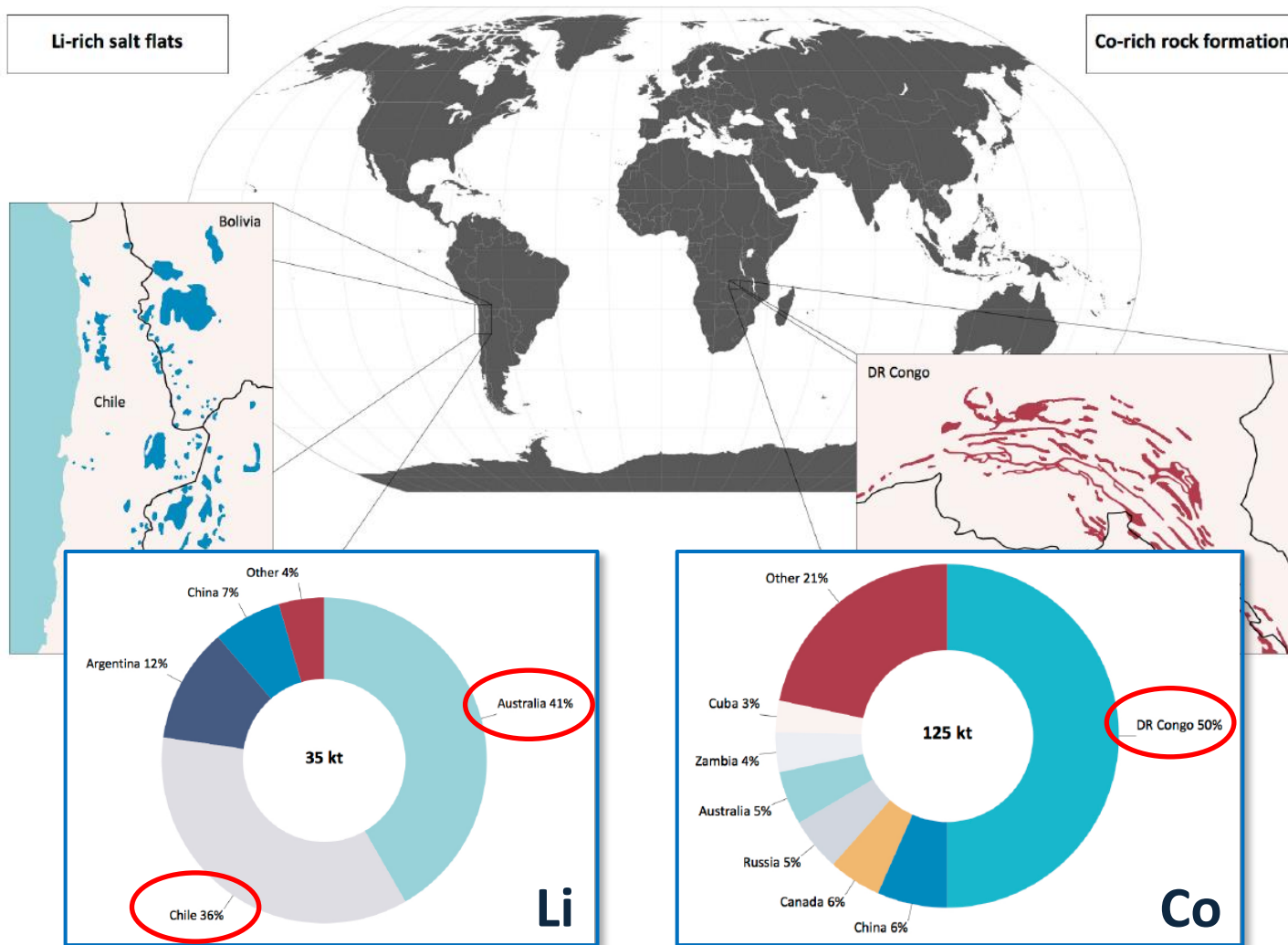
The oxidation of O<sup>2-</sup> is typically accompanied by a high voltage plateau (~4.5 V vs. Li<sup>+</sup>/Li for 3d cathodes) on charging followed by an S-shaped discharge profile.

Irreversible O-redox activity is seen from the second charging onwards, showing the loss of high-voltage plateau – **voltage hysteresis**

# Voltage hysteresis

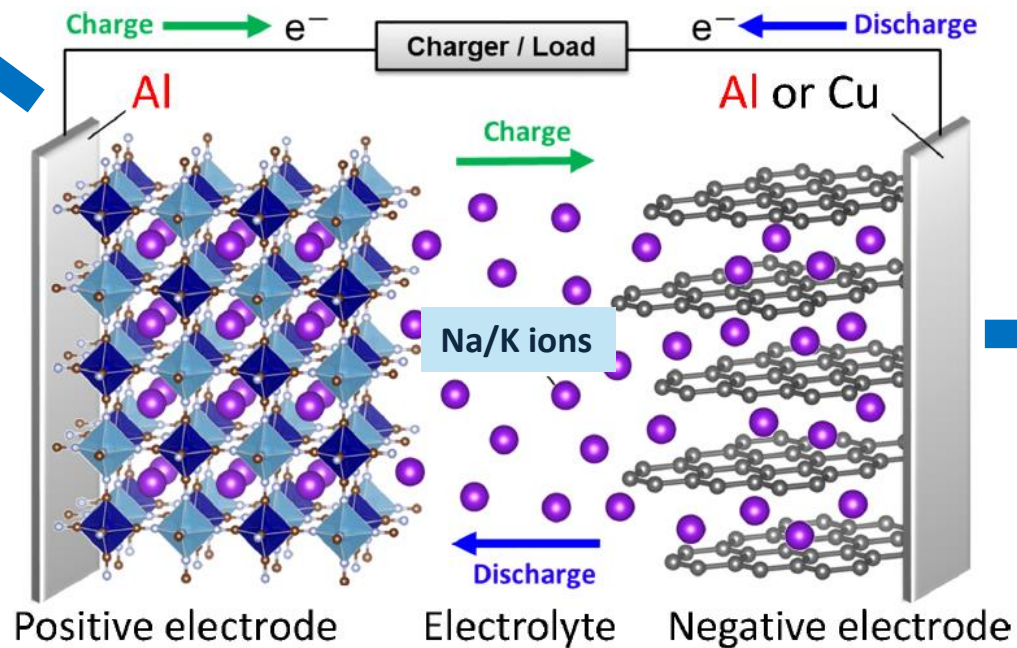
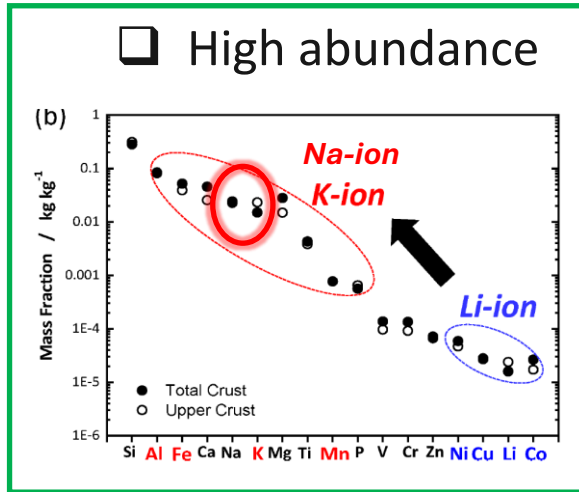


# Next generation batteries: beyond lithium (sustainability is key)



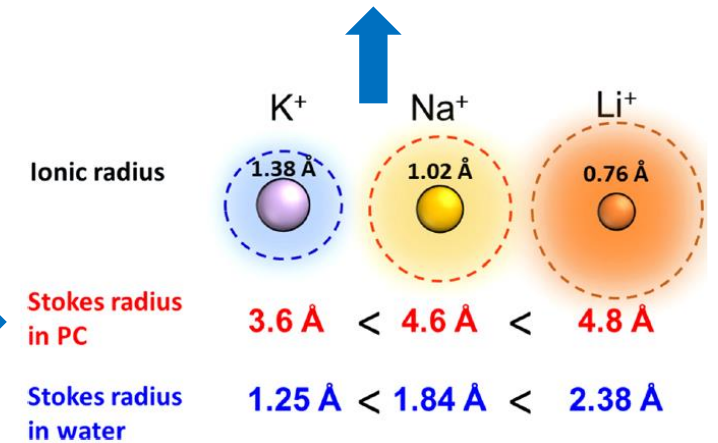


# Na-ion battery (NIB) & K-ion battery (KIB)



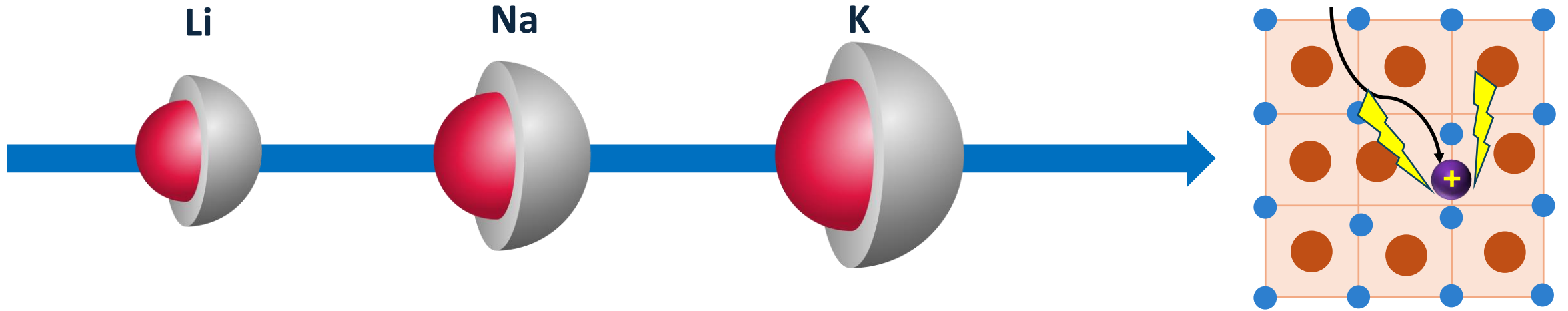
□ Al: \$1165 (K) vs. Cu: \$3829 (Li) per ton

□ Ionic conductivity (in PC):  
K: 15.2 & Na: 9.1  
vs. Li: 8.3 S cm<sup>2</sup> mol<sup>-1</sup>



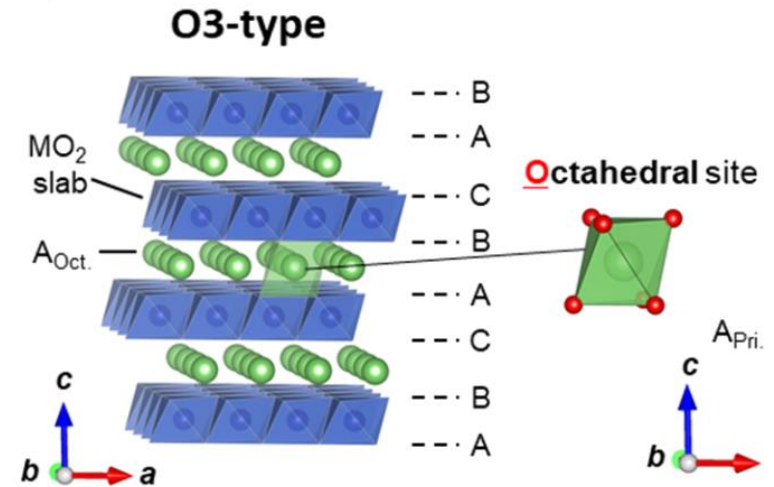
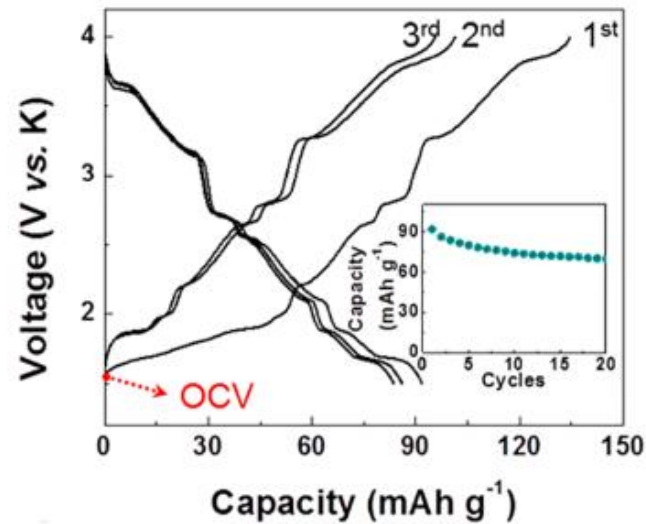
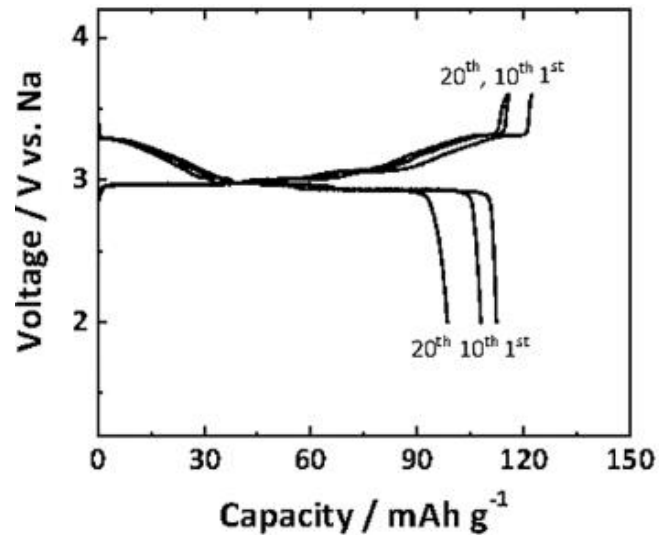
□ Desolvation energy (in PC):  
K: 119.2 & Na: 158.2  
vs. Li: 215.8 kJ mol<sup>-1</sup>

# Challenges of NIBs and KIBs

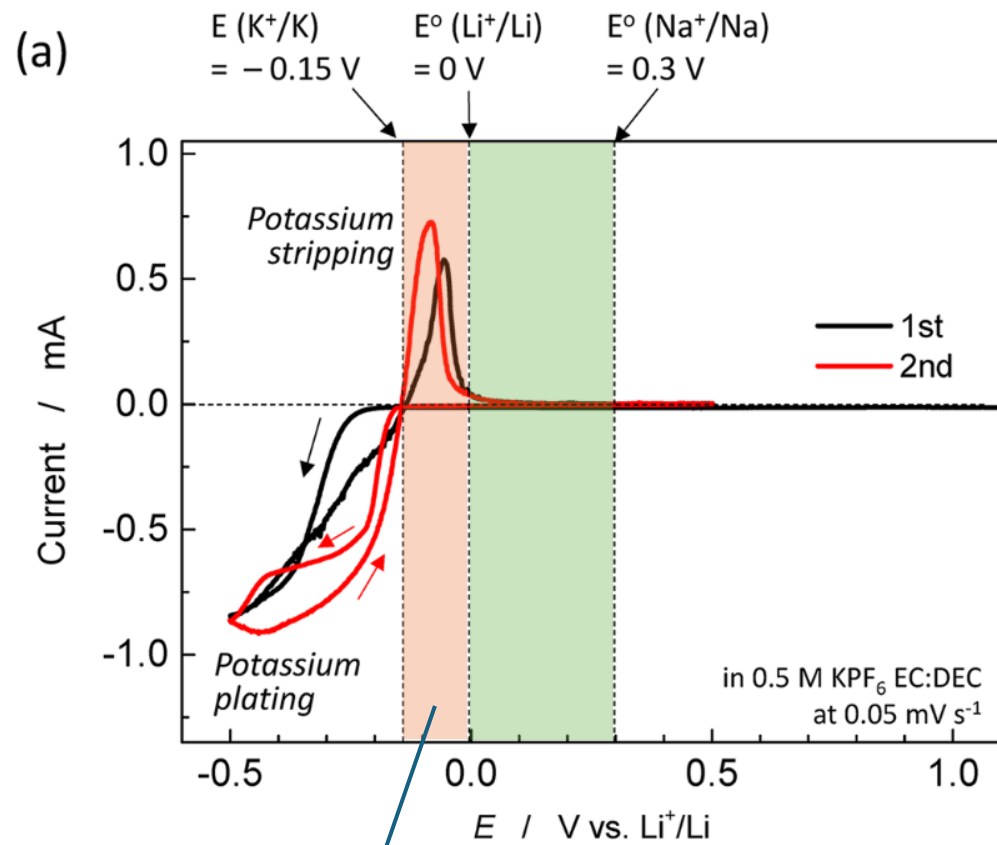


Na || O3-NaCrO<sub>2</sub>

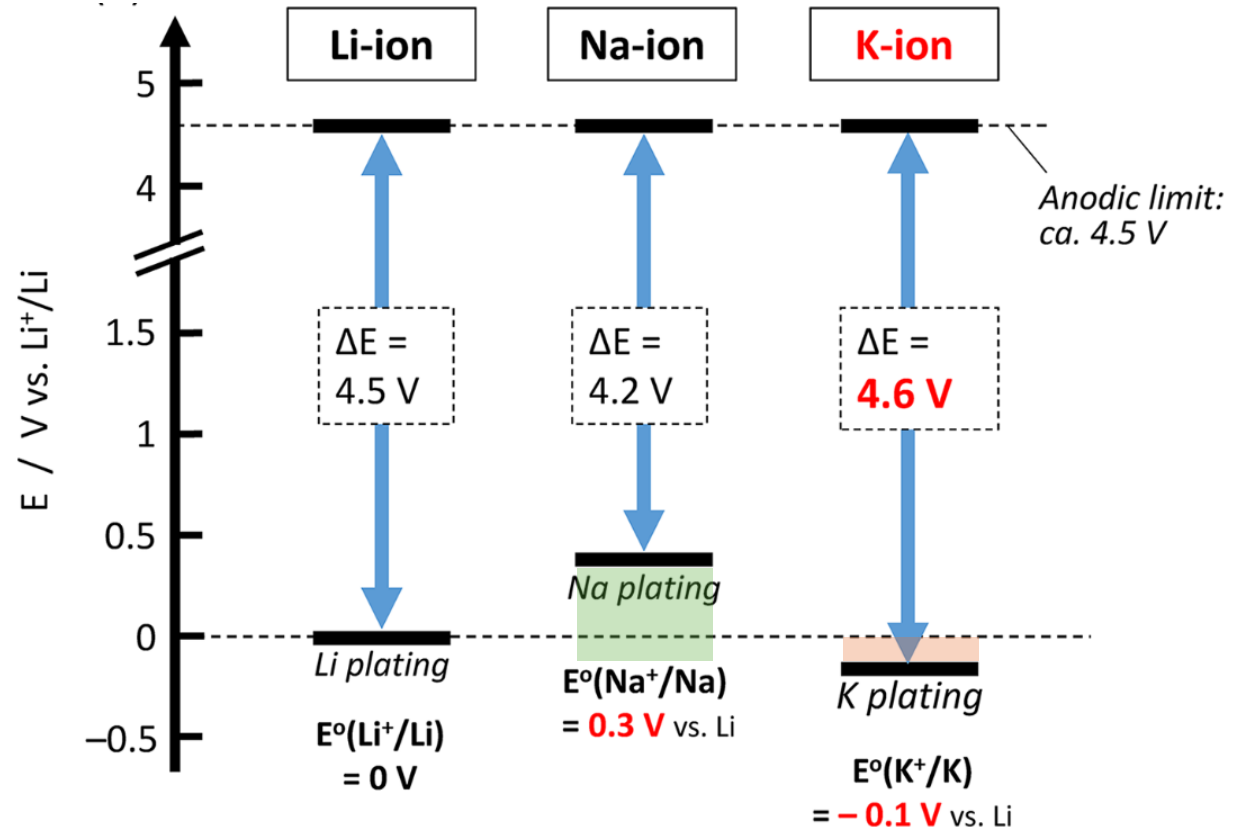
K || O3-KCrO<sub>2</sub>



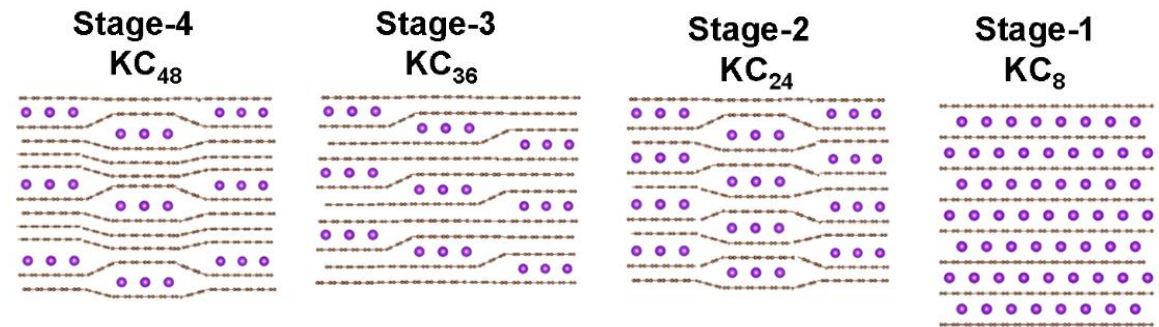
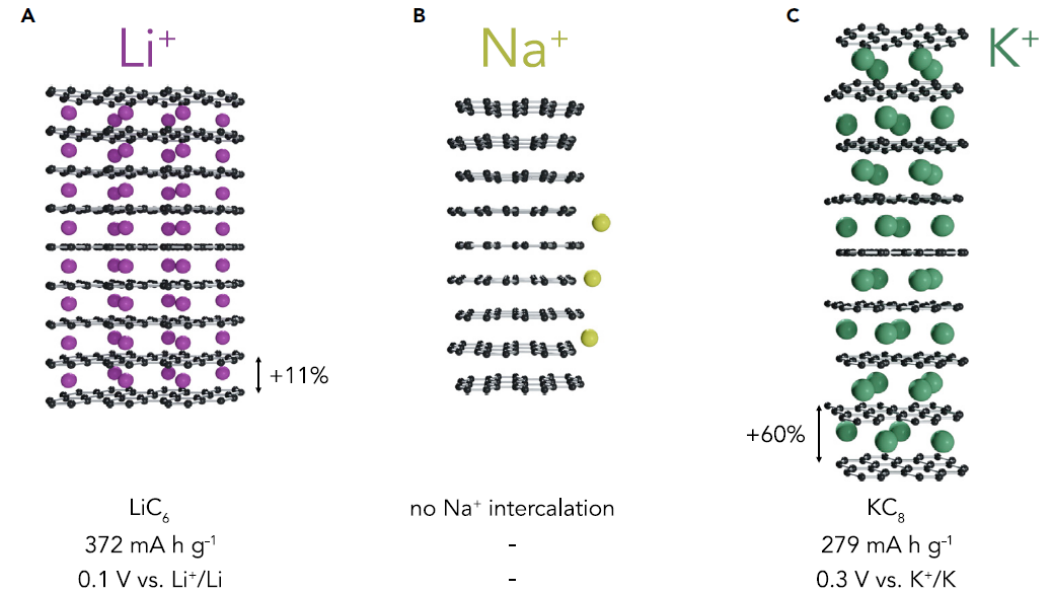
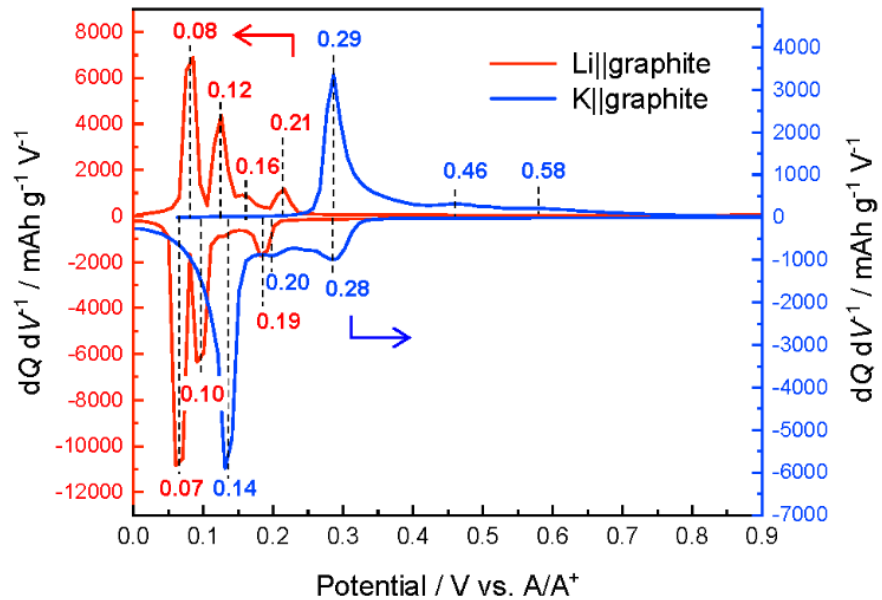
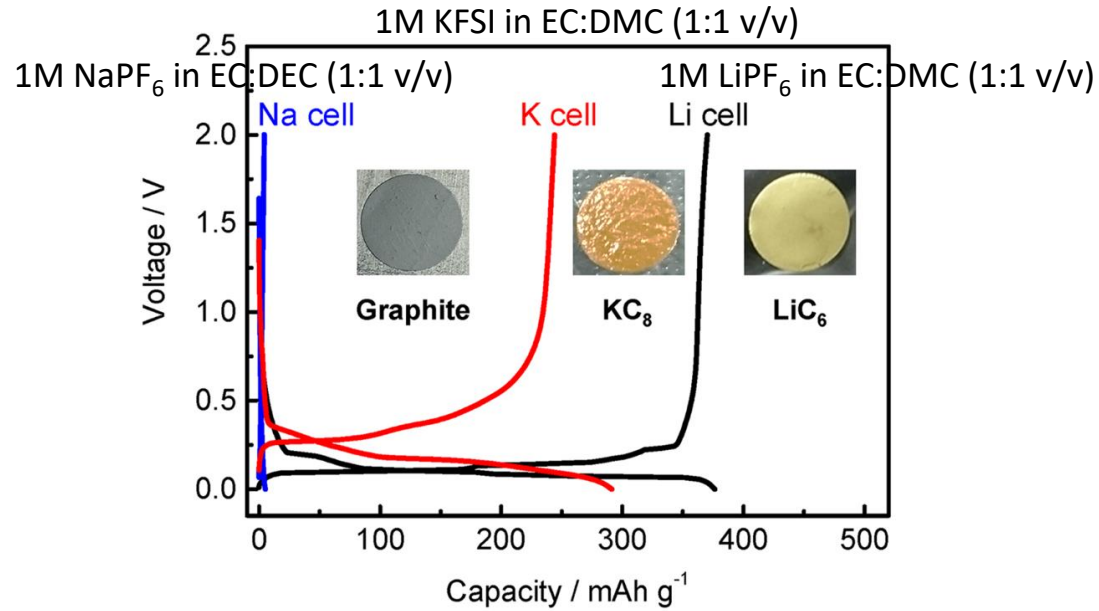
# KIBs vs. NIBs: plating potential



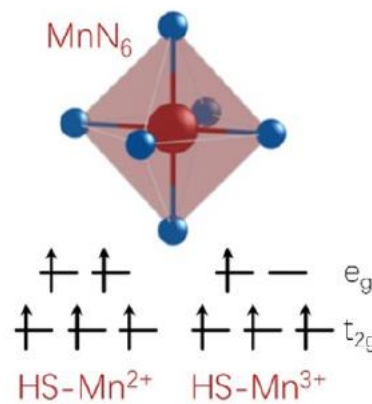
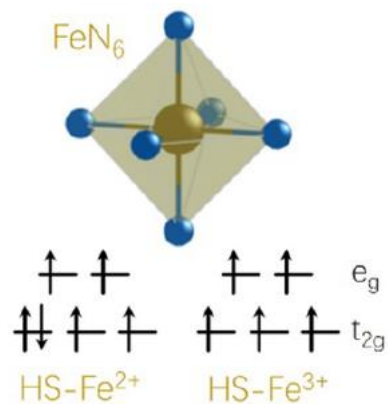
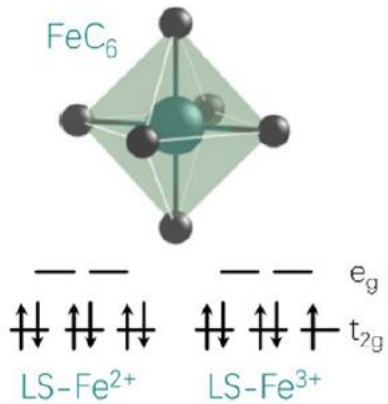
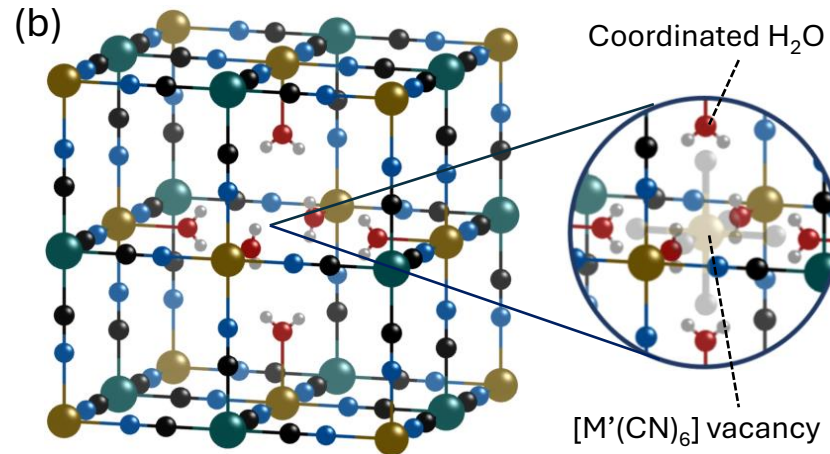
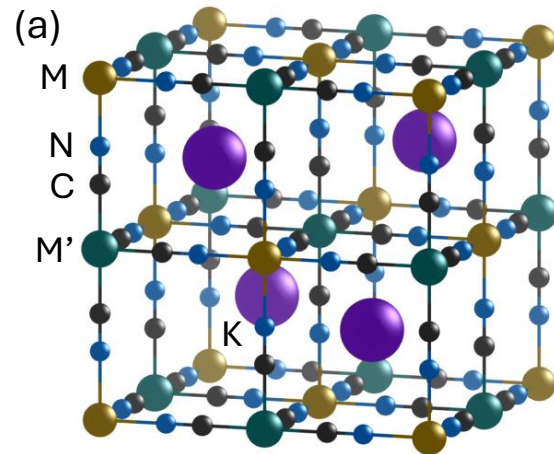
0.15 V lower



# KIBs vs. NIBs: intercalation in graphite – a staging process

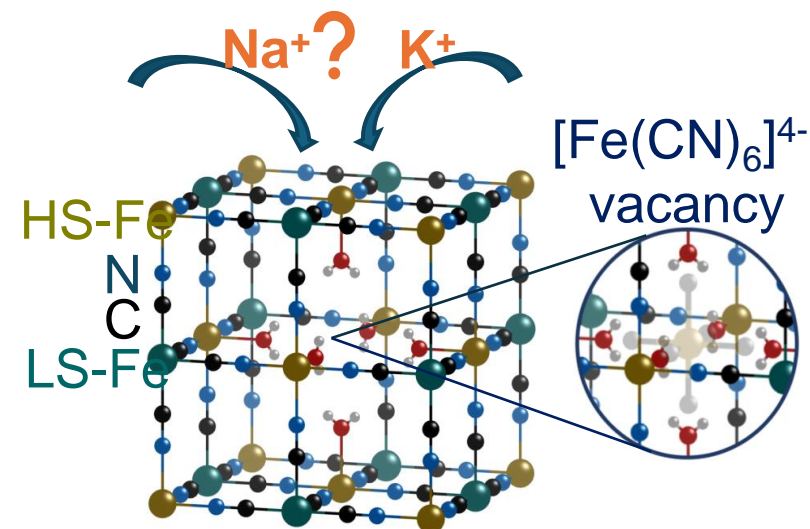
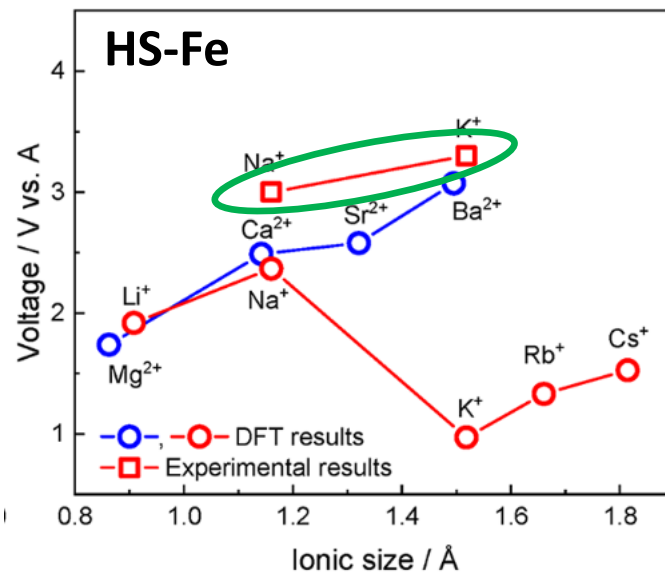
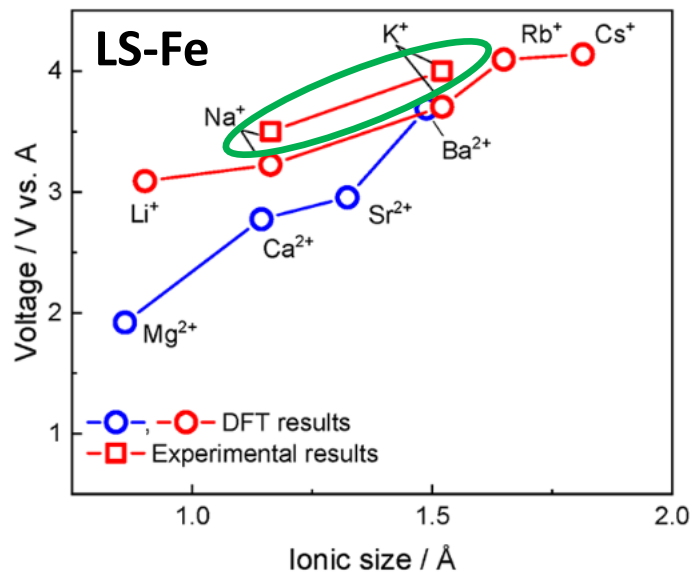


# KIBs vs. NIBs: intercalation in Prussian blue analogues (PBAs)



- Open framework
- Large interstitial sites
- Directional ion diffusion channels
- Versatile TMs
- Two-step redox process involving low-spin (LS) Fe connecting to C and high-spin (HS) TM connecting to N
- Phase transition

# KIBs vs. NIBs: intercalation in Prussian blue analogues (PBAs)



- The PBA framework prefer intercalation of large sized ions.
- K-intercalation voltage is higher than Na-intercalation voltage – higher energy density

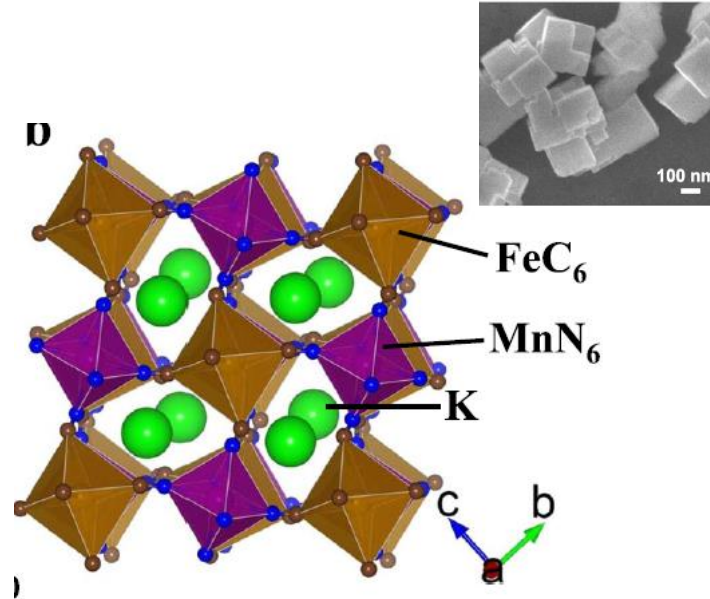
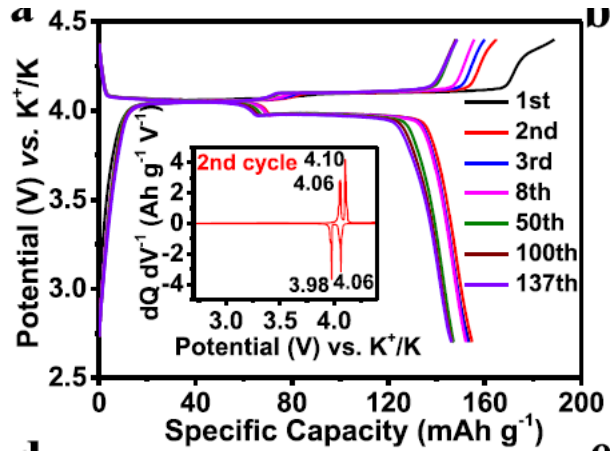
*“Incorporating K-ions in the cathode materials for sodium-ion batteries”*

*3B6 Materials Discovery/High Entropy Materials*

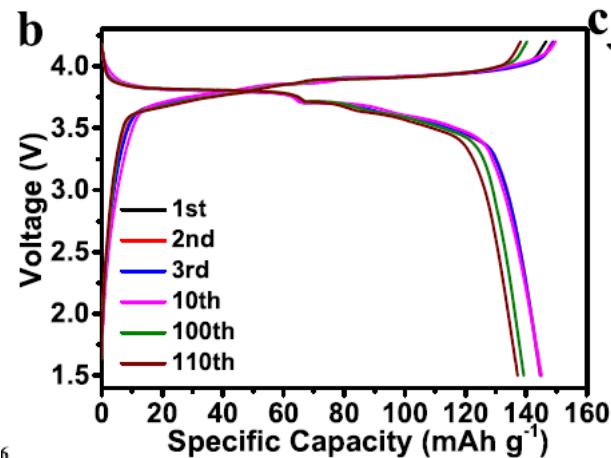
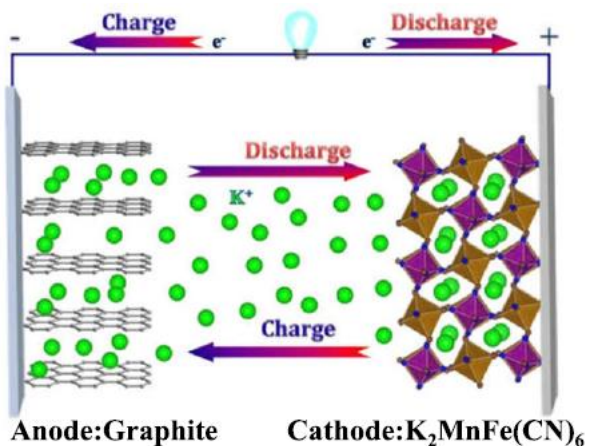
*11:20 Tuesday, Room: Gielgud*

# PBAs for KIBs: promising results

## Half cell

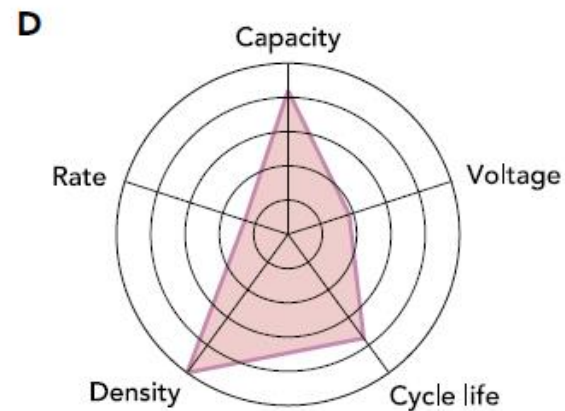
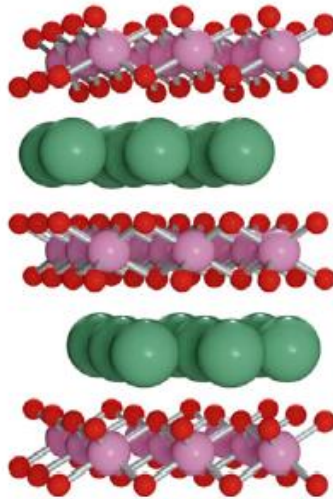


## Full cell



- 140-150 mAh g<sup>-1</sup> half-cell capacity
- >95% retention @100 cycles @ 15 mA g<sup>-1</sup>
- >90% retention @ 300 cycles @ 30 mA g<sup>-1</sup>
- ~140 mAh g<sup>-1</sup> full-cell capacity
- Similar retention as half cells
- 331.5 Wh kg<sup>-1</sup> (cathode+anode)

## A KFeMnO metal oxide



## B

## Journal of Physics: Energy



### ROADMAP

## 2023 roadmap for potassium-ion batteries

### OPEN ACCESS

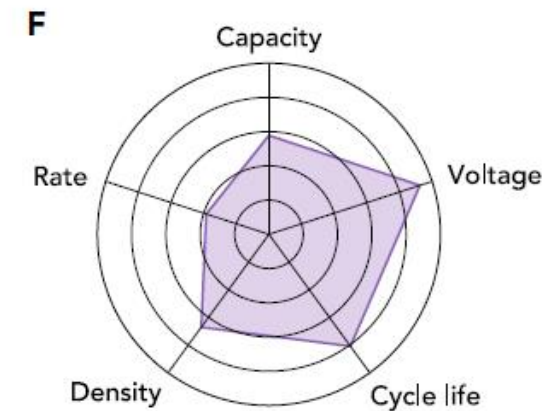
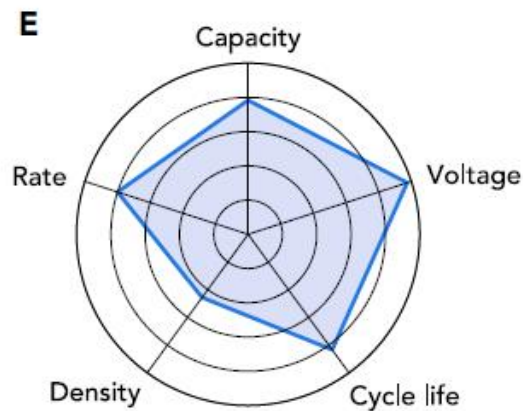
RECEIVED  
30 September 2022

REVISED  
18 January 2023

ACCEPTED FOR PUBLICATION  
27 February 2023

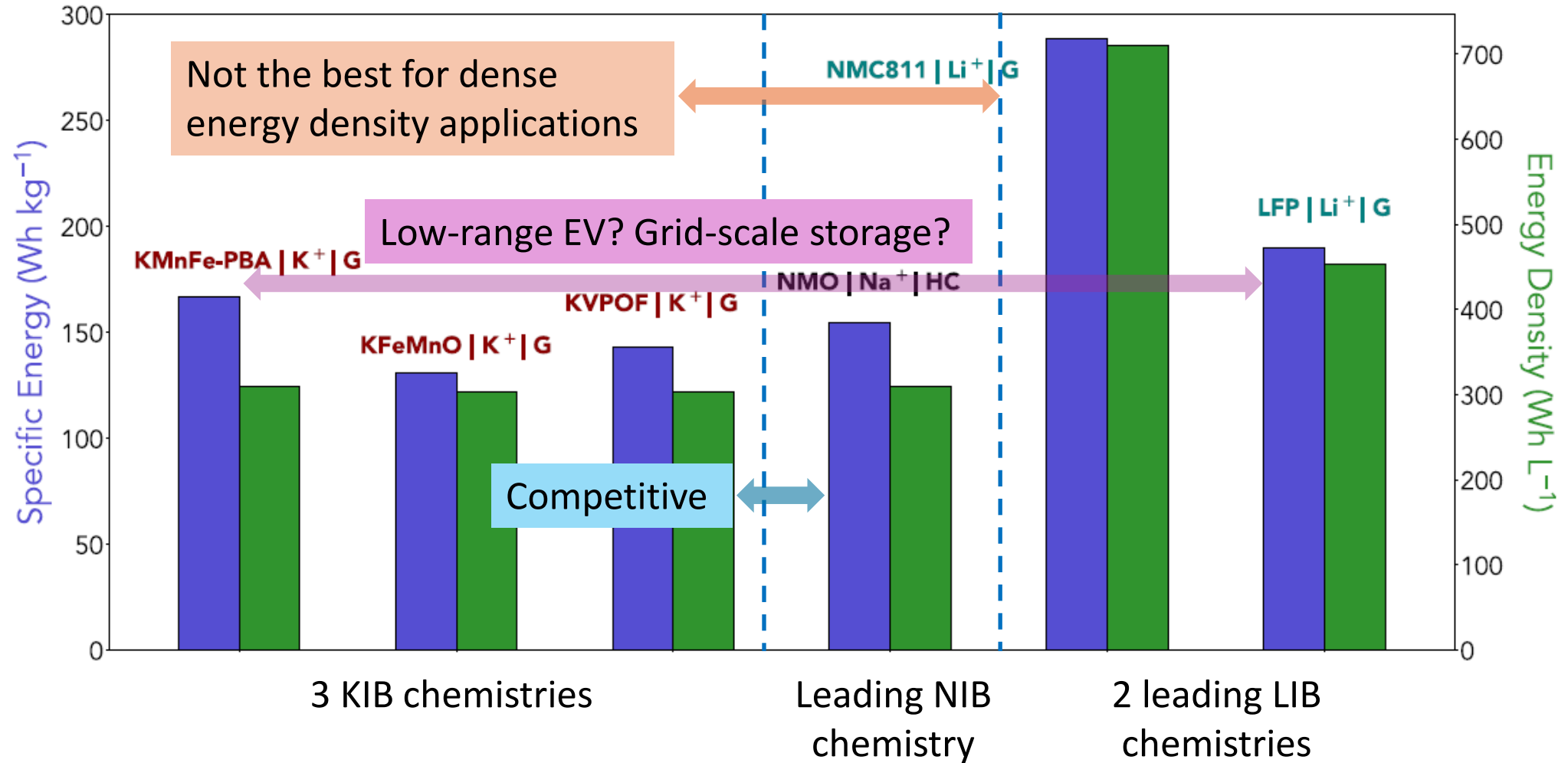
PUBLISHED  
6 April 2023

Yang Xu<sup>1,23,\*</sup> , Magda Titirici<sup>2,23</sup> , Jingwei Chen<sup>3</sup>, Furio Cora<sup>1,4</sup>, Patrick L Cullen<sup>5</sup>, Jacqueline Sophie Edge<sup>2</sup> , Kun Fan<sup>6</sup>, Ling Fan<sup>7</sup>, Jingyu Feng<sup>5</sup> , Tomooki Hosaka<sup>8</sup>, Junyang Hu<sup>9</sup>, Weiwei Huang<sup>10</sup>, Timothy I Hyde<sup>11</sup>, Sumair Imtiaz<sup>12,13,14</sup> , Feiyu Kang<sup>9</sup>, Tadhg Kennedy<sup>12,13</sup>, Eun Jeong Kim<sup>8</sup>, Shinichi Komaba<sup>8</sup>, Laura Lander<sup>2</sup> , Phuong Nam Le Pham<sup>15,16</sup> , Pengcheng Liu<sup>17</sup>, Bingan Lu<sup>7</sup>, Fanlu Meng<sup>3</sup>, David Mitlin<sup>17</sup>, Laure Monconduit<sup>15,16,18</sup> , Robert G Palgrave<sup>1</sup>, Lei Qin<sup>19</sup>, Kevin M Ryan<sup>12,13,14</sup>, Gopinathan Sankar<sup>1</sup> , David O Scanlon<sup>1,4,20</sup>, Tianyi Shi<sup>1</sup>, Lorenzo Stievano<sup>15,16,18</sup> , Henry R Tinker<sup>1</sup>, Chengliang Wang<sup>6</sup> , Hang Wang<sup>21</sup>, Huanlei Wang<sup>3</sup>, Yiyang Wu<sup>19</sup>, Dengyun Zhai<sup>9</sup>, Qichun Zhang<sup>22</sup> , Min Zhou<sup>21</sup>  and Jincheng Zou<sup>6</sup>

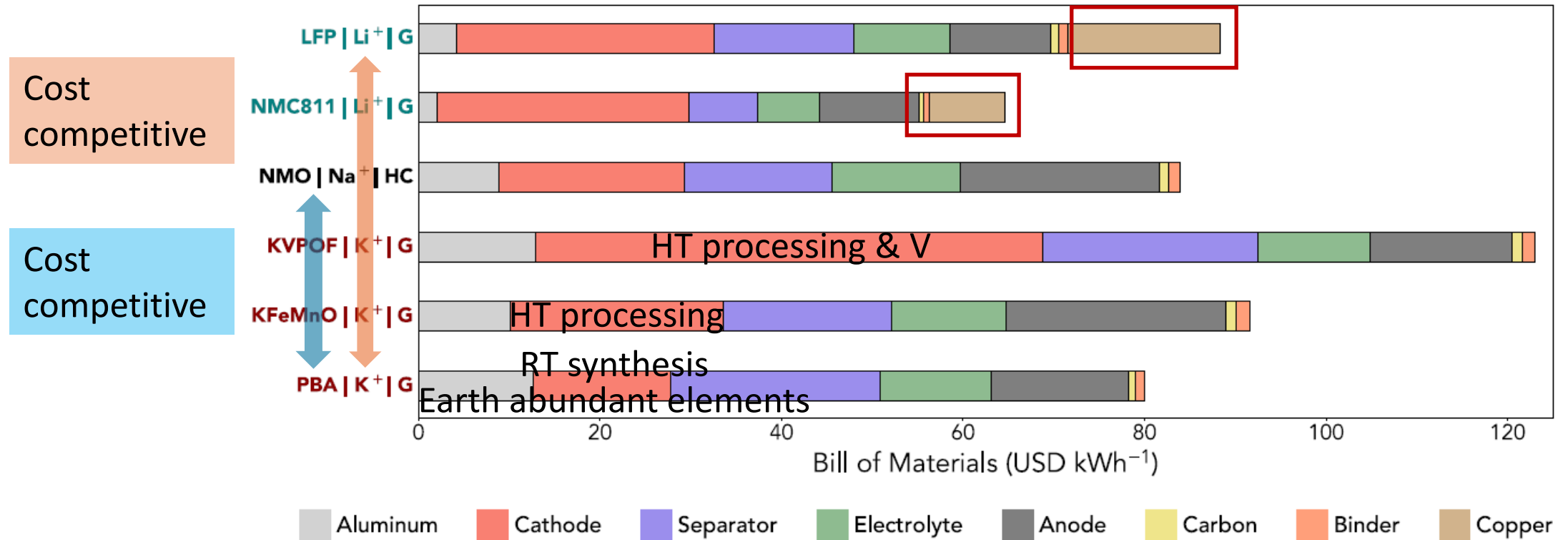




# Techno-economic model of KIBs – energy density



# Techno-economic model of KIBs – cost



- Currently no cycling data of full cell KIBs with realistic form factors
- Results only relevant if reasonable cycling performance (>1000 cycles) can be demonstrated for the full cell PBA KIB
- More sophisticated techno-economic models required to further the analysis



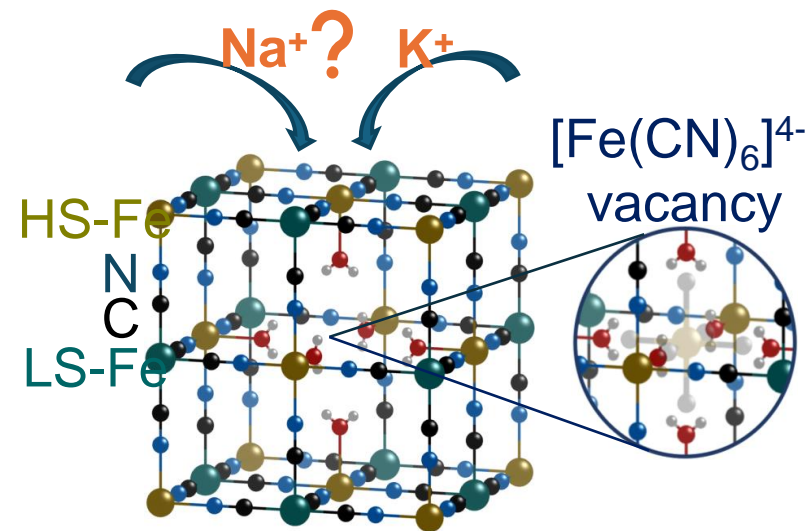
## ROADMAP

## 2023 roadmap for potassium-ion batteries

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*3B6 Materials Discovery/High Entropy Materials*

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**Thank you for  
your attention!**



Get in touch if you are interested in my research, collaborating, or joining my group ([y.xu.1@ucl.ac.uk](mailto:y.xu.1@ucl.ac.uk))